

THANH NAM GROUP  
JOINT STOCK  
COMPANY

\*\*\*

No. 05/2025/CBTT-TNI

SOCIALIST REPUBLIC OF VIETNAM  
Independence – Freedom – Happiness

\*\*\*

Hanoi, on 29<sup>th</sup> January 2025

DISCLOSURE OF INFORMATION ON THE WEBSITE OF THE STATE  
SECURITIES COMMISSION AND THE HO CHI MINH CITY STOCK  
EXCHANGE

(can be used to disclose information simultaneously to the SSC and the SSE)

To: - State Securities Commission  
- Ho Chi Minh City Stock Exchange

Company: THANH NAM GROUP JOINT STOCK COMPANY

Stock Code: TNI

Head Office Address: 3rd Floor, Tower A, Golden Palace Office Building, 99 Me Tri Street, Me Tri Ward, Nam Tu Liem District, Hanoi

Telephone: (84-24) 37871397

Fax: (84-24) 37871395

Persons who disclose information: Doan Thi Thu Thuy

Phone (mobile, work, home): 0335724136

Types of information disclosed on an irregular 24-hour basis upon request ☒ ☐  
☐ ☐

**Content of information disclosure (\*):**

Thanh Nam Group Joint Stock Company announces a table providing information on corporate governance in 2024

This information has been published on the company's website on 25/01/2025 at the Company's link: <https://thanhnamgroup.com.vn/>

I would like to commit that the information published above is true and fully responsible before the law for the content of the published information.

**Attachments:**

- The content of information to be disclosed and  
Relevant Documents

**Persons authorized to disclose  
information**

(Signed, clearly stating full name, position,  
seal)



**Doan Thi Thu Thuy**



Hà Nội, ... ... January 2025

No. : 01/2025/BC-TNI

REPORT  
CORPORATE GOVERNANCE STATE 2024

To: - State Securities Commission;  
- Ho Chi Minh Stock Exchange (HOSE)

- Company name: **THANH NAM GROUP JOINT STOCK COMPANY**
- Head office address: 3rd floor, Tower A, Golden Palace Office Building, No. 99 Me Tri Street, Me Tri Ward, Nam Tu Liem District, Hanoi
- Tel: (84-24) 37871397
- Fax: (84-24) 37871395
- Email:
- Charter capital: VND 525,000,000,000 (Five hundred and twenty-five billion VND)
- Stock code: TNI
- Corporate governance model: General meeting of shareholders, Board of Directors, Supervisory Board and General Director
- Regarding the implementation of internal audit function: Implemented

**I. Activities of the General Meeting of Shareholders**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written opinions)

NO.	Resolution/Decision Number	Date	Content
1	01/2024/NQ-ĐHĐCĐ-TNI	26/04/2024	Annual General Meeting of Shareholders 2024

**II. Board of Directors (2024 Report):**

**1. Information about Board of Directors (BOD) members:**

NO.	Board Member	Position (independent member of the Board of Directors, non-executive member of the Board of Directors)	Date of starting/cease being a member of the Board of Directors/Independent Board of Directors	
			Date of appointment	Dismissal Date
1	Nguyễn Hùng Cường	Executive Board Member	05/06/2015	
2	Trần Thị Hương Giang	Non-Executive Board Member	22/06/2021	



3	Đoàn Thị Thu Thủy	Executive Board Member	22/06/2021	
4	Vũ Lan Phương	Independent Board Member	26/04/2023	26/04/2024
5	Phạm Thúy Hằng	Non-Executive Board Member	26/04/2023	26/04/2024
6	Bùi Văn Huân	Independent Board Member	26/04/2024	
7	Nguyễn Thị Hiền	Non-Executive Board Member	26/04/2024	

## 2. Board of Directors Meetings

NO.	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending
1.	Nguyễn Hùng Cường	15/15	100%	
2.	Trần Thị Hương Giang	15/12	100%	
3.	Đoàn Thị Thu Thủy	15/15	100%	
4.	Vũ Lan Phương	03/15	20%	Dismissed from 26/04/2024
5.	Phạm Thúy Hằng	03/15	20%	Dismissed from 26/04/2024
6.	Bùi Văn Huân	12/15	80%	Appointed from 26/04/2024
7.	Nguyễn Thị Hiền	12/25	80%	Appointed from 26/04/2024

## 3. Supervisory activities of the Board of Directors over the Executive Board

In summary of 2024, the Chairman of the Board of Directors and managers at all levels have carried out work in accordance with the provisions of the Charter, resolutions of the General Meeting of Shareholders, the Board of Directors and other tasks within the scope of authorization, specifically:

- Prepare the 2024 business plan, organize the implementation of business plans and investment plans approved by the General Meeting of Shareholders and the Board of Directors.
- Attend, discuss and give opinions in weekly/periodic meetings of the Executive Board in implementing strategies and goals approved by the Annual General Meeting of Shareholders.
- Organize and direct the strict implementation of the Quarterly Financial Report, Semi-Annual Financial Report, Annual Financial Report and Annual Report regime.
- Supervise and direct the implementation of information disclosure with the goal of ensuring transparency and timeliness in accordance with regulations.
- Supervise the implementation of Resolutions issued by the General Meeting of Shareholders and the Board of Directors, inspect the management activities of the Executive Board in business activities.
- The Board of Directors coordinates with the Executive Board to organize inspection and supervision activities for the Company's business activities and management.

- Continue to promote risk management and control activities
- Promote human resource development in key business areas, in line with the Company's key strategies and orientations.

In general, the Board of Directors evaluates that the Executive Board, with its open-minded spirit, great efforts and high responsibility, has fully performed the reporting, explanation and disclosure of information on production and business activities, fully, transparently and in compliance with current laws.

4. **Activities of subcommittees under the Board of Directors:** Currently, the Board of Directors has not established any subcommittees. Board Members will be assigned tasks and perform duties according to the assignment and direct management of the Chairman of the Board of Directors of the Company.

5. **Resolutions/Decisions of the Board of Directors (2024 Report)**

NO.	Resolution/Decision Number	Date	Content	Approval Rate
1	01/2024/NQ-HĐQT-TNI	27/02/2024	Approving the final registration date to close the list of shareholders exercising the right to attend the 2024 Annual General Meeting of Shareholders	100%
2	02/2024/NQ-HĐQT-TNI	26/03/2024	Approval of the date of the 2024 annual general meeting of shareholders adjusted compared to the plan	100%
3	03/2024/NQ-HĐQT-TNI	02/04/2024	Change of chief accountant of the company	100%
4	04/2024/NQ-HĐQT-TNI	02/05/2024	Approval of the policy of trading goods with Saigon Steel Corporation	100%
5	06/2024/NQ-HĐQT-TNI	31/05/2024	Change of the company's General Director	100%
6	07/2024/NQ-HĐQT-TNI	05/06/2024	Change of representative managing capital contribution at Dong Xuan Farm and Energy Joint Stock Company	100%
7	08/2024/NQ-HĐQT-TNI	12/06/2024	Approval of the announcement of termination of branch operations in Binh Duong province	100%
8	10/2024/NQ-HĐQT-TNI	10/07/2024	Approval of the selection of auditing unit for 2024	100%
9	11/2024/NQ-HĐQT-TNI	06/08/2024	Approval of borrowing capital, using assets as collateral at Vietnam Joint Stock Commercial Bank for Investment and Development (BIDV) - Thanh Xuan Branch	100%

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10	12/2024/NQ-HĐQT-TNI	10/09/2024	Approval of loan, authorization to sign with Sacombank - Capital Branch	100%
11	13/2024/NQ-HĐQT-TNI	12/11/2024	Approval of the decision to terminate the operation of the Company's branch in Binh Duong	100%
12	14/2024/NQ-HĐQT-TNI	26/12/2024	Approval of borrowing capital, using assets as collateral at Bank (BIDV) - Thanh Xuan Branch	100%
13	15/2024/NQ-HĐQT-TNI	27/12/2024	Approval of the content of asset purchase to serve the Company's business operations.	100%

### III. Supervisory Board: (2024 Report):

#### 1. Information about Supervisory Board (BOS) members:

NO.	Supervisory Board Member	Position	Date of starting/cease being a Supervisory Board Member	Professional Qualifications
1	Đỗ Thanh Thủy	Supervisory Board Member	Start date as Supervisory Board Member from 22/06/2021	College of Accounting
2	Nguyễn Huyền Trang	Supervisory Board Member	Start date as Supervisory Board Member from 26/04/2023 Dismissed from 26/04/2024	Bachelor of Business Administration
3	Mạc Thị Lan	Supervisory Board Member	Start date as Supervisory Board Member from 26/04/2023 Dismissed from 26/04/2024	Bachelor of Accounting
4	Phạm Quang Mạnh	Supervisory Board Member	Appointed from 26/04/2024	Bachelor of Economics and International Trade
5	Phạm Thị Hằng	Supervisory Board Member	Appointed from 26/04/2024	College of Accounting

#### 2. Supervisory Board meeting:

NO.	Supervisory Board Member	Number of meetings attended	Meeting attendance rate	Voting ratio	Reason for not attending
1	Đỗ Thanh Thủy	02/02	100%	100%	
2	Nguyễn Huyền Trang	01/02	50%	50%	Dismissed from 26/04/2024

3	Mạc Thị Lan	01/02	50%	50%	Dismissed from 26/04/2024
4	Phạm Quang Mạnh	01/02	50%	50%	Appointed from 26/04/2024
5	Phạm Thị Hằng	01/02	50%	50%	Appointed from 26/04/2024

**3. Supervision activities of the Supervisory Board for the Board of Directors, Executive Board and shareholders:**

During its term, the Supervisory Board has carried out the following supervisory activities:

- Inspecting and supervising compliance with the law, compliance with the Company's Charter and the implementation of the Resolutions of the General Meeting of Shareholders, the Board of Directors and the Executive Board.
- Reviewing and evaluating the effectiveness of the Company's internal control, risk management and early warning systems.
- Checking the reasonableness, legality and honesty in accounting, statistics and financial reporting.
- Assessing the completeness, legality and honesty of reports: 6-month or annual financial reports, business situation reports, and reports on the assessment of the Board of Directors' management.
- Reviewing accounting books, documents and records when deemed necessary or according to the resolution of the General Meeting of Shareholders or at the request of shareholders or groups of shareholders.

**4. Coordination of activities between the Supervisory Board and the Board of Directors, Executive Board and other management staff:**

The Supervisory Board has closely coordinated with the Board of Directors and the Board of Management in implementing the policies of the General Meeting of Shareholders. The Supervisory Board fully participated in the regular and irregular meetings of the Board of Directors, and contributed ideas to improve the policy mechanism as well as the direct management and operation of the Board of Directors. The resolutions issued by the Board of Directors were analyzed and evaluated with consensus by all Supervisory Board Members.

The coordination between the Supervisory Board, the Board of Directors and the Executive Board was closely and synchronously implemented in accordance with the policies set forth by the General Meeting of Shareholders. All management information of the Board of Directors and the Executive Board was provided to the Supervisory Board to effectively perform its supervisory role.

- 5. Other activities of the Supervisory Board:** Reviewing the Company's internal regulations to improve the operational efficiency of the apparatus and improve the Company's production and business efficiency.

**IV. Management Board**

NO.	Management Board Member	Date of Birth	Professional Qualifications	Date of appointment/ dismissal Management Board Member
1	Đoàn Thị Thu Thủy (General Director)	14/11/1995	Bachelor of Law – Hanoi Law University	Appointed from 05/07/2021 Dismissal from



				03/06/2024
2	Nguyễn Mạnh Lâm (General Director)	30/11/1966	Bachelor of Economics	Appointed from 03/06/2024

**V. Chief Accountant**

NO.	Full Name	Date of Birth	Professional Qualifications	Date of appointment/dismissal
1	Nguyễn Thị Kim Oanh	13/04/1991	Corporate Finance	Appointed from 06/10/2022 Dismissal from 02/04/2024
2	Bùi Thị Liễu	7/12/1983	Business Accounting	Appointed from 02/04/2024

**VI. Corporate governance training**

Corporate governance training courses attended by Board Members, Supervisory Board Members, General Director, other management officers and Company Secretary in accordance with corporate governance regulations: none

**VII. List of related persons of public companies (2024 Report) and transactions of related persons of the company with the Company itself:**

**1. List of related persons of the company**

*(Appendix 01: List of related persons of the Company - Attached)*

**2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, and related persons of insiders:**

No	Full Name	Position	Transaction company name	Transaction purpose	Amount (VND)
1	Dong Xuan Farm and Energy Joint Stock Company	Subsidiary – Related party of the company	Thanh Nam Group Joint Stock Company	Change of representative managing capital contribution at Dong Xuan Farm and Energy Joint Stock Company	According to Board of Directors Resolution No. 07/2024/NQ-HĐQT-TNI from 05/06/2024
2	Nguyễn Hùng Cường	Legal representative	Thanh Nam Group Joint Stock Company	Appoint a representative to negotiate, negotiate, approve and sign contracts with BIDV Bank.	According to Board of Directors Resolution No. 11/2024/NQ-HĐQT-TNI from 06/08/2024



3	Nguyễn Hùng Cường	Legal representative	Thanh Nam Group Joint Stock Company	Authorize representative to carry out transactions at Sacom Bank	According to Board of Directors Resolution No. 12/2024/NQ-HĐQT-TNI from 10/09/2024
4	Nguyễn Hùng Cường	Legal representative	Thanh Nam Group Joint Stock Company	Appoint a representative to negotiate, negotiate, approve and sign contracts with BIDV Bank.	According to Board of Directors Resolution No. 14/2024/NQ-HĐQT-TNI from 26/12/2024
5	Nguyễn Hùng Cường	Legal representative	Thanh Nam Group Joint Stock Company	Appoint a representative to negotiate and sign contracts for the purchase and sale of assets to serve the company's business operations.	According to Board of Directors Resolution No. 15/2024/NQ-HĐQT-TNI from 27/12/2024

**3. Transactions between company insiders, related persons of insiders and subsidiaries, companies controlled by the company: none**

**4. Transactions between the company and other entities**

4.1. Transactions between the company and companies in which the Board Member, Supervisory Board member, General Director and other managers have been or are founding members or Board Member, General Director in the past three (03) years (calculated at the time of reporting):

No	Full Name	Position	Transacting Company Name	Transaction Purpose	Amount (VND)
1	Saigon Steel Joint Stock Company	Mr. Nguyen Xuan Thu - Legal representative of Saigon Steel Corporation is a related person of insider Mr. Nguyen Hung Cuong.	Thanh Nam Group Joint Stock Company	Commodity trading	65.278.141.454 VND

- 4.2. Transactions between the company and companies in which related persons of Board Members, members of the Board of Supervisors, General Directors and other managers are Board Members, General Directors: none
- 4.3. Other corporate transactions (if any) that may bring material or immaterial benefits to Board Members, members of the Supervisory Board, General Director and other managers: none

#### **VIII. Stock transactions of insiders and related parties of insiders (2024 Report)**

**1. List of insiders and related persons of insiders**

*(Appendix 02: List of insiders and related persons of insiders - Attached)*

**2. Transactions of insiders and related persons on company shares**

*(Appendix 03: Insider and related person transactions in respect of the Company's shares – attached)*

#### **IX. Other issues to note:**

**Recipient:**

- As directed;
- Filed: Legal Department.

**CHAIRMAN OF THE BOARD**



Nguyễn Hùng Cường



**APPENDIX 01**  
**LIST OF COMPANY RELATED PERSONS**

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
1	Nguyễn Hùng Cường		Chairman of the Board of Directors since 05/07/2021			7/17/2009			Insider	Column (7) is calculated from the date of being the legal representative of the
2	Nguyễn Văn Thư					17/07/2009				Biological Father
3	Nguyễn Thị Hương					17/07/2009				Biological Mother
4	Nguyễn Đức Tinh					3/30/2022				Father-in-law
5	Đỗ Thị Khuê					3/30/2022				Mother-in-law
6	Nguyễn Thị Thu Hằng					3/30/2022				Wife
7	Nguyễn Thị Thanh Nga					17/07/2009				Younger sister
8	Nguyễn Tuấn anh					17/07/2009				Younger brother
9	Nguyễn Xuân Thăng					17/07/2009				Younger brother
10	Nguyễn Xuân Thu					17/07/2009				Younger brother

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
11	Nguyễn Thành Nam					17/07/2009				Biological Child
12	Nguyễn Phương Anh					17/07/2009				Biological Child
13	Nguyễn Thành Minh					17/07/2009				Biological Child
14	Nguyễn Thành Lâm					17/07/2009				Biological Child
15	Nguyễn Khắc Thanh					17/07/2009				Younger Brother-in-law
16	Bạc Thị Diệu Ly					17/07/2009				Younger Sister-in-law
17	Trần Thị Hương Giang		Board Member			6/22/2021			Insider	
18	Lê Xuân Long					22/06/2021				Husband
19	Trần Trung Cương					22/06/2021				Younger Brother



No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
20	Trần Thị Bình					22/06/2021				Biological Mother
21	Đỗ Thị An					22/06/2021				Mother-in-law
22	Lê Thị Hương					22/06/2021				Older Sister-in-law
23	Lê Thị Liên					22/06/2021				Younger Brother-in-law
24	Lê Minh Quân					22/06/2021				Biological Child
25	Lê Trần Khánh Ngọc					22/06/2021				Biological Child
26	Đoàn Thị Thu Thủy		Board Member Authorized person to disclose information			22/06/2021			Insider	
27	Vũ Minh Đức					22/06/2021				Husband
28	Vũ Thị Nhiên					22/06/2021				Biological Mother
29	Vũ Văn Bảy					22/06/2021				Father-in-law
30	Trần Thị Tân					22/06/2021				Mother-in-law
31	Đoàn Thị Thương Huyền					22/06/2021				Older Sister

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
32	Trần Văn Linh					22/06/2021				Older Brother-in-law
33	Vũ Hải Bình					22/06/2021				Biological Child
34	Phạm Thủy Hằng		Board Member			4/26/2023	4/26/2024			
35	Phạm Văn Minh					4/26/2023	4/26/2024			Biological Father
36	Trần Thị Thủy					4/26/2023	4/26/2024			Biological Mother
37	Trần Văn Doanh					4/26/2023	4/26/2024			Father-in-law
38	Vũ Thị Ngoan					4/26/2023	4/26/2024			Mother-in-law
39	Trần Văn Phú					4/26/2023	4/26/2024			Husband
40	Trần Tuấn Kiên					4/26/2023	4/26/2024			Biological Child
41	Phạm Thị Quỳnh Anh					4/26/2023	4/26/2024			Older Sister
42	Nguyễn Ngọc Duy					4/26/2023	4/26/2024			Older Brother-in-law
43	Vũ Lan Phương		Independent Board Member			4/26/2023	4/26/2024			



No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
44	Vũ Tài Quý					4/26/2023	4/26/2024			Biological Father
45	Phạm Thị Xuyên					4/26/2023	4/26/2024			Biological Mother
46	Hoàng Lê Ba					4/26/2023	4/26/2024			Husband
47	Vũ Quang Vinh					4/26/2023	4/26/2024			Older Brother
48	Cao Thị Nhân					4/26/2023	4/26/2024			Older Sister-in-law
49	Hoàng Phương Bảo Linh					4/26/2023	4/26/2024			Biological Child
50	Hoàng Vũ Linh Nhi					4/26/2023	4/26/2024			Biological Child
51	Nguyễn Mạnh Lâm		General Director			6/3/2024			Insider	
52	Nguyễn Thế Xương					6/3/2024				Biological Father
53	Phí Thị Nga					6/3/2024				Biological Mother
54	Nguyễn Thị Kim Dung					6/3/2024				Mother-in-law

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
55	Nguyễn Lan Hương					6/3/2024				Older Sister
56	Nguyễn Xuân Thắng					6/3/2024				Older Brother-in-law
57	Nguyễn Thanh Hằng					6/3/2024				Older Sister
58	Nguyễn Chính Nghĩa					6/3/2024				Older Brother-in-law
59	Nguyễn Huy Thắng					6/3/2024				Younger Brother
60	Trịnh Thị Ngọc Hà					6/3/2024				Younger Sister-in-law
61	Nguyễn Thị Hồng Hạnh					6/3/2024				Younger sister
62	Vũ Thị Hồng Vân					6/3/2024				Wife
63	Nguyễn Quang Huy					6/3/2024				Biological Child
64	Nguyễn Tuấn Hiệp					6/3/2024				Biological Child

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
65	Bùi Văn Hoàn		Independent Board Member			4/26/2024			Insider	
66	Bùi Văn Hiếu					4/26/2024				Biological Father
67	Trần Thị Đào					4/26/2024				Biological Mother
68	Phuong Ngoc Chinh					4/26/2024				Wife
69	Bùi Quang Huy					4/26/2024				Biological Child
70	Bùi Văn Hiền					4/26/2024				Older Brother
71	Bùi Văn Hiệp					4/26/2024				Older Brother
72	Bùi Thị Hằng					4/26/2024				Younger sister
73	Bùi Thị Nga					4/26/2024				Younger sister
74	Phuong Chi Hoa					4/26/2024				Biological Father Wife



No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
75	Quảng Thị Mến					4/26/2024				Mother-in-law
76	Phạm Thị Thu					4/26/2024				Older Sister-in-law
77	Nguyễn Thị Phương Thảo					4/26/2024				Older Sister-in-law
78	Hoàng Văn Chiến					4/26/2024				Younger Brother-in-law
79	Nguyễn Thị Hiền		Board Member			4/26/2024			Insider	
80	Đoàn Thị Tuyết					4/26/2024				Biological Mother
81	Đỗ Văn Bình					4/26/2024				Father-in-law
82	Ngô Thị Phương					4/26/2024				Mother-in-law
83	Đỗ Văn Thành					4/26/2024				Husband
84	Đỗ Phúc Hưng					4/26/2024				Biological Child

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
85	Đỗ Đức Thịnh					4/26/2024				Biological Child
86	Nguyễn Văn Minh					4/26/2024				Older Brother
87	Vũ Thị Thủy					4/26/2024				Older Sister-in-law
88	Phạm Quang Mạnh		Head of Board of Supervisors			4/26/2024			Insider	
89	Phạm Văn Tôn					4/26/2024				Biological Father
90	Nguyễn Thị Bích Thủy					4/26/2024				Biological Mother
91	Phạm Quang Huy					4/26/2024				Em ruột
92	Phạm Thị Hằng		Member of Board of Supervisors			4/26/2024			Insider	
93	Phạm Ngọc Huân					4/26/2024				Biological Father
94	Hoàng Thị Tâm					4/26/2024				Biological Mother
95	Nguyễn Thị Bích Nguyệt					4/26/2024				Mother-in-law

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
96	Nguyễn Xuân Vũ					4/26/2024				Father-in-law
97	Nguyễn Hoàng Việt					4/26/2024				Husband
98	Nguyễn Hoàng Phương Anh					4/26/2024				Biological Child
99	Nguyễn Hoàng Minh Anh					4/26/2024				Biological Child
100	Phạm Thị Huyền					4/26/2024				Younger sister
101	Nguyễn Thị Kim Oanh		Chief Accountant			10/6/2022	4/2/2024		Insider	
102	Nguyễn Văn Căn					10/6/2022	4/2/2024			Biological Father
103	Phạm Thị Minh					10/6/2022	4/2/2024			Biological Mother
104	Nguyễn Văn Nam					10/6/2022	4/2/2024			Older Brother
105	Trần Thị Trang					10/6/2022	4/2/2024			Older Sister-in-law
106	Nguyễn Văn Tường					10/6/2022	4/2/2024			Husband
107	Nguyễn Ngọc Khanh Ly					10/6/2022	4/2/2024			Biological Child
108	Nguyễn Ngọc Bảo Khánh					10/6/2022	4/2/2024			Biological Child
109	Nguyễn Quốc Bảo					10/6/2022	4/2/2024			Biological Child
110	Bùi Thị Liễu		Chief Accountant				4/2/2024		Insider	



No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
111	Bùi Văn Tiền					4/2/2024	4/2/2024			Biological Father
112	Vũ Thị Mỹ					4/2/2024	4/2/2024			Biological Mother
113	Mai Thị Thảo					4/2/2024	4/2/2024			Mother-in-law
114	Bùi Văn Khuỷu					4/2/2024	4/2/2024			Older Brother
115	Bùi Thị Hoàn					4/2/2024	4/2/2024			Older Sister-in-law
116	Bùi Thọ Trình					4/2/2024	4/2/2024			Husband
117	Bùi An Bình					4/2/2024	4/2/2024			Biological Child
118	Bùi Bình Minh					4/2/2024	4/2/2024			Biological Child
119	Nguyễn Huyền Trang		Head of Board of Supervisors			4/26/2023	4/26/2024			
120	Nguyễn Văn Quân					4/26/2023	4/26/2024			Biological Father

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
121	Nguyễn Thị Ngọc Mai					4/26/2023	4/26/2024			Biological Mother
122	Nguyễn Tiến Đạt					4/26/2023	4/26/2024			Younger Brother
123	Trần Chung					4/26/2023	4/26/2024			Husband
124	Trần Văn Quang					4/26/2023	4/26/2024			Father-in-law
125	Nguyễn Thị Hiền					4/26/2023	4/26/2024			Mother-in-law
126	Trần Trọng Phúc					4/26/2023	4/26/2024			Biological Child
127	Trần Ngọc Minh Khuê					4/26/2023	4/26/2024			Biological Child
128	Đỗ Thanh Thủy		Member of Board of Supervisors			22/06/2021			Insider	
129	Hoàng anh Thái					22/06/2021				Husband
130	Đỗ Xuân Trung					22/06/2021				Biological Father
131	Nguyễn Thị Hời					22/06/2021				Biological Mother
132	Hoàng anh Tuấn					22/06/2021				Biological Child

No.	Name of Organization/Individual	Securities Trading Account (if available)	Position in the Company (if applicable)	ID/Registration Number, Issue Date, Date of Issue:	Main Address/Contact Address	Start Date of Relationship	End Date of Relationship	Reason	Relationship with the Company	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)
133	Mạc Thị Lan		Member of Board of Supervisors			4/26/2023	4/26/2024			
134	Nguyễn Xuân Thiý					4/26/2023	4/26/2024			Husband
135	Trần Thị Chín					4/26/2023	4/26/2024			Biological Mother
136	Mạc Văn Trình					4/26/2023	4/26/2024			Biological Father
137	Nguyễn Thị Liên					4/26/2023	4/26/2024			Mother-in-law
138	Nguyễn Xuân Trường					4/26/2023	4/26/2024			Father-in-law
139	Nguyễn Mạc Gia Hân					4/26/2023	4/26/2024			Biological Child
140	Nguyễn Mạc Gia An					4/26/2023	4/26/2024			Biological Child
141	Mạc Văn Chính					4/26/2023	4/26/2024			Younger Brother
142	<b>Đông Xuân Farm and Energy Joint Stock Company</b>		<b>Subsidiary company</b>			<b>12/6/2022</b>			<b>Subsidiary company</b>	
143	<b>Vườn Đào Hạ Long Hotel Joint Stock Company</b>		<b>Affiliated company</b>			<b>12/16/2022</b>			<b>Affiliated company</b>	





APPENDIX 02  
LIST OF INSIDERS AND RELATED PERSONS OF INSIDERS

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	Nguyễn Hưng Cường		Chairman of the Board			391,490	0.7457	
2	Nguyễn Văn Thư					-	-	
3	Nguyễn Thị Hương					4,900	0.0093	
4	Nguyễn Đức Tình					-	-	
5	Đỗ Thị Khuê					-	-	
6	Nguyễn Thị Thu Hằng					-	-	
7	Nguyễn Thị Thanh Nga					1,800	0.0034	
8	Nguyễn Tuấn Anh					-	-	
9	Nguyễn Xuân Thắng					-	-	
10	Nguyễn Xuân Thu					50	0.0001	
11	Nguyễn Thành Nam					-	-	
12	Nguyễn Thành Minh					-	-	

No.	Full Name (2)	Securities Trading Account (if available) (3)	Position in the Company (if applicable) (4)	Citizen ID Number/Passport, Date of Issue, Place of Issue: (5)	Contact address (6)	Number of shares owned at the end of the period (7)	End of period share ownership ratio (%) (8)	Notes (9)
13	Nguyễn Phương Anh					-	-	
14	Nguyễn Thành Lâm					-	-	
15	Nguyễn Khắc Thanh					-	-	
16	Bạc Thị Diệu Ly					-	-	
17	Trần Thị Hương Giang		Board Member			100	0,0002%	
18	Lê Xuân Long					-	-	
19	Trần Trung Cường					-	-	
20	Trần Thị Bình					-	-	
21	Đỗ Thị An					-	-	
22	Lê Thị Hương					-	-	
23	Lê Thị Liên					-	-	
24	Lê Minh Quân					-	-	
25	Lê Trần Khánh Ngọc					-	-	
26	Đoàn Thị Thu Thủy		Board Member Authorized person to disclose information			-	-	
27	Vũ Minh Đức					-	-	



No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
28	Vũ Thị Nhiên					-	-	
29	Vũ Văn Bảy					-	-	
30	Trần Thị Tân					-	-	
31	Đoàn Thị Thương Huyền					-	-	
32	Trần Văn Linh					-	-	
33	Vũ Hải Bình					-	-	
34	Phạm Thúy Hằng		Board Member (Dismissed on April 26, 2024)			-	-	
35	Phạm Văn Minh					-	-	
36	Trần Thị Thủy					-	-	
37	Trần Văn Doanh					-	-	
38	Vũ Thị Ngọc Lan					-	-	
39	Trần Văn Phú					-	-	

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
40	Trần Tuấn Kiên					-	-	
41	Phạm Thị Quỳnh Anh					-	-	
42	Nguyễn Ngọc Duy					-	-	
43	Vũ Lan Phương		Independent Board Member (Dismissed on April 26, 2024)			-	-	
44	Vũ Tài Quý					-	-	
45	Phạm Thị Xuyến					-	-	
46	Hoàng Lê Ba					-	-	
47	Vũ Quang Vinh					-	-	
48	Cao Thị Nhân					-	-	
49	Hoàng Phương Bảo Linh					-	-	
50	Hoàng Vũ Linh Nhi					-	-	
51	Nguyễn Huyền Trang		Head of Board of Supervisors (Dismissed on April 26, 2024)			-	-	

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
52	Nguyễn Văn Quân					-	-	
53	Nguyễn Thị Ngọc Mai					-	-	
54	Nguyễn Tiến Đạt					-	-	
55	Trần Chung					-	-	
56	Trần Văn Quang					-	-	
57	Nguyễn Thị Hiền					-	-	
58	Trần Trọng Phước					-	-	
59	Trần Ngọc Minh Khuê					-	-	
60	Mạc Thị Lan		Member of Board of Supervisors (Dismissed on April 26, 2024)			-	-	
61	Nguyễn Xuân Thủy					-	-	
62	Trần Thị Chin					-	-	
63	Mạc Văn Trinh			5/11		-	-	



No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
64	Nguyễn Thị Liên					-	-	
65	Nguyễn Xuân Trường					-	-	
66	Nguyễn Mạc Gia Hân					-	-	
67	Nguyễn Mạc Gia An					-	-	
68	Mac Văn Chính					-	-	
69	Đỗ Thanh Thủy		Member of Board of Supervisors			600	0,0011%	
70	Hoàng anh Thái					100	0,0002%	
71	Đỗ Xuân Trung					-	-	
72	Nguyễn Thị Hời					-	-	
73	Hoàng anh Tuấn					-	-	
74	Nguyễn Mạnh Lâm		General Director			-	-	
75	Nguyễn Thế Xương					-	-	
76	Phi Thị Nga			6/11		-	-	

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
77	Nguyễn Thị Kim Dung					-	-	
78	Nguyễn Lan Hương					-	-	
79	Nguyễn Xuân Thắng					-	-	
80	Nguyễn Thanh Hằng					-	-	
81	Nguyễn Chính Nghĩa					-	-	
82	Nguyễn Huy Thắng					-	-	
83	Trịnh Thị Ngọc Hà					-	-	
84	Nguyễn Thị Hồng Hạnh					-	-	
85	Vũ Thị Hồng Vân					-	-	
86	Nguyễn Quang Huy					-	-	
87	Nguyễn Tuấn Hiệp					-	-	
88	Bùi Văn Huân		Independent Board Member			-	-	
89	Bùi Văn Hiếu					-	-	

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
90	Trần Thị Đào					-	-	
91	Phuong Ngoc Chinh					-	-	
92	Bùi Quang Huy					-	-	
93	Bùi Văn Hiến					-	-	
94	Bùi Văn Hiệp					-	-	
95	Bùi Thị Hằng					-	-	
96	Bùi Thị Nga					-	-	
97	Phuong Chi Hoa					-	-	
98	Quảng Thị Mến					-	-	
99	Phạm Thị Thu					-	-	
100	Nguyễn Thị Phương Thảo					-	-	
101	Hoàng Văn Chiến					-	-	
102	Nguyễn Thị Hiền		Board Member			-	-	



No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
103	Đoàn Thị Tuyết					-	-	
104	Đỗ Văn Bình					-	-	
105	Ngô Thị Phương					-	-	
106	Đỗ Văn Thành					-	-	
107	Đỗ Phúc Hưng					-	-	
108	Đỗ Đức Thịnh					-	-	
109	Nguyễn Văn Minh					-	-	
110	Vũ Thị Thủy					-	-	
111	Phạm Quang Mạnh		Head of Board of Supervisors			-	-	
112	Phạm Văn Tôn					-	-	
113	Nguyễn Thị Bích Thủy					-	-	
114	Phạm Quang Huy					-	-	
115	Phạm Thị Hằng		Member of Board of Supervisors			-	-	

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
116	Phạm Ngọc Huân					-	-	
117	Hoàng Thị Tâm					-	-	
118	Nguyễn Thị Bích Nguyệt					-	-	
119	Nguyễn Xuân Vũ					-	-	
120	Nguyễn Hoàng Việt					-	-	
121	Nguyễn Hoàng Phương Anh					-	-	
122	Nguyễn Hoàng Minh Anh					-	-	
123	Phạm Thị Huyền					-	-	
124	Nguyễn Thị Kim Oanh		Chief Accountant (Dismissed on 02/04/2024)			-	-	
125	Nguyễn Văn Căn					-	-	
126	Phạm Thị Minh					-	-	
127	Nguyễn Văn Nam					-	-	
128	Trần Thị Trang					-	-	
129	Nguyễn Văn Tường					-	-	

No.	Full Name	Securities Trading Account (if available)	Position in the Company (if applicable)	Citizen ID Number/Passport, Date of Issue, Place of Issue:	Contact address	Number of shares owned at the end of the period	End of period share ownership ratio (%)	Notes
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
130	Nguyễn Ngọc Khánh Ly					-	-	
131	Nguyễn Ngọc Bảo Khánh					-	-	
132	Nguyễn Quốc Bảo					-	-	
133	Bùi Thị Liễu		Chief Accountant			-	-	
134	Bùi Văn Tiến					-	-	
135	Vũ Thị Mỹ					-	-	
136	Mai Thị Thảo					-	-	
137	Bùi Văn Khuỷu					-	-	
138	Bùi Thị Hoàn					-	-	
139	Bùi Thọ Trình					-	-	
140	Bùi An Bình					-	-	
141	Bùi Bình Minh					-	-	





# APPENDIX 03

## INSIDER AND RELATED PERSON TRADING IN COMPANY SHARES

No.	Transacting Person	Relationship with Insider	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reason for increase, decrease (buy, sell, convert, reward...)
			Shares	Ratio (%)	Shares	Ratio (%)	
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)
1	(None)						

