

APPENDIX V

REPORT ON CORPORATE GORVERNANCE

(According to Circular No. 96/2020/TT-BTC dated November 16, 2020, of the Minister of Finance)

**DSC SECURITIES
CORPORATION**

THE SOCIALIST REPUBLIC OF VIETNAM

Independence – Freedom – Happiness

No: 2401/BC-DSC

Hanoi, January 24, 2025

(Ref: The Corporate Governance Report in
2024)

- To:**
- State Securities Commission of Vietnam
 - Vietnam Stock Exchange
 - Ho Chi Minh Stock Exchange
 - Hanoi Stock Exchange

- Organization name: **DSC SECURITIES CORPORATION**
- Address: 2nd Floor Thanh Cong Building, 80 Dich Vong Hau, Dich Vong Hau Ward, Cau Giay District, Hanoi City
- Telephone: +84 243 880 3456
- Fax: +84 243 783 2189
- Email: info@dsc.com.vn
- Charter capital: VND 2.048.389.250.000
- Ticker: DSC
- Governance model: General Meeting of Shareholders, Board of Directors, The Supervisory Board, The Executive Board
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written opinions):

No.	Resolution/Decision No.	Date	Content
1	01/2024/NQ-ĐHĐCĐ/DSC	25/03/2024	<ul style="list-style-type: none">• Approval of the reports: Report on the activities of the Board of Directors; Report on the activities of the Supervisory Board; Report on the activities of the Executive Board;• Approval of the Company's audited financial statements for 2023;• Approval of the profit distribution plan for 2023;

			<ul style="list-style-type: none"> • Approval of remuneration of the Board of Directors and the Supervisory Board; • Approval of the 2024 business plan; • Approval of the selection of an independent auditing company to audit the 2024 financial statements; • Approval of the listing of shares on the Ho Chi Minh City Stock Exchange; • Approval of the Report on the use of capital for the charter capital increase in 2023; • Approval of the amendment of the Company's Charter; • Approval of the amendment of the Company's Internal Governance Regulations, the Operating Regulations of the Board of Directors, the Board of General Directors, and the Board of Supervisors.
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II. Board of Directors

1. Board of Directors

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-Executive member off the Board of Directors)	The date becoming/ceasing to be the member off the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr. Nguyen Duc Anh	Chairman	08/01/2021	
2	Ms. Nguyen Thi Thu Ha	Member	08/01/2021	
3	Mr. Vu Nhat Lam	Member	26/07/2021	
4	Mr. Bach Quoc Vinh	Member	20/04/2022	

2. Meeting of the Board of Directors

No.	Board of Directors' member	Number of meetings attended by Board of Directors	Attendance rate	Reason for absence
1	Mr. Nguyen Duc Anh	23/23	100%	
2	Ms. Nguyen Thi Thu Ha	23/23	100%	
3	Mr. Vu Nhat Lam	16/23	69,5%	Personal reasons
4	Mr. Bach Quoc Vinh	23/23	100%	

3. Supervising the Executive Board by the Board of Directors

In compliance with the provisions of the Charter, Internal Regulations on Corporate Governance, Regulations on the Board of Directors' operations and current laws, the Board of Directors has supervised the activities of the Executive Board in 2024 as follows:

3.1. Supervising the implementation of Resolutions/Decisions issued by the General Meeting of Shareholders and the Board of Directors:

(a) Supervising the progress of the implementation of the dossier for issuing shares under the employee selection program and the dossier for applying for a license to offer shares to the public for existing shareholders

3.2. Supervising the activities of the Executive Board to improve business efficiency and complete the proposed business plan. Specifically:

(a) In 2024, the Board of Directors continue to improve the supervision, guidance and direction of the Executive Board to ensure the correct and complete implementation of the Resolutions/Decisions of the General Meeting of Shareholders and the Board of Directors;

(b) Periodic reports (Annual Reports, Quarterly Financial Reports, Monthly Activity Reports, etc.) are completed fully and on time.

(c) Completion of registration for trading membership at the Vietnam Stock Exchange

(d) Information is disclosed and reported fully, transparently and promptly in accordance with the regulations of the State Securities Commission, the Stock Exchange(s), the Vietnam Securities Depository and Clearing Corporation and current laws.

(e) Completion of the implementation of the new securities trading system.

In addition, the Board of Directors has also spent a lot of time on exchanging and discussing with the Executive Board to promote the Company's business activities based on proper implementation of the Charter, Regulations and regulations of the Company and relevant laws.

Through the monitoring process, the Board of Directors assesses that the Executive Board in 2024 has seriously implemented the Resolutions/Decisions of the Board of Directors; timely report information and adjust implementation progress in accordance with actual situation and requirements of the Board of Directors; propose business plans and measures to improve the Company's operations and management.

4. Activities of the Board of Directors' sub-committees (if any)

The functions of the Sub-committees under the Board of Directors, the Internal Audit and Risk Management Committees under the Board of Directors are assigned to specialized personnel.

5. Resolutions/Decisions of the Board of Directors

No.	Resolution/Decision No.	Date	Content	Approval rate
1	01/2024/NQ-HĐQT/DSC	22/01/2024	Deploying DSC stock trading from Upcom trading system to listing at Ho Chi Minh City Stock Exchange	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
2	01.1/2024/NQ-HĐQT/DSC	22/01/2024	Approving investment/trading in government bonds	100%
3	02/2024/NQ-HĐQT/DSC	22/01/2024	Approving listing dossier at Ho Chi Minh City Stock Exchange	100%
4	03/2024/NQ-HĐQT/DSC	02/02/2024	Organizing the 2024 Annual General Meeting of Shareholders	100%
5	04/2024/NQ-HĐQT/DSC	02/02/2024	Appropriating the 2023 bonus and welfare fund	100%
6	05/2024/NQ-HĐQT/DSC	19/04/2024	Appointing the person in charge of corporate governance	100%
7	05.1/2024/NQ-HĐQT/DSC	02/05/2024	Approving the limit on self-trading activities and the limit on self-trading for a stock code in the 2nd and 3rd quarters of 2024	100%
8	07.1/2024/NQ-HĐQT/DSC	15/05/2024	Approving the change in the limit on self-trading activities and the limit on self-trading for a stock code in the 2nd and 3rd quarters of 2024	100%
9	08/2024/NQ-HĐQT/DSC	20/05/2024	Approving the change in the address/location of Da Nang Branch	100%
10	10/2024/NQ-HĐQT/DSC	27/06/2024	Approving the selection of an independent auditor to audit the Financial Statements and Financial Safety Ratio Report for 2024	100%
11	10.1/2024/NQ-HĐQT/DSC	05/07/2024	Approving the total project estimate: "Design and complete construction of Da Nang Branch - 130 Dien Bien Phu, Thanh Khe, Da Nang	100%
12	12/2024/NQ-HĐQT/DSC	23/07/2024	Approving the Contract/Transaction with Related Persons of the Company - Thanh Cong Motor Vietnam Joint Stock Company	100%
13	13/2024/NQ-HĐQT/DSC	23/07/2024	Approving the Contract/Transaction with Related Persons of the Company - NTP Fund Management Joint Stock Company	100%

No.	Resolution/Decision No.	Date	Content	Approval rate
14	14/2024/NQ-HĐQT/DSC	15/07/2024	Approval of Credit Limit at Vietnam Maritime Commercial Joint Stock Bank	100%
15	13.1/2024/NQ-HĐQT/DSC	27/08/2024	Approval of the plan to handle margin loan debts (Stock code: NVL)	100%
16	15/2024/NQ-HĐQT/DSC	12/08/2024	Approval of the credit limit with Ocean Commercial Joint Stock Bank	100%
17	15.1/2024/NQ-HĐQT/DSC	28/08/2024	Approval of the implementation of the project "Strengthening Information Security"	100%
18	16/2024/NQ-HĐQT/DSC	07/10/2024	Approval of the transaction of DSC shares from the Upcom trading system to listing on the Ho Chi Minh City Stock Exchange	100%
19	17/2024/NQ-HĐQT/DSC	10/15/2024	Approval of the reference price on the first trading day at HOSE	100%
20	18/2024/NQ-HĐQT/DSC	31/10/2024	Removal and appointment of the person in charge of corporate governance	100%
21	18.1/2024/NQ-HĐQT/DSC	28/11/2024	Approval of the granting of credit limit with ACB	100%
22	19/2024/NQ-HĐQT/DSC	29/11/2024	Approval of the issuance of "Contingency plan for emergency situations" and amendments and supplements to "Regulations on Prevention and Control of Money Laundering"	100%
23	21/2024/NQ-HĐQT/DSC	23/12/2024	Approval of the granting of credit limit with Vietnam Prosperity Joint Stock Commercial Bank	100%

III. The Supervisory Board

1. Information about members of the Supervisory Board

No.	Members of the Supervisory Board	Position	The date becoming/ceasing to be the member off the Supervisory Board	Qualification
1	Ms. Nguyen Thi Hien Ngoc	Head of Board	05/03/2021	Bachelor
2	Ms. Le Thi Lien	Member	05/03/2021	Bachelor

3	Ms. Bui Thi Ngoc Ly	Member	05/03/2021	Bachelor
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2. Meeting of the Supervisory Board

No.	Members of the Supervisory Board	Number of meetings attended	Attendance rate	Voting rate	Reason for absence
1	Ms. Nguyen Thi Hien Ngoc	4/4	100%	100%	
2	Ms. Le Thi Lien	4/4	100%	100%	
3	Ms. Bui Thi Ngoc Ly	4/4	100%	100%	

3. Supervisory activities of the Board of Supervisors/Audit Committee over the Board of Directors, the Board of Management and shareholders

In 2024, the Board of Directors and the Board of Management maintained a relationship with the Board of Supervisors, improved supervision activities, and provided comments when necessary.

During the working process, the Board of Supervisors was provided with records, documents, and information related to the working program.

The Company has fully disclosed information on issues arising during its operations in accordance with the provisions of law.

The Board of Supervisors did not receive any complaints from shareholders in 2024.

4. Coordination of activities between the Supervisory Board/Audit Committee over the activities of the Board of Directors, the Board of Management and other managers

The Board of Directors, the General Director, other managers of the Company and the Supervisory Board proactively contact and discuss with each other when there are necessary business activities with the opinions of the Parties in the spirit of solidarity and support, with the aim of perfecting and developing the Company.

5. Other activities of the Supervisory Board/Audit Committee (if any): None

IV. The Executive Board/Board of Management

No.	Members of Executive Board	DOB	Qualification	Date of appointment/dismissal off members off the Executive Board
1	Mr. Bach Quoc Vinh	18/10/1975	Master	Appointed from 16/02/2022

V. Chief Accountant

Full name	DOB	Qualification	Date of appointment/dismissal
Mr. Tran Minh Toan	18/10/1975	Master	Appointed from 12/025/2022

VI. Training courses on corporate governance

Corporate governance training courses attended by members of the Board of Directors, members of the Supervisory Board, General Director, other managers and the Company Secretary in accordance with regulations on corporate governance: None.

VII. The list of affiliated persons of the public company and transactions of affiliated persons of the Company

1. The list of affiliated persons of the Company: Appendix 01 attracted with this Report

No.	Name of Organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No. *, date of issue, place of issue	Addresses	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company

Note: NSH No.: ID Card No./Passport No. (As for individual) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organizations).

2. Transaction between the Company and its affiliated persons or between the Company and its major shareholders, internal person and affiliated persons:

No.	Name of Organization/individual	Relationship with the Company	NSH No. *, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/Board of Directors	Content, quantity, total value of transaction	Note
1	Thanh Cong Motor Vietnam Joint Stock Company	Internals' related person (Mr. Nguyen Duc Anh)				Resolution No. 12/2024/NQ-HĐQT/DSC dated 23/07/2024	<p>- Content: Thanh Cong Motor Vietnam Joint Stock Company sells liquidated assets to DSC Securities Corporation</p> <p>- Total value: VND 408.971.017</p>	

2	NTP Fund Management Joint Stock Company	Internals' related person (Mr. Bach Quoc Vinh)				Resolution No. 13/2024/NQ-HĐQT/DSC dated 23/07/2024	- Content: NTP Fund Management Joint Stock Company provides investment consulting services to DSC Securities Corporation - Total value: VND 3.050.000.000	
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Note: NSH No.: ID Card No./Passport No. (As for individual) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organizations).

3. Transaction between internal person of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling Power

No.	The person who makes the transaction	Relationship with the internal person	Position at the Company	ID Card No. */ Passport No.*, date of issue, place of issue	Address	Name of subsidiary, company controlled by listed company	Time of transactions	Content, quantity, total value of transaction	Note
Appendix 03 attracted with this Report									

4. Transactions between the Company and other objects:

4.1 Transactions between the company and companies in which members of the Board of Directors, members of the Supervisory Board, Directors (General Directors) and other managers have been and are founding members or members of the Board of Directors, Directors (General Directors) in the past three (03) years (calculated at the time of preparing the report): None

4.2 Transactions between the company and companies in which related persons of members of the Board of Directors, members of the Supervisory Board, Directors (General Directors) and other managers as a member of the Board of Directors, Directors (General Directors or CEO): None

4.3 Other transactions of the company (if any) that may bring material or non-material benefits for members of the Board of Directors, members of the Supervisory Board, Directors (General Directors) and other managers: None

VIII. Share transactions of internal persons and their affiliated persons

1. The list of internal persons and their affiliated

No.	Name	Securities trading account (if any)	Position at the Company (if any)	ID Card No. */ Passport No.*, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	(Name of internal person)							
	Name of affiliated persons							

2. Transactions of internal persons and affiliated persons with shares of the Company

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period	Number of shares owned at the end of the period	Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
1	(Name of internal person)				
	Name of affiliated persons				

IX. Other significant issues: None

Recipients:

- State Securities Commission of Vietnam
- Vietnam Stock Exchange
- Ho Chi Minh Stock Exchange
- Hanoi Stock Exchange
- Archived: VT

CHAIRMAN OF THE BOARD OF DIRECTORS



 CÔNG TY CỔ PHẦN CHỨNG KHOÁN SÀI GÒN - TP. HCM

 NGUYEN DUC ANH

APPENDIX 01

No.	Name of Organization/individual	Securities trading account (if any)	Position at the Company (if any)	NSH No. *, date off issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Mr. Nguyen Duc Anh		Chairman			08/01/2021			-Internal person of the Company -Shareholders owning more than 10% of charter capital
2	Ms. Nguyen Thi Thu Ha		Member			08/01/2021			- Internal person of the Company
3	Mr. Vu Nhat Lam		Member			26/07/2021			- Internal person of the Company



4	Mr. Bach Quoc Vinh		Member/CEO			16/02/2022			- Internal person of the Company
5	Ms. Nguyen Thi Hien Ngoc		Head of Supervisory Board			05/03/2021			- Internal person of the Company
6	Ms. Le Thi Lien		Member of Supervisory Board			05/03/2021			- Internal person of the Company

7	Ms. Bui Thi Ngoc Ly		Member of Supervisory Board			05/03/2021			- Internal person of the Company
8	Mr. Tran Minh Toan		Chief Accountant			12/05/2022			- Internal person of the Company
9	NTP INVESTMENT		None			16/08/2021			-Shareholders owning more than 10% of charter capital
10	Ms. Nguyen Thi Anh Nguyet		Corporate Governance Officer			14/04/2024	31/10/2024	Resolution No. 18/2024/NQ-HDQT/DSC	- Internal person of the Company

								dated 31/10/2024	
11	Ms. La Quynh Anh		Corporate Governance Officer			31/10/2024		Resolution No. 18/2024/NQ- HDQT/DSC dated 31/10/2024	- Internal person of the Company
12	Union of DSC Securities Corporation		Labor union			27/02/2021			- Internal person of the Company

APENDIX 02

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Nguyen Duc Anh		Chairman					72.999.800	35,6377	
1.1	Nguyen Quoc Hoan		Father of Mr. Nguyen Duc Anh					0	0	
1.2	Vũ Phương Đông		Mother of Mr. Nguyen Duc Anh					0	0	
1.3	Nguyen Quoc Toan		Brother of Mr. Nguyen Duc Anh					0	0	
1.4	NTP INVESTMENT JOINT STOCK COMPANY		Mr. Nguyen Duc Anh is Chairman of the Board of Directors/General Director.					70.000.000	34,1732	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.5	THANH CONG MOTOR VIETNAM JOINT STOCK COMPANY		Mr. Nguyen Duc Anh is the legal representative.					0	0	
1.6	Mai Phuong Thao		Wife of Mr. Nguyen Duc Anh					0	0	
1.7	Nguyen Duc Kien		Son of Mr. Nguyen Duc Anh					0	0	
1.8	Mai Duy Thien		Father-in-law of Mr. Nguyen Duc Anh					0	0	
1.9	Ton Thi Lan Phuong		Mother-in-law of Mr. Nguyen Duc Anh					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2	Nguyen Thi Thu Ha		Board of Directors Member					6.059.800	2,9583	
2.1	Nguyen Tuan Hung		Father of Ms. Nguyen Thi Thu Ha							Dead
2.2	Dang Thi Kim Nam		Mother of Ms. Nguyen Thi Thu Ha					0	0	
2.3	Bui Xuan Chung		Husband of Ms. Nguyen Thi Thu Ha					0	0	
2.4	Bui Duy Quang		Son of Ms. Nguyen Thi Thu Ha					0	0	Còn nhỏ

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.5	Bui Gia Khanh		Son of Ms. Nguyen Thi Thu Ha					0	0	Còn nhỏ
2.6	Nguyen Hoang Long		Brother of Ms. Nguyen Thi Thu Ha					0	0	
2.7	Nguyen Hoang Lan		Brother of Ms. Nguyen Thi Thu Ha					0	0	
2.8	Tran Thi Phuong Linh		Sister-in-law of Ms. Nguyen Thi Thu Ha					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.9	NTP INVESTMENT JOINT STOCK COMPANY		Ms. Nguyen Thi Thu Ha is member of the Board of Directors					70.000.000	34,1732	
3	Bach Quoc Vinh		Board of Directors member/ Chief Executive Officer					300.000	0,1465	
3.1	Bach Quoc Binh		Father of Mr. Bach Quoc Vinh					0	0	
3.2	Do Thi Cam		Mother of Mr. Bach Quoc Vinh					0	0	
3.3	Bach Thi Thanh Truc		Sister of Mr. Bach Quoc Vinh					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.4	Duong Van Long		Father-in-law of Mr. Bach Quoc Vinh					0	0	
3.5	Tran Thi My		Mother-in-law of Mr. Bach Quoc Vinh					0	0	
3.6	Duong Quynh Hoa		Wife of Mr. Bach Quoc Vinh					0	0	
3.7	Bach Duong Yen Linh		Daughter of Mr. Bach Quoc Vinh					0	0	
3.8	Bach Duong Quynh Mai		Daughter of Mr. Bach Quoc Vinh					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.7	Bạch Thiên Khôi		Son of Mr. Bach Quoc Vinh					0	0	Còn nhỏ
3.8	Bạch Thiên Phong		Son of Mr. Bach Quoc Vinh					0	0	Còn nhỏ
3.8	NTP ASSET MANAGEMENT JOINT STOCK COMPANY		Mr. Bach Quoc Vinh is member of the Board of Directors					0	0	
4	Vu Nhat Lam		Board of Directors member					25.000	0,0122	
4.1	Vu Quang Lien		Father of Mr. Vu Nhat Lam					0	0	Dead
4.2	Le Kim Huong		Mother of Mr. Vu					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
			Nhat Lam							
4.3	Truong Van Trinh		Father-in-law of Mr. Vu Nhat Lam					0	0	
4.4	Nong Thi Thanh		Mother-in-law of Mr. Vu Nhat Lam					0	0	
4.5	Truong Thu Huyen		Wife of Mr. Vu Nhat Lam					0	0	
4.6	Vu Nhat Quang		Son of Mr. Vu Nhat Lam					0	0	
4.7	Vu Minh Yen		Daughter of Mr. Vu					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
			Nhat Lam							
4.8	Vu Duy Linh		Brother of Mr. Vu Nhat Lam					0	0	
5	Nguyen Thi Hien Ngoc		Head of Supervisory Board					25.000	0,0122	
5.1	Nguyen Xuan Hoang		Father of Ms. Nguyen Thi Hien Ngoc							Dead
5.2	Ngo Thi Sang		Mother of Ms. Nguyen Thi Hien Ngoc							Dead

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.3	Nguyen Thi Bich Ngoc		Sister of Ms. Nguyen Thi Hien Ngoc					0	0	
5.4	Nguyen Hoang Ha		Sister of Ms. Nguyen Thi Hien Ngoc					0	0	
5.5	Vu Thi Lanh		Mother-in-law of Ms. Nguyen Thi Hien Ngoc					0	0	
5.6	Tran Ba Tham		Father-in-law of Ms. Nguyen Thi Hien Ngoc							Dead
5.7	Tran Ba Ngo		Husband of Ms. Nguyen Thi Hien Ngoc					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5.8	Ta Thi Ngoc Mai		Daughter of Ms. Nguyen Thi Hien Ngoc					0	0	
5.9	Nguyen Nam Thanh		Son-in-law of Ms. Nguyen Thi Hien Ngoc					0	0	
5.10	Ta Dinh Phong		Son of Ms. Nguyen Thi Hien Ngoc					0	0	
5.11	Luong Minh Thu		Daughter-in-law of Ms. Nguyen Thi					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
			Hien Ngoc							
5.12	Tran Ngoc Phuong Thao		Daughter of Ms. Nguyen Thi Hien Ngoc							Còn nhỏ
6	Le Thi Lien		Member of Supervisory Board					8.000	0,0039	
6.1	Le Van Thanh		Father of Ms. Le Thi Lien					0	0	
6.2	Tran Thi Long		Mother of Ms. Le Thi Lien					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.3	Le Huy Thanh		Brother of Ms. Le Thi Lien					0	0	
6.4	Hoang Thi Hien		Sister-in-law of Ms. Le Thi Lien					0	0	
6.5	Le Thi Lan		Sister of Ms. Le Thi Lien					0	0	
6.6	Le Nhu Toan		Brother-in-law of Ms. Le Thi Lien					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6.7	Au Thi Oanh		Mother-in-law of Ms. Le Thi Lien					0	0	
6.8	Au Xuan Lieu		Husband of Ms. Le Thi Lien					0	0	
6.9	Au Le Vinh Quang		Son of Ms. Le Thi Lien							Còn nhỏ
6.10	Au Le Quang Hung		Son of Ms. Le Thi Lien							Còn nhỏ
7	Bui Thi Ngoc Ly		Member of Supervisory Board					6.400	0,0031	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.1	Bui Dinh Thau		Father of Ms. Bui Thi Ngoc Ly					0	0	
7.2	Do Thi Que		Mother of Ms. Bui Thi Ngoc Ly					0	0	
7.3	Hoang Van Thuc		Father-in-law of Ms. Bui Thi Ngoc Ly					0	0	
7.4	Hoang Thi Bich Ngoc		Mother-in-law of Ms. Bui Thi Ngoc Ly					0	0	
7.5	Hoang Van Thang		Husband of Ms. Bui Thi Ngoc Ly					0	0	
7.6	Hoang Quynh Mai		Daughter of Ms. Bui Thi Ngoc Ly							Còn nhỏ
7.7	Bui Dinh Thiep		Brother of Ms. Bui Thi Ngoc Ly					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.8	Hoang Thi Hong		Sister-in-law of Ms. Bui Thi Ngoc Ly					0	0	
7.9	Hoang Thi Thao		Brother-in-law of Ms. Bui Thi Ngoc Ly					0	0	
7.10	Nguyen Van Luon		Brother-in-law of Ms. Bui Thi Ngoc Ly					0	0	
7.11	Nguyen Van Han		Brother-in-law of Ms. Bui Thi Ngoc Ly					0	0	
8	Tran Minh Toan		Chief Accountant					22.900	0,0112	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.1	Tran Quoc Phong		Father of Mr. Tran Minh Toan					0	0	
8.2	Tran Thi Phuong		Mother of Mr. Tran Minh Toan					0	0	
8.3	Tran Xuan Mao		Brother of Mr. Tran Minh Toan					0	0	
8.4	Tran Thi Loan		Sister-in-law of Mr. Tran Minh Toan					0	0	
8.5	Tran Thi Thom		Sister of Mr. Tran Minh Toan					0	0	
8.6	Do Van Diem		Brother-in-law of					0	0	

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
			Mr. Tran Minh Toan							
8.7	Do Ngoc Thuong		Father-in-law of Mr. Tran Minh Toan					0	0	
8.8	Nguyen Thi Binh		Mother-in-law of Mr. Tran Minh Toan					0	0	
8.9	Do Thi Huong		Wife of Mr. Tran Minh Toan					0	0	
8.10	Tran Anh Phuong		Daughter of Mr. Tran Minh Toan					0	0	
8.11	Tran Minh Thu		Daughter of Mr. Tran Minh toan					0	0	
9	La Quynh Anh		Corporate Governance Officer					0	0	

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No.	Name	Securities trading account (if any)	Position at the company (if any)	ID Card No.	Date of issue	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.1	La Quang Tien		Father of Ms. La Quynh Anh							Dead
9.2	Trieu Thi Dinh		Mother of Ms. La Quynh Anh					0	0	
10	Union of DSC Securities Corporation		Labor union					0	0	

APENDIX 03

No.	Transaction Executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Tran Minh Toan	Internal person	31.500	0,0154	22.900	0,0112	Sell
2	Bui Thi Ngoc Ly	Internal person	8.000	0,0039	6.400	0,0031	Sell

