

**Name of warrant:** VNM.KIS.M.CA.T.05  
**Company name:** KIS Viet Nam Securities Corporation  
**Subject:** Decision on the change of listing

**Content:**

On September 21, 2020, the Hochiminh Stock Exchange issued Decision No.546/QD-SGDHCM to allow KIS Viet Nam Securities Corporation to change the listing as follow:

Issuer:	KIS Viet Nam Securities Corporation
Short name:	KIS
Security type:	covered warrant
Warrant name:	Warrant VNM.KIS.M.CA.T.05
Warrant code:	CVNM2007
Issuer of underlying security:	Viet Nam Dairy Products Joint Stock Company
Underlying security:	VNM
Warrant type (call/put):	Call
Warrant style:	European-style
Method of exercise:	Cash
Duration:	9 months
Expiration date:	March 10, 2021
Conversion ratio:	9.8706 : 1
Exercise price (exercise index):	137,090. VND
Number of warrants before increase/decrease:	2,000,000
Number of warrants increased/decreased:	800,000
Total number of warrants after increase/decrease:	1,200,000
Effective date of change:	September 23, 2020
Official trading date:	
Reason of change:	Partial delisting