

QUỸ ETF SSIAM VNFIN LEAD

CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM  
Độc lập - Tự do - Hạnh phúc  
**THE SOCIALIST REPUBLIC OF VIETNAM**  
*Independence - Freedom - Happiness*

Số/No.: **510** /2025/TB-SSIAM-NVQ

Hà Nội, ngày 02 tháng 04 năm 2025  
Ha Noi, 02 April 2025

## CÔNG BỐ THÔNG TIN ĐỊNH KỲ PERIODIC INFORMATION DISCLOSURE

Kính gửi/ To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*  
- Sở Giao dịch chứng khoán TP.HCM/ *Hochiminh City Stock Exchange*

- Tên Công ty quản lý quỹ/ *Name of Fund Management Company*: Công Ty TNHH Quản Lý Quỹ SSI (“SSIAM”)
- Tên tổ chức / *Organization name*: **Quỹ ETF SSIAM VNFIN LEAD**
- Mã chứng khoán/ *Security Symbol*: **FUESSVFL**
- Địa chỉ trụ sở chính/ *Address*: Tầng 5, Tòa nhà số 1C Ngô Quyền, Phường Lý Thái Tổ, Quận Hoàn Kiếm, Hà Nội
- Điện thoại/ *Telephone*: 0243.9366321
- Fax: 0243.9366337
- Người thực hiện công bố thông tin/ *Published by*: Bà Tô Thùy Linh
- Chức vụ/ *Position*: Phó Tổng Giám Đốc/ *COO*

### Nội dung thông tin công bố (\*)/ **Content of Information disclosure (\*)**:

Tài liệu họp Đại Hội Nhà Đầu Tư thường niên năm 2025 của Quỹ ETF SSIAM VNFIN LEAD theo hình thức họp đại hội tập trung.

*Documents for the 2025 Annual General Investor's Meeting of SSIAM VNFIN LEAD ETF in the form of a meeting in one location.*

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty/Quỹ vào ngày 02/04/2025 tại đường dẫn: <https://ssiam.com.vn/tin-tuc>

*This information was disclosed on Company/Fund's Portal on 02 April 2025 at: <https://ssiam.com.vn/en/news>*

Chúng tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./

*We declare that all information provided in this paper is true and accurate; we shall be legally responsible for any misrepresentation.*

Tài liệu đính kèm/  
Attachment:  
Toàn bộ tài liệu đại hội  
Meeting documents

**Người công bố thông tin**  
**Publisher**



**Trần Thùy Linh**  
**PHÓ TỔNG GIÁM ĐỐC**



**INVITATION LETTER TO 2025 ANNUAL GENERAL INVESTORS' MEETING  
OF SSIAM VNFIN LEAD ETF**

**To: Investors of SSIAM VNFIN LEAD ETF**

SSI Asset Management Company Limited ("SSIAM") would like to invite Investors to participate in 2025 Annual General Investors' Meeting of SSIAM VNFIN LEAD ETF, with details as follows:

1. **Time:** 08:00 – 10:00, Thursday, 24 April 2025
2. **Venue:** Meeting room, Building 1C Ngo Quyen, Ly Thai To Ward, Hoan Kiem District, Hanoi, Vietnam
3. **Meeting's Documents:** Investors access to SSIAM's website at "Information Disclosure" of SSIAM VNFIN LEAD ETF to get documents of the Meeting at <https://ssiam.com.vn/en/ssiam/news>
4. **Attending the Meeting and voting:**  
Investors eligible to attend and vote at the 2025 Annual General Investors' Meeting shall be the ones holding the Fund Unit as of the record date of 24 March 2025.  
An Investor may attend in person or authorize another person to participate in the Meeting by filling out the Letter of Authorization (attached to the Invitation Letter or downloaded from the SSIAM's website) or send the voting opinion before the Meeting takes place by filling out the Voting Form downloaded from the SSIAM's website. The authorized person is kindly required to bring the original Citizen Identity Card/Identity Card/Passport, the Letter of Authorization when attending the Meeting. The authorized person is not allowed to authorize to any third party.
5. **Others:**  
To ensure the success of the Meeting, please confirm your attendance or deliver your Voting Form or Letter of Authorization to SSIAM at the address given below by 3:00 p.m. of 23 April 2025:

**SSIAM VNFIN LEAD ETF**

**Represented by: SSI ASSET MANAGEMENT COMPANY LIMITED**

Address: 5th Floor, Building 1C Ngo Quyen, Ly Thai To Ward, Hoan Kiem District, Hanoi, Vietnam.

Tel.: (84-24) 3936 6321

Fax: (84-24) 3936 6337

Email: [ssiam@ssi.com.vn](mailto:ssiam@ssi.com.vn)

Investor or the authorized person who attends the meeting please bring the originals of Invitation Letter, Citizen Identity Card/ Identity Card/Passport and Letter of Authorization in case of authorization.

Yours sincerely,

*Recipients:*

- As above;
- Archived.

Hanoi, 02 April 2025  
On behalf of SSI ASSET MANAGEMENT COMPANY LIMITED  
CHIEF EXECUTIVE OFFICER

  
NGUYEN NGOC ANH

\_\_\_\_\_Date\_\_\_\_month\_\_\_\_year 2025

## LETTER OF AUTHORIZATION

**To: SSIAM VNFIN LEAD ETF**

Name of Investor: \_\_\_\_\_

Address: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

ID card/Enterprises Registration Certificate/Passport No. \_\_\_\_\_ Date of Issuance: \_\_\_\_\_

Place of Issuance: \_\_\_\_\_

Total number of Fund Units in holding: \_\_\_\_\_

Hereby, I/we would like to authorize:

☐

**1. Mr. Nguyen Trong Hung – Fund Manager**

Or:

☐

**2. Member of the Board of Fund Representatives of SSIAM VNFIN LEAD ETF participating in the Meeting** (with order of priority as follows):

- i.Mr. Vu Cuong – Chairperson
- ii.Mr. Bui Ngoc Binh – Independent Member
- iii.Ms. To Minh Huong – Independent Member
- iv.Ms. Nguyen Thi Hong Hai – Member

Or:

**3. Mr./Ms.:** \_\_\_\_\_

Address: \_\_\_\_\_

ID card/ Passport No. \_\_\_\_\_ Date of Issuance: \_\_\_\_\_ Place of Issuance: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ Email: \_\_\_\_\_

For and on my/our behalf and representing all of Fund Units under my/our holding, to attend and vote in the 2025 Annual General Investors' Meeting of SSIAM VNFIN LEAD ETF.

The authorized person shall comply with the rules and regulations of the Meeting and shall not authorize any other third party.

**Authorized person**

**Principal**



## SSIAM VNFIN LEAD ETF

*Managed by*

### SSI ASSET MANAGEMENT COMPANY LIMITED

License on Establishment and Operation No. 19/UBCK-GP granted by State Securities Commission dated 03/8/2007

Address: 5<sup>th</sup> Floor, Building 1C Ngo Quyen, Ly Thai To Ward, Hoan Kiem District, Hanoi, Vietnam

Tel.: (84-24) 3936 6321 Fax: (84-24) 3936 6337

### VOTING FORM

(Applied before the General Investors' Meeting)

#### I. FUND INFORMATION

- Name: QUỸ ETF SSIAM VNFIN LEAD
- Name in English: SSIAM VNFIN LEAD ETF
- Certificate of Establishment Registration of the Public Fund No. 42/GCN-UBCK dated 24 February 2020.

#### II. INVESTOR'S INFORMATION

- Full name:
- Address:
- Nationality:
- ID/ Passport/Enterprises Registration No.:
- The number of Fund Units owned:

#### III. OBJECTIVES OF OPINIONS COLLECTION

Approving matters proposed at 2025 Annual General Investors' Meeting of the Fund.

#### IV. VOTING OPINIONS

1. Approving the report on operational results of 2024 and investment strategy of 2025 of the Fund.

- Agree: ☐
- Disagree: ☐
- No opinion: ☐

2. Approving the audited financial statement of the Fund for the year 2024.

- Agree: ☐
- Disagree: ☐
- No opinion: ☐

3. Approving the selection of Ernst & Young Vietnam Co., Ltd. as the audit organization to conduct the review of the semi-annual financial statement and the audit of the financial statement for the year 2025 of the Fund.

- Agree: ☐
- Disagree: ☐
- No opinion: ☐

Day..... Month..... 2025

**Investor**

(Signature, seal, full name)

Hanoi, 02 April 2025  
On behalf of SSI ASSET MANAGEMENT COMPANY LIMITED  
Chief Executive Officer



#### Note

Investor kindly fills full information in item "Investor's Information" and ticks into suitable box to vote issues provided in item "Voting Opinions"

Kindly remind the Investor to send back the Voting Form with full information, signature and seal (if any) via email, fax or by post to the following address of SSIAM by 3:00 p.m. of 23 April 2025:

SSI Asset Management Company Limited

Address: 5<sup>th</sup> Floor, Building 1C Ngo Quyen, Ly Thai To Ward, Hoan Kiem District, Hanoi, Vietnam

Tel.: (84-24) 3936 6321 Fax: (84-24) 3936 6337

Email: [ssiam@ssi.com.vn](mailto:ssiam@ssi.com.vn)

**CHƯƠNG TRÌNH DỰ KIẾN**  
**ĐẠI HỘI NHÀ ĐẦU TƯ THƯỜNG NIÊN NĂM 2025 QUỸ ETF SSIAM VNFIN LEAD**  
**PROPOSED AGENDA**  
**OF THE 2025 ANNUAL GENERAL INVESTORS' MEETING OF SSIAM VNFIN LEAD ETF**

1. **Thời gian:** bắt đầu từ 8h00, thứ năm, ngày 24/04/2025  
*Time: from 08:00 a.m., Thursday, 24 April 2025*
2. **Địa điểm:** Phòng họp tại Tòa nhà số 1C Ngô Quyền, Phường Lý Thái Tổ, Quận Hoàn Kiếm, Hà Nội, Việt Nam.  
*Venue: Meeting room, Building 1C Ngo Quyen, Ly Thai To Ward, Hoan Kiem District, Hanoi, Vietnam.*

STT No.	Thời gian Time	Nội dung dự kiến Proposal Content
1	08:00-08:30	Đón khách và đăng ký tham dự Đại Hội <i>Welcome investors and registration for the Meeting.</i>
2	08:30-08:40	Báo cáo kiểm tra tư cách nhà đầu tư tham gia <i>Report on investor's verification.</i>
3	08:40-08:45	Khai mạc Đại Hội, giới thiệu đại biểu <i>Opening ceremony and introduction of delegates.</i>
4	08:45-08:50	Giới thiệu Chủ Tọa, thư ký <i>Introducing Chairperson, Secretary.</i>
5	08:50-08:55	Thông qua chương trình Đại Hội <i>Approval on meeting agenda.</i>
6	08:55-09:00	Báo cáo tình hình hoạt động năm 2024 và chiến lược đầu tư năm 2025 của Quỹ. <i>Report on operational results of 2024 and investment strategy of 2025 of the Fund.</i>
7	09:00-09:05	Báo cáo của ngân hàng giám sát trong năm 2024. <i>Report of the Supervisory Bank in 2024.</i>
8	09:05-09:15	Báo cáo tình hình hoạt động của Ban Đại Diện Quỹ trong năm 2024. <i>Operation report of the Board of Fund Representatives in 2024.</i>
9	09:15-09:30	Trình bày tờ trình các nội dung đề xuất để Đại Hội Nhà Đầu Tư biểu quyết thông qua: <i>Presentation of proposal on the matters to be approved by the General Investors' Meeting:</i> i. Thông qua báo cáo tình hình hoạt động năm 2024 và chiến lược đầu tư năm 2025 của Quỹ <i>Approving the report on operational results of 2024 and investment strategy of 2025 of the Fund.</i> ii. Thông qua báo cáo tài chính kiểm toán năm 2024 của Quỹ <i>Approving the audited financial statement of Fund for the year 2024.</i> iii. Thông qua việc lựa chọn Công Ty TNHH Ernst & Young Việt Nam là Công Ty Kiểm Toán thực hiện soát xét báo cáo tài chính bán niên và kiểm toán báo cáo tài chính năm 2025 của Quỹ <i>Approving the selection of Ernst &amp; Young Vietnam Co., Ltd. as the audit organization to conduct the review of the semi-annual financial statement and the audit of the financial statement for the year 2025 of the Fund.</i> iv. Các nội dung khác (nếu có) <i>Other contents (if any).</i>
10	09:30-09:40	Thảo luận và biểu quyết các vấn đề đã trình bày <i>Discussion and voting on proposed matters.</i>
11	09:40-09:45	Nghỉ giải lao <i>Break.</i>
12	09:45-09:50	Công bố kết quả kiểm phiếu <i>Declaration of voting result.</i>
13	09:50-09:55	Thông qua biên bản và nghị quyết Đại Hội <i>Approval on the meeting minutes and resolutions.</i>
14	09:55-10:00	Bế mạc Đại Hội <i>Closing ceremony.</i>



Hanoi, 02 April 2025

Translation

**PROPOSAL**  
**On voting matters of 2025 Annual General Investors' Meeting**  
**of SSIAM VNFIN LEAD ETF**

**To: General Investors' Meeting of SSIAM VNFIN LEAD ETF**

SSI Asset Management Company Limited (SSIAM) kindly submits to the General Investors' Meeting of SSIAM VNFIN LEAD ETF (the "Fund") the proposal on matters voted by 2025 Annual General Investors' Meeting of the Fund as follows:

1. Approving the report on operational results of 2024 and investment strategy of 2025 of the Fund;
2. Approving the audited financial statement of the Fund for the year 2024;
3. Approving the selection of Ernst & Young Vietnam Co., Ltd. as the audit organization to conduct the review of the semi-annual financial statement and the audit of the financial statement for the year 2025 of the Fund.
  - Proposal basis:

According to the Article 52 of the current Fund Charter: *"Each year, the Fund Management Company shall propose Auditing Company to the General Investors' Meeting for its selection. In case the General Investors' Meeting authorizing the Board of Fund Representatives as prescribed in Clause 7 Article 28 of this Charter, the Board of Fund Representatives shall determine an Auditing Company to conduct reviewing, auditing for the Fund. Selected Auditing Company shall satisfy the following conditions:*

1. *Having obtained auditing license issued by the Ministry of Finance;*
2. *Having full capacity to provide auditing services;*
3. *Having approved by the State Securities Commission for auditing investment fund;*
4. *Not being an affiliated person of the Fund Management Company or the Supervisory Bank."*
- SSIAM's proposal:

According to the above regulation, SSIAM shall propose auditing company to the General Investors' Meeting for selection. Ernst & Young Vietnam Co., Ltd. (E&Y) satisfies the conditions prescribed at Article 52 of the Fund Charter. And E&Y is also the auditing company conducting the review of semi-annual financial statements and the audit of financial statements for the Fund in many recent years. Therefore, SSIAM proposes the General Investors' Meeting to select E&Y as the audit organization to conduct the review of the semi-annual financial statement and the audit of the financial statement for the year 2025 of the Fund.

Respectfully submitting to the General Investors' Meeting for approval.

Yours Faithfully,

**SSI ASSET MANAGEMENT COMPANY LIMITED**

**Chief Executive Officer**

*(signed and sealed)*

**Nguyen Ngoc Anh**

**SSIAM VNFIN LEAD ETF**  
**THE BOARD OF FUND REPRESENTATIVES**

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Translation

*Hanoi, 27 February 2025*

**OPERATION REPORT**  
**OF THE BOARD OF FUND REPRESENTATIVES OF SSIAM VNFIN LEAD ETF IN 2024**

**To: General Investors' Meeting of SSIAM VNFIN LEAD ETF**

1. The Board of Fund Representatives of SSIAM VNFIN LEAD ETF was elected in the General Investors' Meeting in 2020. In April 2023, the General Investors' Meeting approved the increase in the number of members of the Board of Fund Representatives as of four (4) members and elected Mr. Vu Cuong as the Chairperson – Independent Member of the Board of Fund Representatives, replacing Mr. Bui Ngoc Binh who had submitted his resignation letter from the position of Chairperson of the Board of Fund Representatives (but kept the position of Independent Member of the Board of Fund Representatives). Accordingly, the Chairperson and members of the Board of Fund Representative are as follows:
  - Mr. Vu Cuong – Chairperson of the Board of Fund Representatives;
  - Mr. Bui Ngoc Binh – Independent Member of the Board of Fund Representatives;
  - Ms. To Minh Huong – Independent Member of the Board of Fund Representatives;
  - Ms. Nguyen Thi Hong Hai – Member of the Board of Fund Representatives;
2. The structure of the Board of Fund Representatives has fully met the requirements and conditions provided in Articles 26.1, 26.2 and Article 27 of the Fund Charter and relevant legal provisions, as follows:
  - Three fourths of the Board of Fund Representatives are independent members, which meets the requirement of two thirds of the Board of Fund Representatives being independent members according to Article 26.1 of the Fund Charter and relevant legal provisions.
  - The members of the Board of Fund Representatives have met all the criteria provided in Article 26.2 of the Fund Charter and relevant legal provisions:
    - o At least one (01) independent member with qualifications and experience in the areas of accounting, auditing;
    - o At least one (01) independent member with qualifications and experience in the areas of finance, securities or asset management;
    - o At least one (01) member with qualifications in laws.
  - The Chairperson of the Board of Fund Representatives is independent member, as stipulated in Article 30.1 of the Fund Charter.
3. Operation of the Board of Fund Representatives in 2024:  
Pursuant to proposals of SSI Asset Management Company Limited (SSIAM), the management company of the Fund, in 2024, the Board of Fund Representatives held meetings at least once per quarter and approved the following matters:
  - Approved the plan to hold the Annual General Investors' Meeting of the Fund;
  - Approved the quarterly reports on operational results of the Fund.

Sincerely,

**On behalf of the BOARD OF FUND REPRESENTATIVES**  
**OF SSIAM VNFIN LEAD ETF**

**Chairperson**

**(Signed)**

**Vu Cuong**



## SSIAM VNFIN LEAD ETF

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TRANSLATION

*Hanoi, 28 March 2025*

### FUND PERFORMANCE FOR 2024 AND INVESTMENT PLAN FOR 2025 OF SSIAM VNFIN LEAD ETF

#### I. FUND PERFORMANCE FOR 2024

In 2024, SSIAM VNFIN LEAD ETF (“Fund”) follows a passive investment approach tracking the returns of the VNFIN LEAD benchmark index (an index provided by the Ho Chi Minh City Stock Exchange (HSX)) and does not actively seek higher returns than the benchmark index, the Fund also does not have defensive strategies during market downturns.

As of 26<sup>th</sup> December 2024, the tracking error of the Fund is 0.49%, smaller than threshold by the HSX.

The investment portfolio of the Fund has been restructured four times in February, May, August and November due to HSX review period in which the component, outstanding share, free-float, market capitalization and liquidity adjustment weight of the VNFIN LEAD index were updated.

In the year, SSIAM VNFIN LEAD ETF closely tracks the benchmark index. The VNFIN LEAD benchmark index decreased accumulated 18.17% in 2024 while the Net Asset Value per fund unit of SSIAM VNFIN LEAD ETF decreased 19.61%.

The good performance of the SSIAM VNFIN LEAD ETF comes from the fact that 80% of the weight of stocks belongs to the banking industry, which outperforms the VN-Index. Banking stocks surged 22% YoY during 2024, outperforming the VN Index (12.1%). The income generating capacity improvement has supported stock prices, especially during 1H24 when NIM revised. Best performers included LPB (+132%), TCB (+60%), HDB (+57%), CTG (+39%) and MBB (+38%).

Despite the macroeconomic challenges, we expect that 2025 will provide greater economic opportunities than 2024 especially in terms of public investment activities and the recovery of the real estate market. Further, timely regulatory assistance should allow the banks to support the borrowers and have more flexible provisioning. However, we believe that rivalry amongst banks, particularly JSCBs, will remain severe during 2025. Given the gradual rise in deposit rates after a historic low, we project that NIMs will be under pressure at JSCBs yet rebounding marginally at SOCBs. However, asset quality improvement should be the main theme for the banking sector during 2025. In general, we hold a positive view on the banking sector with an expected PBT growth of 17.4% YoY during 2025 for our bank coverage.

	NAV/Fund unit (dong)	Performance	
		2024	Since capital contribution registration date
SSIAM VNFIN LEAD ETF	21,935.90	19.61%	119.36%
Benchmark Index*	2,146.51	18.17%	118.67%

Source: SSIAM; (\*) Since 10 January 2020, the last date of capital contribution registration for SSIAM VNFIN LEAD ETF

As of 31 December 2024, the net asset value of the Fund was VND 480,396,213,057 and the Fund's contributed capital was VND 219,000,000,000 at par value, equivalent to 21,900,000 Fund Units.

The Fund decided not to distribute its profit in 2024 to reinvest.

## II. INVESTMENT PLAN FOR 2025

The investment goal of SSIAM VNFIN LEAD ETF for 2025 is to track the return of VNFIN LEAD benchmark index for the period. To achieve this goal, SSIAM VNFIN LEAD ETF will follow the portfolio of VNFIN LEAD index to minimize its tracking error to the Benchmark Index.

## REPRESENTATIVE OF THE ASSET MANAGEMENT COMPANY

*Hanoi, March 28, 2025*

**REPORT OF THE SUPERVISORY BANK  
ON OPERATION OF SSIAM VNFIN LEAD ETF IN 2024**

**To:**    - The Fund's General Meeting of Investors  
          - The Fund's Board of Representatives  
          - SSI Asset Management Limited Company ("SSIAM")

We, appointed as the Supervisory Bank of SSIAM VNFIN LEAD ETF ("the Fund"), would like to report to the General Meeting of Investors on the provision of custody and supervisory services for the Fund as follows:

**1. General Information of the Fund:**

Name : SSIAM VNFIN LEAD ETF  
Short name : SSIAM VNFIN LEAD ETF  
Establishment Registration Certificate : 42/GCN-UBCK dated 24 February 2020  
of Public Open-ended Fund  
Head Office : SSI Asset Management Limited Company,  
5th Floor, 1C Ngo Quyen Building, Ly Thai  
To Ward, Hoan Kiem District, Hanoi

Based on the Fund's audited financial statements for the fiscal year 2024, movements in the share capital of the Fund during the year were as follows:

	Unit	31/12/2023	Movement	31/12/2024
<b>Subscription capital</b>				
Number of fund unit	Fund unit	321,400,000	24,700,000	346,100,000
Subscription capital at par value	VND	3,214,000,000,000	247,000,000,000	3,461,000,000,000
Share premium of subscription capital	VND	1,437,192,415,468	262,330,776,369	1,699,523,191,837
Total subscription capital	VND	4,651,192,415,468	509,330,776,369	5,160,523,191,837
<b>Redemption capital</b>				
Number of fund unit	Fund unit	(195,800,000)	(128,400,000)	(324,200,000)
Redemption capital at par value	VND	(1,958,000,000,000)	(1,284,000,000,000)	(3,242,000,000,000)
Share premium of redemption capital	VND	(1,443,067,641,120)	(1,330,209,941,335)	(2,773,277,582,455)
Total redemption capital	VND	(3,401,067,641,120)	(2,614,209,941,335)	(6,015,277,582,455)
Number of outstanding fund unit	Fund unit	125,600,000	(103,700,000)	21,900,000
Total contributed capital at par value	VND	1,250,124,774,348	(2,104,879,164,966)	(854,754,390,618)
Retained profits	VND	1,053,279,954,322	281,870,649,353	1,335,150,603,675
Current NAV	VND	2,303,404,728,670	(1,823,008,515,613)	480,396,213,057
Current NAV per creation unit	NAV/ Creation unit	1,833,920,962		2,193,590,013
Current NAV per fund unit	VND/ Fund unit	18,339.2		21,935.90

*(Please refer to the Fund's audited financial report for details)*



## **2. Supervising report on the Fund's management activities of SSIAM**

In 2024, SSIAM prepared and made the reports according to law and relevant regulations, providing all documents related to the Fund's asset transactions to the Supervisory Bank.

During the supervision of the Fund's management activities, we had some records as follows:

### **2.1. Annual general meeting of investors for fiscal year 2024**

According to Circular No. 98/2020/TT-BTC on November 16, 2020, issued by the Ministry of Finance on the operation and management of securities investment funds, the Fund organized the online Annual General Meeting of Investors ("GMI") for the fiscal year 2024 on May 17, 2024, whereby the GMI approved the following contents:

- The Fund's operation report 2023 and strategic investment report 2024;
- The Fund's audited financial report in 2023;
- Report of the Supervisory Bank on operation of the Fund in 2023;
- Report on operation of the Fund's Board of Representatives in 2023;
- Approval of the selection of Ernst & Young Vietnam to be the audit company for fiscal year 2024.

### **2.2. Meeting of the Board of Representatives followed the notice of the Fund Management Company**

In 2024, SSIAM held 04 quarterly meetings of the Board of Representatives ("BOR"), whereby the BOR approved the following contents:

- Quarter 1: Approving to organize the Annual General Meeting of Investors for fiscal year 2024;
- Quarter 2: Reviewing operation report for Q2/2024 and strategic investment plan for fiscal year 2024 of the Fund;
- Quarter 3: Reviewing operation report for Q2/2024 and strategic investment plan for fiscal year 2024 of the Fund;
- Quarter 4: Reviewing The Fund's operation report 2024 and strategic investment plan for 2025 of the Fund.

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KIEM



### **2.3. Investment activities and asset trading of the Fund**

Please refer to the “Report of the Supervisory Bank” attached to the audited financial statements of the Fund in 2024.

### **3. Report on the performance of rights and obligations of supervisory bank**

As the supervisory bank of the Fund, we have fully performed our rights and obligations in providing the services of custody and supervising the operation of the Fund, reflected in some contents such as:

- Safety custody of the Fund’s assets; separate management of the Fund’s assets from the fund management company’s assets; the assets of other funds managed by the same fund management company, the assets of our customers, and our assets.
- The transfer of the fund’s assets in investment or divestment is only carried out according to the written request of the fund management company as prescribed in the depository contract or supervision contract.
- Payment for transactions in listed or registered securities must comply with the principles of securities trading concurrently with cash payment and the principles of clearing and settlement as prescribed by law. Payment for other asset transactions must comply with lawful orders or directions of the fund management company and other relevant laws. All transfers, money payments, and asset transfers must be done correctly to the fund's trading partners and accounts. The payment value must be consistent with the asset volume, transaction price, and amount stated in the payment documents.
- Correctly, fully, and promptly comply with lawful orders and directions of the fund management company; fully and promptly perform rights and obligations related to the fund’s ownership of assets.
- Certify reports on assets of the fund or securities investment company made by the fund management company and ensure that the reported assets are accurate and adequate and correspond to those deposited at the bank.
- Attend and provide adequate information at meetings of the Fund’s GMI, and meetings of the Fund’s representative board, but not vote.

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- Prepare reports and keep them filing according to the law, the Fund's charter, and the supervision contract.
- Supervise the Fund's asset management activities of the fund management company according to the law and the Fund's charter.

*This report is based on the information, documents, and data provided by the Fund Management Company. This report does not cover matters and events beyond the control of the Custodian and Supervisory Bank due to concealed or inaccurate information provided by the Fund Management Company.*

**SUPERVISORY BANK REPRESENTATIVE**



**Võ Trí Thanh**  
**Head of Customer Services and Treasury Back**  
**Department**



DRAFT

Hanoi, 2025

**RESOLUTION**

**2025 ANNUAL GENERAL INVESTORS' MEETING OF SSIAM VNFIN LEAD ETF**

- Pursuant to the Charter of SSIAM VNFIN LEAD ETF (the "Fund");
- Pursuant to the Meeting Minute of General Investors' Meeting No. 01/2025/BBDHNDT dated 2025,

**RESOLVE TO:**

**Article 1:** Approve the report on operational results of 2024 and investment strategy of 2025 of the Fund.

**Article 2:** Approve the audited financial statement of the Fund for the year 2024.

**Article 3:** Approve the selection of Ernst & Young Vietnam Co., Ltd. as the audit organization to conduct the review of the semi-annual financial statement and the audit of the financial statement for the year 2025 of the Fund.

**Article 4:** This Resolution takes effect from the signing date. Members of the Board of Fund Representatives, SSI Asset Management Company Limited and related individuals and departments are responsible for the implementation of this Resolution.

**Recipients:**

- As Article 4;
- SSC;
- Supervisory Bank;
- Archived.

**ON BEHALF OF THE GENERAL INVESTORS' MEETING**