

Code AAA
Company name An Phat Bioplastics Joint Stock Company
Date 11/15/20109
Subject Notice of trading of warrants of a related company

Content:

Report on results of transactions by a company related to insiders of An Phat Bioplastics Joint Stock Company as follows:

1. Trader: An Phat Holdings
 - Current position (if available) in the listed firm: none
2. Name of insiders in the listed firm:
 - 2.1 **Pham Anh Duong**
 - Current position in the listed firm: Chairman of the Board of Directors
 - Relationship of the insider and the trader: Chairman of the Board of Directors of the trader.
 - Quantity, ratio of shares currently owned by the insider: 0 shares (0%)
 - 2.2 **Pham Hoang Viet**
 - Current position in the listed firm: Member of the Board of Directors
 - Relationship of the insider and the trader: Member of the Board of Directors of the trader.
 - Quantity, ratio of shares currently owned by the insider: 0 shares (0%)
 - 2.3 **Nguyen Le Trung**
 - Current position in the listed firm: Member of the Board of Directors cum CEO
 - Relationship of the insider and the trader: Member of the Board of Directors of the trader.
 - Quantity, ratio of shares currently owned by the insider: 0 shares (0%)
 - 2.4 **Dinh Xuan Cuong**
 - Current position in the listed firm: Member of the Board of Directors
 - Relationship of the insider and the trader: Member of the Board of Directors cum CEO of the trader.
 - Quantity, ratio of shares currently owned by the insider: 0 shares (0%)
3. Trading securities code: AAA
4. Quantity and ratio of shares owned before conducting trading: 79,817,140 shares (46.62%)

charter capital)

5. Quantity and ratio of AAA warrants owned before conducting trading: 0 warrants
6. Quantity of AAA warrants registered for purchase: 4,000 warrants (100% of the number of AAA warrants issued in the issuance of VND400 billion bonds with warrants at VND14,000/share)
7. Quantity of AAA warrants purchased: 4,000 warrants
8. Quantity and ratio of AAA warrants owned after conducting trading: 4,000 warrants
9. Estimated quantity and ratio of shares owned after exercising warrants: 119,817,140 shares (54.54% charter capital)
10. Method of trading: receiving the transfer of warrants through a depository member.
11. Proposed period for conducting trading: from November 09, 2019 to November 15, 2019.