

Code	AAA
Company name	An Phat Bioplastics Joint Stock Company
Date	11/15/20109
Subject	Notice of trading of warrants of a related company

Content:

Report on results of transactions by a company related to insiders of An Phat Bioplastics Joint Stock Company as follows:

1. Trader: An Phat Holdings

- Current position (if available) in the listed firm: none

2. Name of insiders in the listed firm:

2.1 Pham Anh Duong

- Current position in the listed firm: Chairman of the Board of Directors
- Relationship of the insider and the trader: Chairman of the Board of Directors of the trader.
- Quantity, ratio of shares currently owned by the insider: 0 shares (0%)

2.2 Pham Hoang Viet

- Current position in the listed firm: Member of the Board of Directors
- Relationship of the insider and the trader: Member of the Board of Directors of the trader.
- Quantity, ratio of shares currently owned by the insider: 0 shares (0%)

2.3 Nguyen Le Trung

- Current position in the listed firm: Member of the Board of Directors cum CEO
- Relationship of the insider and the trader: Member of the Board of Directors of the trader.
- Quantity, ratio of shares currently owned by the insider: 0 shares (0%)

2.4 Dinh Xuan Cuong

- Current position in the listed firm: Member of the Board of Directors
- Relationship of the insider and the trader: Member of the Board of Directors cum CEO of the trader.
- Quantity, ratio of shares currently owned by the insider: 0 shares (0%)

3. Trading securities code: AAA

4. Quantity and ratio of shares owned before conducting trading: 79,817,140 shares (46.62%)

charter capital)

5. Quantity and ratio of AAA warrants owned before conducting trading: 0 warrants
6. Quantity of AAA warrants registered for purchase: 4,000 warrants (100% of the number of AAA warrants issued in the issuance of VND400 billion bonds with warrants at VND14,000/share)
7. Quantity of AAA warrants purchased: 4,000 warrants
8. Quantity and ratio of AAA warrants owned after conducting trading: 4,000 warrants
9. Estimated quantity and ratio of shares owned after exercising warrants: 119,817,140 shares (54.54% charter capital)
10. Method of trading: receiving the transfer of warrants through a depository member.
11. Proposed period for conducting trading: from November 09, 2019 to November 15, 2019.