

**Code** APSC  
**Company name** Alpha Securities Joint Stock Company  
**Date** 05/17/2021  
**Subject** BOD resolution dated May 17, 2021

**Content:**

The Board resolution dated May 17, 2021, the BOD of Alpha Securities Joint Stock Company approved the following issues:

1. Approving the result of stock offering to existing shareholders:
  - Total distributed shares: 11,723,880 shares, accounting for 100% of total shares to be offered; Of which:
    - Individual investors in local: 11,723,880 shares
    - Foreign investors: 0 share.
  - Selling price: VND10,000/share
  - Total proceeds from the offering: VND117,238,800,000
  - Total expenses: 0 dong
  - Total net proceeds from the offering: VND117,238,800,000.

2. Approving the list and ownership ratio of investors participating the purchase of shares:

No.	Name of investor	Owning volume before the offering	Distributed shares	Owning volume after the offering	% ownership ratio after the offering
1	Cao Thi Thu Hang	580,000	1,160,000	1,740,000	9.89%
2	Hoang Quang Hung	580,000	1,160,000	1,740,000	9.89%
3	Nguyen Tuan Tu	481,400	962,800	1,444,200	8.21%
4	Dinh Tuan Anh	560,000	1,120,000	1,680,000	9.55%
5	Nguyen Vu Phuong Thao	580,000	1,160,000	1,740,000	9.89%
6	Luu Hong Hue	580,000	1,160,000	1,740,000	9.89%
7	Nguyen Hoang Nam	575,000	1,150,000	1,725,000	9.81%
8	Dang Quang Thai	413,975	827,950	1,241,925	7.06%

9	Nguyen Duc Dung	542,800	1,085,600	1,628,400	9.26%
10	Nguyen Dinh Duy	570,425	1,140,850	1,711,275	9.73%
11	Doan Tuan Vu	299,080	598,160	906,000	5.15%
12	Ha Thai Son	84,500	198,520	283,020	1.61%
	<b>Total</b>	<b>5,847,180</b>	<b>11,723,880</b>	<b>17,579,820</b>	<b>99.97%</b>

3. Approving the increase of charter capital after the offering from VND58,619,400,000 to VND175,858,200,000 (equivalent to 17,585,820 shares).
4. Approving the amendment of the company's charter with new charter capital.