

**Name of warrant:** HDB.KIS.M.CA.T.03  
**Company name:** KIS Viet Nam Securities Corporation  
**Subject:** Decision on the change of listing

**Content:**

On September 11, 2020, the Hochiminh Stock Exchange issued Decision No.529/QD-SGDHCM to allow KIS Viet Nam Securities Corporation to change the listing as follow:

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|---|--|
| Issuer:   | KIS Viet Nam Securities Corporation                      |
| Short name:                                       | KIS  |
| Security type:                                    | covered warrant  |
| Warrant name:                                     | Warrant HDB.KIS.M.CA.T.03                                |
| Warrant code:                                     | CHDB2005   |
| Issuer of underlying security:                    | Ho Chi Minh City Development Joint Stock Commercial Bank |
| Underlying security:                              | HDB  |
| Warrant type (call/put):                          | Call   |
| Warrant style:                                    | European-style   |
| Method of exercise:                               | Cash   |
| Duration:   | 4 months   |
| Expiration date:                                  | October 05, 2020   |
| Conversion ratio:                                 | 4 : 1  |
| Exercise price (exercise index):                  | 27,327. VND  |
| Number of warrants before increase/decrease:      | 1,500,000  |
| Number of warrants increased/decreased:           | 600,000  |
| Total number of warrants after increase/decrease: | 900,000  |
| Effective date of change:                         | September 15, 2020                                       |
| Official trading date:                            |  |
| Reason of change:                                 | Partial delisting  |