

**CÔNG TY CỔ PHẦN  
THỦY ĐIỆN MIỀN TRUNG**

**CENTRAL HYDROPOWER  
JOINT STOCK COMPANY**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập - Tự do - Hạnh phúc**

**THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness**

Số/No.: **378** /EVNCHP-TKCT

Đà Nẵng, ngày **25** tháng 02 năm 2021

*Da Nang, 25 February 2021*

**CÔNG BỐ THÔNG TIN  
TRÊN CỔNG THÔNG TIN ĐIỆN TỬ  
CỦA ỦY BAN CHỨNG KHOÁN NHÀ  
NƯỚC VÀ SGDC TP.HCM**

**DISCLOSURE OF INFORMATION ON  
THE STATE SECURITIES  
COMMISSION'S PORTAL AND  
HOCHIMINH STOCK EXCHANGE'S  
PORTAL**

Kính gửi/ To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*  
- Sở Giao dịch chứng khoán TP.HCM/ *Hochiminh Stock Exchange*

- Tên tổ chức /*Organization name*: Công ty Cổ phần Thủy điện miền Trung/ *Central Hydropower Joint Stock Company*.
- Mã chứng khoán/ *Securities Symbol*: CHP
- Địa chỉ trụ sở chính/ *Address*: Lô 57B, đường số 6, Khu công nghiệp Đà Nẵng, quận Sơn Trà, thành phố Đà Nẵng/ *Lot 57B, street No.6, Danang Industrial Zone, Son Tra district, Danang city*.
- Điện thoại/ *Telephone* : 0236 3959110/ + 84 236 3959110
- Fax : 0236 3935960/ + 84 236 3935960
- Người thực hiện công bố thông tin/ *Submitted by*: Ông Trương Công Giới/ *Mr. Trương Cong Gioi*

Chức vụ/ *Position*: Tổng Giám đốc / *General Director*

Loại thông tin công bố: ☒ định kỳ ☐ bất thường ☐ 24h ☐ theo yêu cầu

*Information disclosure type*: ☒ *Periodic* ☐ *Irregular* ☐ *24 hours* ☐ *On demand*

**Nội dung thông tin công bố (\*)/ Content of Information disclosure (\*):**

Báo cáo tình hình quản trị công ty của Công ty Cổ phần Thủy điện miền Trung (năm)-  
*Bản Tiếng Anh/ Report on corporate governance of Central Hydropower Joint Stock Company (year)- English version.*

Thông tin này đã được công bố trên trang thông tin điện tử của công ty/quỹ vào ngày  
25/02/2021 tại đường dẫn: <http://www.chp.vn/CoDong.html>

*This information was disclosed on Company/Fund's Portal on date 25 February 2021.*

*Available at: http://www.chp.vn/CoDong.html*



Tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./

*I declare that all information provided in this paper is true and accurate; I shall be legally responsible for any misrepresentation.*

Tài liệu đính kèm: Báo cáo tình hình quản trị công ty (năm)-Bản Tiếng Anh.

Attachment: Report on corporate governance(year)- English version.

Tài liệu liên quan đến việc CBTT / Documents related to the disclosure

**Đại diện tổ chức** *me*

**Organization representative**

Người đại diện theo pháp luật/Người UQ CBTT

Legal representative/Party authorized to disclose information



**TỔNG GIÁM ĐỐC**

*Trương Công Giỏi*





Ref.: 06 /BC-EVNCHP-HĐQT

Da Nang, January 25, 2021

**REPORT ON CORPORATE GOVERNANCE  
(2020)**

To: - The State Securities Commission  
- Ho Chi Minh Stock Exchange

- Name of listed company: Central Hydropower Joint Stock Company
- Address of head office: Lot 57B, street No. 6, Da Nang Industrial Zone, Son Tra district, Da Nang city.
- Telephone: +84 236 3959 110 Fax: +84 236 3935 960 Email: bttwebchp@gmail.com
- Charter capital: VND 1,469,126,680,000
- Securities code: CHP
- Corporate governance model: General Meeting of Shareholders, Board of Directors, Supervisory Board and General Director.
- Implementation of the internal audit function: Not implemented yet.

**I. Activities of General Meeting of Shareholders:**

Information on meetings and resolutions / decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders adopted in the form of written comments):

The 2020 Annual General Meeting of Shareholders of Central Hydropower Joint Stock Company on June 16, 2020 adopted the following resolutions.

| No. | Resolution/<br>Decision No. | Date             | Content  |
|-----|-----------------------------|------------------|--|
| 1   | 01/2020/NQ-<br>CHP-HDCD     | June 16,<br>2020 | <p><b>It was resolved:</b></p> <p><b>1.</b> To approve the results of production, business and investment in 2019 as follows.</p> <p>To approve that the Company has completed the tasks in 2019 with the following targets.</p> <ul style="list-style-type: none"><li>- Total generated power output: 555.592 million kWh.</li><li>- Total commercial power output: 551.447 million kWh</li><li>- Total revenue: VND 719.156 billion</li><li>- Total cost: VND 492,442 billion</li><li>- Total profit after tax: VND 216.055 billion</li></ul> <p><b>2.</b> To approve the 2019 financial statements audited by AAC Auditing and Accounting Co., Ltd.</p> |

| No. | Resolution/<br>Decision No. | Date | Content  |
|-----|-----------------------------|------|--|
|     |                             |      | <p>3. To approve the report on activities of the Board of Directors in 2019.</p> <p>4. To approve the report of the Supervisory Board on the Company supervision in 2019.</p> <p>5. To approve the 2019 profit distribution plan as follows.</p> <p><b>* 2019 profit &amp; remaining profit of previous years:</b></p> <ul style="list-style-type: none"> <li>- Remaining profit at the end of 2018: VND 63,146,096,348.</li> <li>- Profit after corporate income tax in 2019 (audited): VND 216,054,818,493.</li> <li>- Investment and development fund (10%): VND 21,605,481,849.</li> <li>- Bonus and welfare fund (4.7%): VND 10,154,576,469</li> <li>- Accumulated undistributed profit by the end of 2019: VND 247,440,856,523.</li> </ul> <p><b>* Distribution plan for 2019 profit:</b></p> <ul style="list-style-type: none"> <li>- Accumulated undistributed profit by the end of 2019: VND 247,440,856,523.</li> <li>- 2019 dividend payment (16%): VND 221,757,608,000.</li> </ul> <p>In which:</p> <ul style="list-style-type: none"> <li>+ Dividend payment in shares to increase charter capital to supplement insufficient capital for the Cu Jut project (6%): VND 83,159,100,000.</li> <li>+ Dividend payment in cash (10%): VND 138,598,505,000.</li> <li>- Remaining undistributed profit: VND 25,683,248,523.</li> </ul> <p>6. To approve the plan to issue shares to pay 2019 dividends as follows:</p> <p>Detailed plan according to the Proposal No. 30/TTr-EVNCHP-HDQT dated June 6, 2020 of the Board of Directors of the Company.</p> <p>To authorize the Board of Directors:</p> <ul style="list-style-type: none"> <li>- To choose an appropriate time for closing the list of shareholders and for distribution of stocks after obtaining an approval from the State Securities</li> </ul> |



| No. | Resolution/<br>Decision No. | Date | Content  |
|-----|-----------------------------|------|--|
|     |                             |      | <p>Commission of Vietnam.</p> <ul style="list-style-type: none"> <li>- To implement necessary procedures to complete the issuance plan, depository registration and additional listing of all newly issued shares under the above plan at VSD and HOSE to ensure benefits of the Shareholders and the Company.</li> <li>- To carry out relevant legal procedures to increase the capital and amend the Company's Charter on charter capital based on the above issuance results.</li> <li>- To implement the procedures as prescribed by law to amend the Business Registration Certificate according to the result of the above issuance.</li> <li>- Other issues related to the issuance.</li> </ul> <p>7. To approve the production and business plan in 2020 as follows.</p> <ul style="list-style-type: none"> <li>- Revenue: VND 776 billion (In which the revenue of the A Luoi plant is VND 577 billion and the one of the Cu Jut plant is VND 199 billion).</li> <li>- Profit after tax: VND 221 billion (In which the profit after tax of the A Luoi plant is VND 183 billion and the one of the Cu Jut plant is VND 38 billion).</li> </ul> |
|     |                             |      | <ul style="list-style-type: none"> <li>- Completion of A-B final account for bidding packages of the Cu Jut solar power project (excluding service of the package No. 01/ EPC-CJ).</li> </ul> <p>8. To approve the 2020 dividend payment plan.</p> <ul style="list-style-type: none"> <li>- Profit after corporate income tax in 2020: VND 221,187,000,000</li> </ul> <p>(Remaining profit after deduction of funds, equivalent to 14% of dividends)</p> <ul style="list-style-type: none"> <li>- <b>Expected 2020 dividend payment: 14%</b></li> <li>- <b>Advance payment of 2020 dividends:</b></li> </ul> <p>To authorize the Board of Directors based on the production and business situation of the Company and the State's regulations to decide the rate, time and method of advance payment of dividends and carry out procedures related to the advance payment of 2020 dividends.</p> <p>9) To approve the plan for selection of auditing company to review and audit the 2020 financial statements.</p>  |

| No. | Resolution/<br>Decision No. | Date | Content  |
|-----|-----------------------------|------|--|
|     |                             |      | <p>a. List of suitable independent auditing companies meeting the requirements as follows.</p> <ul style="list-style-type: none"> <li>- AAC Auditing and Accounting Co., Ltd.</li> <li>- RSM Vietnam Auditing &amp; Consulting Limited.</li> </ul> <p>b. The General Meeting of Shareholders authorized the Board of Directors to select one auditing company for the 2020 financial statements from the above list with the most suitable capacity and cost.</p> <p>c. In case of unsuccessful negotiation to sign a contract with the auditing companies in the above list, the Supervisory Board will submit a proposal to the General Meeting of Shareholders to authorize the Board of Directors to actively select another auditing company from the list of auditing companies announced by the State Securities Commission on the basis of meeting the requirements and ensuring benefits for the Company.</p> <p><b>10.</b> To approve the remuneration and bonus fund of the Board of Directors and the Supervisory Board in 2020.</p> |
|     |                             |      | <ul style="list-style-type: none"> <li>- Chairman of the Board: VND 10,800,000/person/month</li> <li>- Members of the Board: VND 9,200,000/person/month</li> <li>- Full-time Chief Supervisor: VND 48,000,000/person/month</li> <li>- Members of the Supervisory Board: VND 8,000,000/person/month</li> <li>- Bonus fund of the Company's managers is determined according to Circular 28/2016/TT-BLDTBXH dated September 1, 2016. Authorize the Board of Directors to decide on bonus distribution for the managers.</li> </ul> <p><b>11.</b> To adopt the EVNCHP development strategy from 2020 to 2025 with a vision to 2030.</p> <p><i>Electricity generation:</i></p> <p>Smooth, continuous and safe operation of the A Luoi Hydropower Plant with an average power output to be generated in 5 years of over 615 million kWh per year</p> <p>Smooth, continuous and safe operation of the Cu Jut solar power plant with an average power output to be</p>  |



| No. | Resolution/<br>Decision No. | Date   | Content   |   |                     |  |   |                      |   |   |                  |  |   |                       |  |   |            |                        |
|-----|-----------------------------|--|---|---|---------------------|--|---|----------------------|---|---|------------------|--|---|-----------------------|--|---|------------|------------------------|
|     |                             |  | <p>generated in 5 years of over 90 million kWh per year.</p> <p><i>Electricity market:</i></p> <p>Good preparation of resources to effectively participate in the wholesale and retail markets right from the first year according to the Government's electricity market roadmap.</p> <p><i>Project investment:</i></p> <p>+ Investment in at least one new power project.</p> <p>+ Investment in the Company’s head office in Da Nang city.</p> <p><i>Revenue, profits and dividends</i></p> <p>Electricity generation and trading, financial investment and other business activities to achieve an average annual dividend of over 15%.</p> <p><b>12.</b> To approve the amendment and supplement to the Charter of Central Hydropower Joint Stock Company.</p> <p>To approve the addition of business activitives to Article 4. Operational objectives of the Company of the Charter of Central Hydropower Joint Stock Company according to the content of the Proposal No. 32/TTr-EVNCHP-HDQT dated June 6<sup>th</sup>, 2020 of the Board of Directors of the Company.</p> <p><b>13.</b> To approve the result of election of members of the Board of Directors and the Supervisory Board for the term IV (2020 - 2025) as follows.</p> <p>a) List the Board of Directors’s member: (From high to low rate):</p> <table><tr><td>1</td><td>Mr. Nguyen Van Danh</td><td>Vice Head of Internal Audit and Financial Supervision Department of EVNSPC</td></tr><tr><td>2</td><td>Mr. Truong Cong Gioi</td><td>Member of the Board of Directors and General Director of EVNCHP</td></tr><tr><td>3</td><td>Mr. Ho Quoc Viet</td><td>Head of Investment Management Department of EVNSPC</td></tr><tr><td>4</td><td>Mr. Nguyen Huu Phuong</td><td>Independent member of the Board of Directors of EVNCHP</td></tr><tr><td>5</td><td>Mr. Nguyen</td><td>Investment Director of</td></tr></table> | 1 | Mr. Nguyen Van Danh | Vice Head of Internal Audit and Financial Supervision Department of EVNSPC | 2 | Mr. Truong Cong Gioi | Member of the Board of Directors and General Director of EVNCHP | 3 | Mr. Ho Quoc Viet | Head of Investment Management Department of EVNSPC | 4 | Mr. Nguyen Huu Phuong | Independent member of the Board of Directors of EVNCHP | 5 | Mr. Nguyen | Investment Director of |
| 1   | Mr. Nguyen Van Danh         | Vice Head of Internal Audit and Financial Supervision Department of EVNSPC |   |   |                     |  |   |                      |   |   |                  |  |   |                       |  |   |            |                        |
| 2   | Mr. Truong Cong Gioi        | Member of the Board of Directors and General Director of EVNCHP            |   |   |                     |  |   |                      |   |   |                  |  |   |                       |  |   |            |                        |
| 3   | Mr. Ho Quoc Viet            | Head of Investment Management Department of EVNSPC                         |   |   |                     |  |   |                      |   |   |                  |  |   |                       |  |   |            |                        |
| 4   | Mr. Nguyen Huu Phuong       | Independent member of the Board of Directors of EVNCHP                     |   |   |                     |  |   |                      |   |   |                  |  |   |                       |  |   |            |                        |
| 5   | Mr. Nguyen                  | Investment Director of   |   |   |                     |  |   |                      |   |   |                  |  |   |                       |  |   |            |                        |

| No. | Resolution/<br>Decision No. | Date | Content   |                                |   |
|-----|-----------------------------|------|---|--------------------------------|---|
|     |                             |      |   | Quang Quyen                    | Refrigeration Electrical<br>Engineering Corporation<br>(REE)                          |
|     |                             |      | 6   | Mr. Nguyen<br>Viet Pa Sa       | Vice Head of Sales<br>Department of EVNCPC  |
|     |                             |      | 7   | Mr. Nguyen<br>Huu Tam          | Independent member of the<br>Board of Directors of<br>EVNCHP                          |
|     |                             |      | b) List of the Supervisory Board's members: (From<br>high to low rate): |                                |   |
|     |                             |      | 1   | Mrs. Vu Thi<br>Luyen           | Vice Head of General Affair<br>Department of Board of<br>Directors of EVNSPC          |
|     |                             |      | 2   | Mr. Tinh<br>Quang Viet         | Full-time Chief Supervisor of<br>EVNCHP   |
|     |                             |      | 3   | Mr. Pham<br>Viet Thien         | Specialist of Finance and<br>Accounting Department of<br>EVNCPC                       |
|     |                             |      | 4   | Mr. Tran<br>Quoc Van<br>Chuong | Investment Analyst of<br>Refrigeration Electrical<br>Engineering Corporation<br>(REE) |

## II. Board of Directors (annual report):

### 1. Information about members of the Board of Directors:

| No. | BOD's member           | Position<br>(Independent<br>board member,<br>non-executive<br>director) | Day becoming/no longer a<br>member/an independent member<br>of the Board |                        |
|-----|------------------------|---|--|------------------------|
|     |                        |   | Date of<br>appointment   | Date of<br>resignation |
| 1   | Mr. Ho Quoc Viet       | Chairman  | June 16, 2020  |                        |
| 2   | Mr. Truong Cong Gioi   | Member,<br>General Director   | June 16, 2020  |                        |
| 3   | Mr. Nguyen Quang Quyen | Member  | June 16, 2020  |                        |
| 4   | Mr. Nguyen Luong Minh  | Member  | May 16, 2018   | June 16, 2020          |
| 5   | Mr. Nguyen Viet Pa Sa  | Member  | June 16, 2020  |                        |



| No. | BOD's member          | Position<br>(Independent board member, non-executive director) | Day becoming/no longer a member/an independent member of the Board |                     |
|-----|-----------------------|--|--|---------------------|
|     |                       |  | Date of appointment  | Date of resignation |
| 6   | Mr. Huynh Van Hung    | Member   | May 16, 2018   | June 16, 2020       |
| 7   | Mr. Nguyen Van Danh   | Member   | June 16, 2020  |                     |
| 8   | Mr. Nguyen Huu Tam    | Independent board member                                       | June 16, 2020  |                     |
| 9   | Mr. Nguyen Huu Phuong | Independent board member                                       | June 16, 2020  |                     |

## 2. Meetings of the Board of Directors:

| No. | BOD's member           | Position                 | Number of Board's meetings attended | Attendance percentage | Reasons for absence        |
|-----|------------------------|--------------------------|-------------------------------------|-----------------------|----------------------------|
| 1   | Mr. Ho Quoc Viet       | Chairman                 | 5/5                                 | 100%                  |                            |
| 2   | Mr. Truong Cong Gioi   | Member                   | 5/5                                 | 100%                  |                            |
| 3   | Mr. Nguyen Quang Quyen | Member                   | 5/5                                 | 100%                  |                            |
| 4   | Mr. Nguyen Luong Minh  | Member                   | 3/5                                 | 60%                   | Resigned on June 16, 2020  |
| 5   | Mr. Nguyen Viet Pa Sa  | Member                   | 2/5                                 | 40%                   | Appointed on June 16, 2020 |
| 6   | Mr. Huynh Van Hung     | Member                   | 3/5                                 | 60%                   | Resigned on June 16, 2020  |
| 7   | Mr. Nguyen Van Danh    | Member                   | 2/5                                 | 40%                   | Appointed on June 16, 2020 |
| 8   | Mr. Nguyen Huu Tam     | Independent board member | 5/5                                 | 100%                  |                            |
| 9   | Mr. Nguyen Huu Phuong  | Independent board member | 5/5                                 | 100%                  |                            |

## 3. Supervision by the Board of Directors over the Management Board:

Pursuant to the Company's charter and the Regulation on Corporate Governance, the Board of Directors has inspected and supervised activities of the Management Board in implementing the resolutions of the General Meeting of Shareholders and the Board of Directors in 2020 as follows.

Through the Board's meetings and means of communication, the Board of Directors has regularly inspected and monitored the activities of the Management Board in implementing



goals and business plan in 2020 approved by the Annual General Meeting of Shareholders. The Board of Directors has regularly directed and discussed with the Management Board to find solutions to reach production and business goals of 2020.

#### 4. Activities of subcommittees of the Board of Directors:

Currently, there are three subcommittees under the Board of Directors. In 2020, the subcommittees operated in accordance with their responsibilities and obligations as stipulated in the Company's Charter and Corporate Governance Regulations as follows.

*\* Development Policy Subcommittee:*

- Review and verification of short-term and long-term plans for production and business development of the Company;
- Review, research and evaluation of factors and trends affecting the business activities of the Company;
- Defining annual goals and tasks based on strategic goals approved by the General Meeting of Shareholders;
- Verification of investment and construction plan of the Company.

*\* Finance and Risk Management Subcommittee:*

- Research on short-term and long-term financial strategies of the Company.
- Verification of budget and long-term plans of the Company;
- Verification and proposal on dividend rate.
- Monitoring the integrity of financial statements of the Company, competence and independence of independent auditors.

*\* Human Resources and Compensation Subcommittee:*

- Verifying and reporting to the Board of Directors the appointment and dismissal of the General Director and the Company's managers at the request of the General Director and making decisions on their salary. The dismissal mentioned above must not be contrary to the contractual rights of the dismissed persons (if any);
- Verification of regime and policy on salary and bonus of the Company.

#### 5. Resolutions/decisions of the Board of Directors (annual report):

| No. | Resolution/<br>Decision No. | Date                 | Content  | Adoption<br>rate |
|-----|-----------------------------|----------------------|--|------------------|
| 1   | 01/2020/NQ-<br>CHP-HDQT     | February<br>25, 2020 | Regular meeting of the Board in the first quarter of 2020.<br>Approved the agenda for the 2020 Annual General Meeting of Shareholders. Assigned the AGM Preparation Committee under the Board of Directors to review draft documents of the 2020 Annual General Meeting of Shareholders and submit to the Board for approval before submitting to the General Meeting of Shareholders. | 100%             |
| 2   | 02/2020/NQ-<br>CHP-HDQT     | April 8,<br>2020     | Approved the nomination of candidates for independent members of Central Hydropower Joint Stock Company for the term 2020-2025 as follows.   | 100%             |



| No. | Resolution/<br>Decision No. | Date               | Content   | Adoption<br>rate |
|-----|-----------------------------|--------------------|---|------------------|
|     |                             |                    | + Mr. Nguyen Huu Phuong - Independent Member of the Board of Directors for the term 2015-2020.<br>+ Mr. Nguyen Huu Tam - Independent Member of the Board of Directors for the term 2015-2020.   |                  |
| 3   | 03/2020/NQ-CHP-HDQT         | June 4, 2020       | The Board of Directors discussed and agreed on the draft documents to submit to the 2020 Annual General Meeting of Shareholders.  | 100%             |
| 4   | 05/2020/NQ-CHP-HDQT         | June 16, 2020      | The first meeting of the Board of Directors of Central Hydropower Joint Stock Company after the General Meeting of Shareholders elected Mr. Ho Quoc Viet as the Chairman of the Board of Directors for the term 2020-2025.  | 100%             |
| 5   | 06/2020/NQ-CHP-HDQT         | June 16, 2020      | Reappointed the Person in charge of corporate governance and the Secretary of Central Hydropower Joint Stock Company for a period of 5 years (2020-2025) from May 1, 2020.  | 100%             |
| 6   | 07/2020/NQ-CHP-HDQT         | August 24, 2020    | Approved bidder selection plan for package No. 66/2020/TB-EVNCHP: Procurement of means of transportation for production.  | 100%             |
| 7   | 08/2020/NQ-CHP-HDQT         | September 18, 2020 | Agreed on investment policy of rooftop solar power system projects in the office of the Cu Jut Solar Power Plant - phase 2 and the A Co office at the A Luoi Hydropower Plant.  | 100%             |
| 8   | 09/2020/NQ-CHP-HDQT         | November 11, 2020  | Regular meeting of the Board of Directors in the first quarter of 2020.<br>Approved the amendment of the Regulation on operation of the Board of Directors of the Company according to the Proposal dated October 23, 2020 of the Human Resources and Compensation Subcommittee.<br>Amended content: Article 6. Subcommittees under the Board of Directors. | 100%             |
| 9   | 10/2020/NQ-CHP-HDQT         | December 31, 2020  | Approved the amendment to the Real estate mortgage contract and the amendment to Movable estate mortgage contract and property rights.  | 100%             |

### III. Supervisory Board (annual report):

## 1. Information about members of Supervisory Board:

The 2020 Annual General Meeting of Shareholders on June 16, 2020 elected the Supervisory Board for the term 2020-2025 with the following members.

| No. | Members of Supervisory Board | Position         | Date becoming /no longer a member of Supervisory Board |
|-----|------------------------------|------------------|--|
| 1   | Mr. Trinh Quang Viet         | Chief supervisor | Elected on June 16, 2020                               |
| 2   | Mrs. Vu Thi Luyen            | Member           | Elected on June 16, 2020                               |
| 3   | Mr. Pham Viet Thien          | Member           | Elected on June 16, 2020                               |
| 4   | Mr. Tran Quoc Van Chuong     | Member           | Elected on June 16, 2020                               |

The members of the Supervisory Board who do not join the Supervisory Board for the term 2020-2025 are as follows.

- Mr. Nguyen Van Danh.
- Mr. Le Van Dien.

## 2. Meetings of Supervisory Board:

| No. | Members of Supervisory Board | Number of meetings attended | Attendance percentage | Adoption rate | Reason for absence         |
|-----|------------------------------|-----------------------------|-----------------------|---------------|----------------------------|
| 1   | Mr. Trinh Quang Viet         | 3/3                         | 100%                  | 100%          |                            |
| 2   | Mrs. Vu Thi Luyen            | 2/3                         | 66,7%                 | 100%          | Appointed on June 16, 2020 |
| 3   | Mr. Pham Viet Thien          | 3/3                         | 100%                  | 100%          |                            |
| 4   | Mr. Tran Quoc Van Chuong     | 2/3                         | 66,7%                 | 100%          | Appointed on June 16, 2020 |

In 2020, the Supervisory Board has organized 3 official working sessions with the full participation of all members. Contents of the meetings are as follows:

### 1.1. First working session from March 11 to March 13, 2020:

- Review of the 2019 financial statements.
- Review of contents of the Resolutions of the 2019 General Meeting of Shareholders that have been implemented by the Company.
- Checking production situation at the A Luoi Hydropower Plant and Cu Jut Solar Power Plant.

### 1.2. Second working session on June 16, 2020:

- Election of the full-time Chief Supervisor.

### 1.3. Third working session from October 1 to October 2, 2020:

- Review of the Financial statements for the first 6 months of 2020.
- Implementation of the resolutions of the 2020 General Meeting of Shareholders as up the working session date and plan for the last months of 2020.
- Checking the production situation at the A Luoi Hydropower Plant.



In addition to the official meetings, the Supervisory Board also regularly communicates and exchanges information with each other so that the members can supervise the operation of the Company.

Attending meetings of the Board of Directors:

In 2020, the Supervisory Board has been invited to attend all regular meetings and some extraordinary meetings of the Board to grasp the guidelines of the Board, ensure that policies of the Board are issued in accordance with regulations, in accordance with the spirit of the Resolutions of the General Meeting of Shareholders through which the interests of the shareholders and the investors are always guaranteed.

Attending meetings of the Board of Directors:

Every week, the full-time Chief Supervisor attends a regular meeting at the Company to grasp operating solutions, participate in discussions and recommend issues related to the implementation of management and execution of the Management Board.

### **3. Supervisory activities of Supervisory Board to Board of Directors, Board of Management and Shareholders:**

In 2020, the Supervisory Board supervised the activities of the Board of Directors and the Management Board in the performance of duties and powers in accordance with the Company's Charter and internal regulations of the Company as follows.

- Supervision and review of implementation of the Resolutions of the 2019 General Meeting of Shareholders.
- Supervision over performance of production and business activities as well as governance of the Company in 2020.
- Review of the 2019 audited financial statements and audited financial statements of the second quarter of 2020 to assess the truthfulness and reasonableness of the financial situation and business results of the Company. Supervision over the compliance with current accounting standards and financial regimes in accounting.
- Supervision over investment activities, use and management of capital.
- Supervision over the Company's information disclosure on the completeness, accuracy and timeliness in accordance with the regulations.

The Supervisory Board had a report on comment and assessment of the management and administration of the Board of Directors and the Management Board at the 2020 Annual General Meeting of Shareholders.

### **4. Coordination between Supervisory Board, Board of Directors, Management Board and other managers:**

The coordination between the Supervisory Board, the Board of Directors, the Management Board and other managers always ensures the principle of compliance with the provisions of law, of the Company and for the interests of the Company and the shareholders.

The Supervisory Board is always provided with sufficient information and documents on the management, administration and decisions of the Board of Directors and the Management Board during the operation process.

The Board of Directors and the Management Board have created favorable conditions for the Supervisory Board to perform their duties according to the regulations. The Board of Directors' meetings and other meetings at the Company were attended by the Supervisory



Board. Recommendations and proposals of the Supervisory Board have been considered by the Board of Directors and the Management Board and assigned the related departments for research and implementation.

The members of the Supervisory Board perform their duties carefully without obstructing the activities of the Board of Directors and the Management Board.

**5. Other activities of Supervisory Board (if any):** None

**IV. Management Board:**

| No. | Members of Management Board | Date of birth    | Professional qualifications                                      | Date of appointment / dismissal of Management Board's member |
|-----|-----------------------------|------------------|--|--|
| 1   | Truong Cong Gioi            | October 10, 1962 | Hydropower Irrigation Construction Engineer, Electrical Engineer | December 1, 2019   |
| 2   | Lam Uyen                    | April 8, 1961    | Bachelor in Economics  | December 1, 2019   |
| 3   | Le Quy Anh Tuan             | August 30, 1969  | Master in Electrical Engineering                                 | February 1, 2018   |

**V. Chief accountant:**

| Full name | Date of birth   | Professional qualifications | Date of appointment/ dismissal |
|-----------|-----------------|-----------------------------|--------------------------------|
| Huynh Mai | October 2, 1970 | Master in Economics         | December 1, 2019               |

**VI. Training on corporate governance:**

Training courses on corporate governance which members of Board of Directors, the Supervisory Board, the Director (General Director), other managers and company secretary were involved in accordance with regulations on corporate governance:

Members of the Board of Directors, members of the Supervisory Board, the General Director, other managers and Company secretary attended corporate governance training courses in previous years.

**VII. List of affiliated persons of listed company (annual report) and transactions of affiliated persons of the company with the company:**

**1. List of affiliated persons of the company:**

| No.      | Name of organization /individual | Securities trading account (if any) | Position at company (if any) | NSH* number date of issue, place of issue | Address of head office/ Contact address | Starting time of being an affiliated person (dd/mm/yyyy) | Time of no longer an affiliated person (dd/mm/yyyy) | Reason |
|----------|----------------------------------|-------------------------------------|------------------------------|---|---|--|---|--------|
| <b>I</b> | <b>Board of Directors</b>        |                                     |                              |   |   |  |   |        |
| 1        | Ho Quoc Viet                     |                                     | Chairman                     |   |   | 23/4/2015  |   |        |
| 2        | Truong Cong Gioi                 |                                     | Member, General Director     |   |   | 23/4/2015  |   |        |
| 3        | Nguyen Quang Quyen               |                                     | Member                       |   |   | 20/4/2017  |   |        |



| No                          | Name of organization /individual | Securities trading account (if any) | Position at company (if any)     | NSH* number date of issue, place of issue | Address of head office/ Contact address | Starting time of being an affiliated person (dd/mm/yyyy) | Time of no longer an affiliated person (dd/mm/yyyy) | Reason  |
|-----------------------------|----------------------------------|-------------------------------------|----------------------------------|---|---|--|---|---|
| 4                           | Nguyen Luong Minh                |                                     | Member                           |   |   | 16/5/2018  | 16/6/2020   | No longer a member of the Board               |
| 5                           | Nguyen Viet Pa Sa                |                                     | Member                           |   |   | 16/6/2020  |   | Start being a member of the Board             |
| 6                           | Huynh Van Hung                   |                                     | Member                           |   |   | 16/5/2018  | 16/6/2020   | No longer a member of the Board               |
| 7                           | Nguyen Van Danh                  |                                     | Member                           |   |   | 16/6/2020  |   | Start being a member of the Board             |
| 8                           | Nguyen Huu Phuong                |                                     | Independent Member               |   |   | 23/4/2015  |   |   |
| 9                           | Nguyen Huu Tam                   |                                     | Independent Member               |   |   | 23/4/2015  |   |   |
| <b>II Supervisory Board</b> |                                  |                                     |                                  |   |   |  |   |   |
| 1                           | Trinh Quang Viet                 |                                     | Chief supervisor                 |   |   | 31/3/2016  |   |   |
| 2                           | Nguyen Van Danh                  |                                     | Member                           |   |   | 31/3/2016  | 16/6/2020   | No longer a member of the Supervisory Board   |
|                             |                                  |                                     |                                  |   |   |  |   | Start being a member of the Supervisory Board |
| 3                           | Vu Thi Luyen                     |                                     | Member                           |   |   | 16/6/2020  |   |   |
| 4                           | Pham Viet Thien                  |                                     | Member                           |   |   | 31/3/2016  |   |   |
| 5                           | Le Van Dien                      |                                     | Member                           |   |   | 25/4/2019  | 16/6/2020   | No longer a member of the Supervisory Board   |
| 6                           | Tran Quoc Van Chuong             |                                     | Member                           |   |   | 16/6/2020  |   | Start being a member of the Supervisory Board |
| <b>III Management Board</b> |                                  |                                     |                                  |   |   |  |   |   |
| 1                           | Truong Cong Gioi                 |                                     | Board's member, General Director |   |   | 23/4/2015  |   |   |
| 2                           | Lam Uyen                         |                                     | Deputy General Director          |   |   | 1/12/2014  |   |   |
| 3                           | Le Quy Anh Tuan                  |                                     | Deputy General Director          |   |   | 1/6/2013   |   |   |
| <b>IV Chief accountant</b>  |                                  |                                     |                                  |   |   |  |   |   |
| 1                           | Huynh Mai                        |                                     | Chief accountant                 |   |   | 1/12/2014  |   |   |
| <b>V Major shareholders</b> |                                  |                                     |                                  |   |   |  |   |   |



| No | Name of organization /individual | Securities trading account (if any) | Position at company (if any) | NSH* number date of issue, place of issue | Address of head office/ Contact address | Starting time of being an affiliated person (dd/mm/yyyy) | Time of no longer an affiliated person (dd/mm/yyyy) | Reason |
|----|----------------------------------|-------------------------------------|------------------------------|---|---|--|---|--------|
| 1  | Southern Power Corporation       |                                     |                              |   |   | 30/11/2004   |   |        |
| 2  | Central Power Corporation        |                                     |                              |   |   | 30/11/2004   |   |        |
| 3  | REE Energy Company Limited       |                                     |                              |   |   | 13/11/2020   |   |        |

2. Transactions between the company and the affiliated persons or between the company and leading shareholders, internal persons and related persons of internal persons: No transaction.

3. Transactions between internal person of listed company, related persons of internal persons and company's subsidiaries, companies controlled over by the listed company: No transaction.

4. Transactions between the company and other objects:

4.1. Transactions between the company and companies that members of the Board of Directors, members of the Supervisory Board, the Director (General Director) have been founding members or members of Board of Directors, Director (General Director) in three (03) recent years (calculated at the time of reporting): No transaction.

4.2. Transactions between the company and companies that related persons of members of the Board of Directors, members of the Supervisory Board, the Director (General Director) are members of Board of Directors, Director (General Director): No transaction.

4.3. Other transactions of the company (if any) that may bring material or nonmaterial benefits to members of the Board of Directors, members of the Supervisory Board, the Director (General Director): No transaction.

## VIII. Transactions of internal persons and related persons of internal person (annual report):

1. List of internal persons and their related persons:

| No. | Full name    | Securities trading account (if any) | Position at the company (if any) | ID card /Pass port No., date of issue, place of issue | Add | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note   |
|-----|--------------|-------------------------------------|----------------------------------|---|-----|---|--|--|
| I   | Ho Quoc Viet |                                     | Chairman                         |   |     | 15,185,699                                      | 10.34%   | Representative for contributed capital of Southern Power Corporation |
|     |              |                                     |                                  |   |     | 213,378   | 0.15%  | Personal ownership   |



| No. | Full name                              | Securities trading account (if any) | Position at the company (if any) | ID card /Pass port No., date of issue, place of issue | Add | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note   |
|-----|--|-------------------------------------|----------------------------------|---|-----|---|--|--|
|     | Related person of Mr. Ho Quoc Viet     |                                     |                                  |   |     |   |  |  |
| 1   | Ho Van Mien                            |                                     |                                  |   |     | 0   | 0%   | Father   |
| 2   | Vo Thi Huu                             |                                     |                                  |   |     | 0   | 0%   | Mother   |
| 3   | Ho Dang Khanh Ngan                     |                                     |                                  |   |     | 0   | 0%   | Daughter   |
| 4   | Ho Quoc Dang Hung                      |                                     |                                  |   |     | 0   | 0%   | Son  |
| 5   | Ho Phong Son                           |                                     |                                  |   |     | 0   | 0%   | Younger brother  |
| 6   | Ho Thi Tuyen                           |                                     |                                  |   |     | 0   | 0%   | Younger sister   |
|     | Related entity of Mr. Ho Quoc Viet     |                                     |                                  |   |     |   |  | Position   |
|     | Southern Power Corporation             |                                     |                                  |   |     | 33,745,998                                      | 22.97%   | Head of Investment Management Dept.                                  |
|     |  |                                     |                                  |   |     |   |  | Representative for contributed capital of Southern Power Corporation |
| II  | Nguyen Van Danh                        |                                     | Member                           |   |     | 18,560,299                                      | 12.63%   |  |
|     |  |                                     |                                  |   |     | 6,809   | 0.004%   | Personal ownership   |
|     | Related persons of Mr. Nguyen Van Danh |                                     |                                  |   |     |   |  |  |
| 1   | Nguyen Buong                           |                                     |                                  |   |     | 0   | 0%   | Father   |
| 2   | Ly Thi Bach                            |                                     |                                  |   |     | 0   | 0%   | Mother   |
| 3   | Nguyen Thi Trang                       |                                     |                                  |   |     | 0   | 0%   | Wife   |
| 4   | Nguyen Van Tam                         |                                     |                                  |   |     | 0   | 0%   | Elder brother  |
| 5   | Nguyen Thi Thanh                       |                                     |                                  |   |     | 0   | 0%   | Elder sister   |
| 6   | Nguyen Van Len                         |                                     |                                  |   |     | 0   | 0%   | Younger brother  |
|     | Related entity of Mr. Nguyen Van Danh  |                                     |                                  |   |     |   |  | Position   |
| 1   | Southern Power Corporation. Ltd        |                                     |                                  |   |     | 33,745,998                                      | 22.97%   | Vice Head of Internal Audit and Financial Supervision Department     |



| No.                                       | Full name                 | Securities trading account (if any) | Position at the company (if any)      | ID card /Pass port No., date of issue, place of issue | Add | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note   |
|---|---------------------------|-------------------------------------|---------------------------------------|---|-----|---|--|--|
| III                                       | Truong Cong Gioi          |                                     | Member of the Board, General Director |   |     | 23,622,198                                      | 16.08%   | Representative for contributed capital of Central Power Corporation  |
|   |                           |                                     |                                       |   |     | 65,867  | 0.04%  | Personal ownership   |
| Related persons of Mr. Truong Cong Gioi   |                           |                                     |                                       |   |     |   |  |  |
| 1   | Dang Thi Cuc              |                                     |                                       |   |     | 140,794   | 0.095%   | Wife   |
| 2   | Truong Cong Nhan          |                                     |                                       |   |     | 0   | 0%   | Son  |
| 3   | Truong Cong Dao           |                                     |                                       |   |     | 0   | 0%   | Son  |
| 4   | Truong Cong Doi           |                                     |                                       |   |     | 0   | 0%   | Younger brother  |
| 5   | Truong Cong Lap           |                                     |                                       |   |     | 0   | 0%   | Younger brother  |
| Related entity of Mr. Truong Cong Gioi    |                           |                                     |                                       |   |     |   |  |  |
| 6   | Central Power Corporation |                                     |                                       |   |     | 33,745,997                                      | 22.97%   | Representative for contributed capital                               |
| IV  | Nguyen Viet Pa Sa         |                                     | Member                                |   |     | 10,123,799                                      | 6.89%  | Representative for contributed capital of Central Power Corporation  |
|   |                           |                                     |                                       |   |     | 611   | 0.0004%  | Personal ownership   |
| Related persons of Mr. Nguyen Viet Pa Sa  |                           |                                     |                                       |   |     |   |  |  |
| 1   |                           |                                     |                                       |   |     |   |  |  |
| Related entity of Mr. Nguyen Viet Pa Sa   |                           |                                     |                                       |   |     |   |  |  |
|   | Central Power Corporation |                                     |                                       |   |     | 33,745,997                                      | 22.97%   | Representative for contributed capital                               |
| V   | Nguyen Quang Quyen        |                                     | Member                                |   |     | 33,324,802                                      | 22.68%   | Representative for contributed capital of REE Energy Company Limited |
|   |                           |                                     |                                       |   |     | 0   | 0%   | Personal ownership   |
| Related persons of Mr. Nguyen Quang Quyen |                           |                                     |                                       |   |     |   |  |  |
| 1   | Pham Thi Hai Binh         |                                     |                                       |   |     | 0   | 0%   | Wife   |
| 2   | Nguyen Quang              |                                     |                                       |   |     | 0   | 0%   | Son  |
| 3   | Pham Quoc Binh            |                                     |                                       |   |     | 0   | 0%   | Son  |



| No.   | Full name  | Securities trading account (if any) | Position at the company (if any) | ID card /Pass port No., date of issue, place of issue | Add  | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note                |
|---|--|-------------------------------------|----------------------------------|---|--|---|--|---------------------|
| <i>Related entities of Mr. Nguyen Quang Quyen</i> |  |                                     |                                  |   |  |   |  |                     |
| 4   | Refrigeration Electrical Engineering Corporation |                                     |                                  |   |  | 33,324,802                                      | 22.68%   | Investment Director |
| 5   | Thac Ba Hydropower Joint Stock Company           |                                     |                                  |   | Thac Ba town, Yen Binh district, Yen Bai province        | 0   | 0%   | Member of the Board |
| 6   | Thac Mo Hydropower Joint Stock Company           |                                     |                                  |   | Long Thuy ward, Phuoc Long city, Binh Phuoc province     | 0   | 0%   | Member of the Board |
| 7   | Ba Ha River Hydropower Joint Stock Company       |                                     |                                  |   | 02C Tran Hung Dao street, Tuy Hoa city, Phu Yen province | 0   | 0%   | Member of the Board |
| 8   | Pha Lai Thermal Power Joint Stock Company        |                                     |                                  |   | Pha Lai ward, Chi Linh city, Hai Duong province          | 0   | 0%   | Member of the Board |
| <b>VI</b>   | <b>Nguyen Huu Phuong</b>                         |                                     | <b>Independent member</b>        |   |  | <b>133,507</b>                                  | <b>0.09%</b>   |                     |
| <i>Related persons of Mr. Nguyen Huu Phuong</i>   |  |                                     |                                  |   |  |   |  |                     |
| 1   | Nguyen Hoang Vu                                  |                                     |                                  |   |  | 0   | 0%   | Son                 |
| 2   | Nguyen Thuy Hoang Dung                           |                                     |                                  |   |  | 0   | 0%   | Daughter            |
| 3   | Nguyen Thi Ngoc Ha                               |                                     |                                  |   |  | 0   | 0%   | Daughter            |
| 5   | Nguyen Huu Phuc                                  |                                     |                                  |   |  | 0   | 0%   | Son                 |
| 6   | Nguyen Thi My                                    |                                     |                                  |   |  | 0   | 0%   | Younger sister      |
| <b>VII</b>  | <b>Nguyen Huu Tam</b>                            |                                     | <b>Independent member</b>        |   |  | <b>110,187</b>                                  | <b>0.08%</b>   |                     |
| <i>Related persons of Mr. Nguyen Huu Tam</i>      |  |                                     |                                  |   |  |   |  |                     |
| 1   | Tran Thi Chau                                    |                                     |                                  |   |  | 110,187   | 0.08%  | Wife                |
| 2   | Nguyen Thi Tran Thao                             |                                     |                                  |   |  | 18,364  | 0.012%   | Daughter            |
| 3   | Nguyen Huu Hieu                                  |                                     |                                  |   |  | 0   | 0%   | Son                 |
| <b>VIII</b>                                       | <b>Trinh Quang Viet</b>                          |                                     | <b>Chief supervisor</b>          |   |  | <b>12,243</b>                                   | <b>0.008%</b>  |                     |
| <i>Related persons of Mr. Trinh Quang Viet</i>    |  |                                     |                                  |   |  |   |  |                     |
| 1   | Le Thi Tam                                       |                                     |                                  |   |  | 0   | 0%   | Mother              |
| 2   | Dang Thi Diem My                                 |                                     |                                  |   |  | 0   | 0%   | Wife                |
| 3   | Trinh Quang                                      |                                     |                                  |   |  | 0   | 0%   | Son                 |



| No.         | Full name  | Securities trading account (if any) | Position at the company (if any) | ID card /Pass port No., date of issue, place of issue | Add | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note            |
|-------------|--|-------------------------------------|----------------------------------|---|-----|---|--|-----------------|
|             | Khang  |                                     |                                  |   |     |   |  |                 |
| 4           | Trinh Quang Nhat                                   |                                     |                                  |   |     | 0   | 0%   | Son             |
| 5           | Trinh Quang Vu                                     |                                     |                                  |   |     | 0   | 0%   | Elder brother   |
| 6           | Trinh Thi Thuy Van                                 |                                     |                                  |   |     | 0   | 0%   | Elder sister    |
| <b>IX</b>   | <b>Vu Thi Luyen</b>                                |                                     | <b>Member</b>                    |   |     | <b>0</b>  | <b>0%</b>  |                 |
|             | <i>Related persons of Mrs. Vu Thi Luyen</i>        |                                     |                                  |   |     |   |  |                 |
| 1           | .....  |                                     |                                  |   |     |   |  |                 |
|             | <i>Related entities of Mrs. Vu Thi Luyen</i>       |                                     |                                  |   |     |   |  |                 |
| 7           | Southern Power Corporation. Ltd                    |                                     |                                  |   |     | 33,745,998                                      | 22.97%   |                 |
| <b>X</b>    | <b>Pham Viet Thien</b>                             |                                     | <b>Member</b>                    |   |     | <b>0</b>  | <b>0%</b>  |                 |
|             | <i>Related persons of Mr. Pham Viet Thien</i>      |                                     |                                  |   |     |   |  |                 |
| 1           | Pham Viet Vu                                       |                                     |                                  |   |     | 0   | 0%   | Father          |
| 2           | Tran Thi Luan                                      |                                     |                                  |   |     | 0   | 0%   | Mother          |
| 3           | Tran Thi Thu Suong                                 |                                     |                                  |   |     | 0   | 0%   | Wife            |
| 4           | Pham Ngoc Diep                                     |                                     |                                  |   |     | 0   | 0%   | Daughter        |
| 5           | Pham Viet Thanh Tri                                |                                     |                                  |   |     | 0   | 0%   | Son             |
| 6           | Pham Ngoc Khanh Chi                                |                                     |                                  |   |     | 0   | 0%   | Daughter        |
| 7           | Pham Viet Vuong                                    |                                     |                                  |   |     | 0   | 0%   | Younger brother |
|             | <i>Related entity of Mr. Pham Viet Thien</i>       |                                     |                                  |   |     |   |  |                 |
| 7           | Central Power Corporation                          |                                     |                                  |   |     | 33,745,997                                      | 22.97%   |                 |
| <b>XI</b>   | <b>Tran Quoc Van Chuong</b>                        |                                     | <b>Member</b>                    |   |     | <b>0</b>  | <b>0%</b>  |                 |
|             | <i>Related persons of Mr. Tran Quoc Van Chuong</i> |                                     |                                  |   |     |   |  |                 |
|             | .....  |                                     |                                  |   |     |   |  |                 |
|             | <i>Related entity of Mr. Tran Quoc Van Chuong</i>  |                                     |                                  |   |     |   |  |                 |
|             | Refrigeration Electrical Engineering Corporation   |                                     |                                  |   |     | 33,324,802                                      | 22.68%   |                 |
| <b>XII</b>  | <b>Lam Uyen</b>                                    |                                     | <b>Deputy General Director</b>   |   |     | <b>79,579</b>                                   | <b>0.05%</b>   |                 |
|             | <i>Related persons of Mr. Lam Uyen</i>             |                                     |                                  |   |     |   |  |                 |
| 1           | Nguyen Ba Quynh Anh                                |                                     |                                  |   |     | 0   | 0%   | Wife            |
| 2           | Lam Vi Quan  |                                     |                                  |   |     | 0   | 0%   | Daughter        |
| 3           | Lam Nhat Huy                                       |                                     |                                  |   |     | 0   | 0%   | Son             |
| 4           | Lam Tuya   |                                     |                                  |   |     | 0   | 0%   | Elder brother   |
| 5           | Lam Thi Uong                                       |                                     |                                  |   |     | 0   | 0%   | Younger sister  |
| 6           | Lam Uong   |                                     |                                  |   |     | 0   | 0%   | Younger brother |
| <b>XIII</b> | <b>Le Quy Anh Tuan</b>                             |                                     | <b>Deputy General Director</b>   |   |     | <b>42,850</b>                                   | <b>0.029%</b>  |                 |
|             | <i>Related persons of Mr. Le Quy Anh Tuan</i>      |                                     |                                  |   |     |   |  |                 |
| 1           | Le Quy Hoa   |                                     |                                  |   |     | 0   | 0%   | Father          |



| No.  | Full name           | Securities trading account (if any) | Position at the company (if any)                               | ID card /Pass port No., date of issue, place of issue | Add | Number of shares owned at the end of the period | Percentage of share ownership at the end of the period | Note            |
|--|---------------------|-------------------------------------|--|---|-----|---|--|-----------------|
| 2  | Hoang Thi Thu       |                                     |  |   |     | 30,409  | 0.02%  | Wife            |
| 3  | Le Hoang Minh Thu   |                                     |  |   |     | 0   | 0%   | Daughter        |
| 4  | Le Quy Hoang Thuc   |                                     |  |   |     | 0   | 0%   | Son             |
| 5  | Le Thi Thanh Thuy   |                                     |  |   |     | 0   | 0%   | Elder sister    |
| 6  | Le Thi Song Huong   |                                     |  |   |     | 0   | 0%   | Younger sister  |
| 7  | Le Quy Anh Hung     |                                     |  |   |     | 0   | 0%   | Younger brother |
| <b>XIV</b>                                 | <b>Huynh Mai</b>    |                                     | <b>Chief accountant</b>  |   |     | <b>48,972</b>                                   | <b>0.033%</b>  |                 |
| <i>Related persons of Mr. Huynh Mai</i>    |                     |                                     |  |   |     |   |  |                 |
| 1  | Huynh Thi Kim Uyen  |                                     |  |   |     | 64,577  | 0.044%   | Wife            |
| 2  | Huynh Bao Thoa      |                                     |  |   |     | 0   | 0%   | Daughter        |
| 3  | Huynh Tuan Khai     |                                     |  |   |     | 0   | 0%   | Son             |
| 4  | Huynh Binh          |                                     |  |   |     | 0   | 0%   | Younger brother |
| <b>XV</b>                                  | <b>Ho Hoan Kiem</b> |                                     | Person in charge of corporate governance and Company Secretary |   |     | 0   | 0%   |                 |
| <i>Related persons of Mr. Ho Hoan Kiem</i> |                     |                                     |  |   |     |   |  |                 |

**Note:** The above-mentioned securities data are taken from the list of shareholders closed on August 14, 2020 and reports on transaction results of internal persons and affiliated persons.

2. Transactions of internal persons and affiliated persons on shares of the listed company:  
No

**IX. Other significant issues:** No.

**CHAIRMAN OF THE BOARD**

*(Signed and sealed)*

**Ho Quoc Viet**

