

Số: 04/2025/TPS-CBTT

No: 04/2025/TPS-CBTT

Tp. HCM, ngày 21 tháng 01 năm 2025

Ho Chi Minh City, January 21, 2025

**CÔNG BỐ THÔNG TIN TRÊN CỔNG THÔNG TIN ĐIỆN TỬ
CỦA ỦY BAN CHỨNG KHOÁN NHÀ NƯỚC VÀ CÁC SỞ GDCK
DISCLOSURE OF INFORMATION ON THE WEB PORTAL
OF THE STATE SECURITIES COMMISSION AND THE STOCK EXCHANGES**

- Kính gửi: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission;*
- Sở giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange;*
- Sở Giao dịch Chứng khoán Tp. Hồ Chí Minh/ *Ho Chi Minh City Stock Exchange;*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange.*

Chúng tôi: Công ty Cổ phần Chứng khoán Tiên Phong ("TPS")

Name of organization: Tien Phong Securities Corporation ("TPS")

Mã chứng khoán / Securities Code : ORS

Địa chỉ trụ sở chính/ Head office address: Tầng 7, tòa nhà Doji, số 81-83-85 Hàm Nghi, phường Nguyễn Thái Bình, quận 1, thành phố Hồ Chí Minh/ 7th Floor, Doji Building, No. 81-83-85 Ham Nghi, Nguyen Thai Binh Ward, District 1, Ho Chi Minh City.

Điện thoại/ Telephone: 028.39118014

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Người thực hiện công bố thông tin/ Person who discloses information: (Ông/ Mr) Nguyễn Trát Minh Phương – Chức vụ/ Position: Người được ủy quyền công bố thông tin/ Person authorized to disclose information.

Địa chỉ/ Address: Tầng 7, tòa nhà Doji, số 81-83-85 Hàm Nghi, phường Nguyễn Thái Bình, quận 1, thành phố Hồ Chí Minh/ 7th Floor, Doji Building, No. 81-83-85 Ham Nghi, Nguyen Thai Binh Ward, District 1, Ho Chi Minh City

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Loại thông tin công bố/ Type of disclosure: ☐ 24 giờ/24 hours . ☐ Yêu cầu/ Request ☐ Bất thường/ Abnormal ☒ Định kỳ/ Recurring

Nội dung thông tin công bố/ Contents of disclosure:

Báo cáo tình hình quản trị công ty năm 2024 / Report on corporate governance 2024.

Thông tin này đã được TPS công bố trên trang thông tin điện tử của Công ty vào ngày 21/01/2025 tại đường dẫn/ This information was published on the company's website on January 21th, 2025, as in the link: <https://www.tpbs.com.vn/vi/thong-tin-tps/quan-he-co-dong/cong-bo-thong-tin/tps-bao-cau-tinh-hinh-quan-tri-nam-2024?postId=2302>

Tôi cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Nơi nhận/ Recipient:

- Như đề gửi /As submitted;
- Lưu/Archives:VT.

**TM. CÔNG TY CỔ PHẦN
CHỨNG KHOÁN TIỀN PHONG/ ON BEHALF OF TIỀN
PHONG SECURITIES JOINT STOCK COMPANY
NGƯỜI ĐƯỢC ỦY QUYỀN CÔNG BỐ THÔNG TIN/
PERSON AUTHORIZED TO DISCLOSE INFORMATION**



NGUYỄN TRÁT MINH PHƯƠNG

Tài liệu đính kèm/ Attached documents:

1. Báo cáo tình hình quản trị công ty năm 2024 / *Report on corporate governance 2024*

Ho Chi Minh City, January 20, 2025

REPORT ON CORPORATE GOVERNANCE 2024

To: - The State Securities Commission
- Vietnam Stock Exchange
- Ho Chi Minh City Stock Exchange
- Ha Noi Stock Exchange

- **Name of company:** TIEN PHONG SECURITIES CORPORATION (TPS)
- **Address of headoffice:** 7th Floor, Doji Building, No. 81-83-85 Ham Nghi, Nguyen Thai Binh Ward, District 1, Ho Chi Minh City.
- **Telephone:** (08) 39 118 014 **Fax:** (08) 39 118 015 **Email:** tpbs@tpbs.com.vn
- **Charter capital:** 3.359.997.430.000 VND
- **Stock symbol:** ORS
- **Governance model:** General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director
- **The implementation of internal audit:** Implemented

I. Activities of the General Meeting of Shareholders:

Information on meetings, resolutions and decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Number of Resolution/Decision No.	Date	Content
01	01/2024/NQ- ĐHĐCĐTN	24/04/2024	<ol style="list-style-type: none">1. Approve the reports of the Board of Directors, independent members of the Board of Directors and the Supervisory Board.2. Approval of the 2023 Financial Statement and Report on changes in owner's contributed capital and surplus of share capital3. Approve the remuneration of the Board of Directors, the Supervisory Board.



No.	Number of Resolution/Decision No.	Date	Content
			<ol style="list-style-type: none"> 4. Approved the 2023 profit distribution plan and the plan to pay dividends in shares 5. Approved the plan to issue more shares to increase the Company's charter capital to a maximum of VND 5.608 billion. 6. Approved the plan to issue shares to pay dividends. 7. Approved the plan to issue shares under the option program for employees in the Company. 8. Approved the plan to issue share options to existing shareholders. 9. Approved the policy of the Company's bond issuance/offering plan with a bond issuance limit of VND 1.000 billion. 10. Through transactions between the Company and Tien Phong Commercial Joint Stock Bank (TPBank). 11. Through the offering of secured warrants. 12. Through the trading of derivative securities. 13. Through the provision of clearing and settlement services for securities transactions. 14. Approve the amendment and supplementation of the Charter and Internal Regulations on Corporate governance. 15. Approved the plan to select an audit unit for the Company. 16. Approved the dismissal of members of the Supervisory Board and the election of additional members of the Board of Directors and members of the Supervisory Board for the term 2021 – 2026. 17. Approved the results of the election of additional members of the Board of Directors and members of the Supervisory Board for the term 2021 – 2026.

II. Board of Directors (Annual Report)

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Independent members of the Board of Directors, Non-executive members of the Board of Directors	The date becoming/ceasing to be the member of the Board of Directors	
			Date of Appointment	Date of dismissal
1	(Mr.) Do Anh Tu	Chairman of the Board of Directors	15/03/2021	
2	(Mrs.) Truong Thi Hoang Lan	Vice Chairman of the Board of Directors and Independent Member of the Board of Directors	15/03/2021	
3	(Mrs.) Bui Thi Thanh Tra	Board Members	19/04/2023	
4	(Mr.) Ta Quang Luong	Board Members	24/04/2024	
5	(Mrs.) Pham Thi Huyen Trang	Board Members	24/04/2024	
6	(Mrs.) Nguyen Thi Le Tung	Board Members	24/04/2024	
7	(Mr.) Le Quoc Hung	Independent Member of the Board of Directors	24/04/2024	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate	Reasons for absence
01	(Mr.) Do Anh Tu	23/23	100%	
02	(Mrs.) Truong Thi Hoang Lan	23/23	100%	
03	(Mrs.) Bui Thi Thanh Tra	23/23	100%	
04	(Mr.) Ta Quang Luong	14/23	61%	Appointed on 24/04/2024
05	(Mrs.) Pham Thi Huyen Trang	14/23	61%	Appointed on 24/04/2024
06	(Mrs.) Nguyen Thi Le Tung	14/23	61%	Appointed on 24/04/2024
07	(Mr.) Le Quoc Hung	14/23	61%	Appointed on 24/04/2024

3. Supervising the Board of Management by the Board of Directors:

- The Board of Directors' supervision of the Board of Directors is carried out in accordance with the provisions of the Company's Charter, the Internal Regulation on Corporate Governance, the Regulation on Organization and Operation of the Board of Directors and the Company's internal regulations. The Board of Directors has inspected and supervised the activities of the Board of Directors in administering business activities and implementing the Resolutions of the General Meeting of Shareholders and the Board of Directors through periodic/irregular briefings and reports of the General Director. From the beginning of the year, the Board of Directors has assigned a specific plan to each unit, reviewed the completion results, strengthened the organizational structure of human resources, listened to difficulties in a timely manner, promptly directed timely solutions in accordance with market changes and created conditions for the Company to carry out activities in accordance with the assigned plan.
- Some of the main tasks that the Board of Directors and management levels have implemented in accordance with the scope of competence specified in the Charter, Resolutions of the General Meeting of Shareholders and the Board of Directors are as follows:
 - Organize the implementation of business plans and investment plans approved by the General Meeting of Shareholders and the Board of Directors.
 - Independent members of the Board of Directors have carried out supervision focusing on: financial statements and official announcements related to the company's financial results; Internal control and risk management system; transactions with related persons; The company's internal audit department; The independence and objectivity of the independent audit firm and the effectiveness of the audit process; and compliance issues with legal regulations, requirements of management agencies and other internal regulations of the company.
 - Pay attention to and focus on developing human resources in key business segments, in line with the Company's business strategy.
 - Continue to implement and upgrade information technology systems for business products and upgrade customer service quality.
 - Completed the increase of charter capital to a capital of VND 3,359,997,430,000; and completed the payment of stock dividends to shareholders.
 - Completed the core conversion successfully

4. Activities of the Board of Directors' subcommittees: none.

5. Resolutions/Decisions of the Board of Directors (Annual Report):

No.	Resolution/Decision No.	Date	Content	Approval rate
1.	01/2024/NQ-HĐQT	05/01/2024	Through the closing of the list of shareholders and the time of stock distribution.	100,0%

No.	Resolution/Decision No.	Date	Content	Approval rate
2.	02/2024/NQ-HĐQT	22/01/2024	Approved the increase of the Company's credit limit at VCB Thang Long.	100,0%
3.	03/2024/NQ-HĐQT	26/02/2024	Organize the annual General Meeting of Shareholders in 2024.	100,0%
4.	04/2024/NQ-HĐQT	26/02/2024	Approved the project to convert the core of the underlying securities.	100,0%
5.	05/2024/QĐ-HĐQT	21/03/2024	Approved the plan to handle odd shares, investors do not register to buy, do not pay the purchase money, the number of odd shares.	100,0%
6.	06/2024/NQ-HĐQT	28/03/2024	Approve the contents to be submitted to the General Meeting of Shareholders at the 2024 Annual General Meeting of Shareholders.	100,0%
7.	07/2024/NQ-HĐQT	15/04/2024	Through the continued borrowing, adjustment and use of credit lines at Joint Stock Commercial Bank for Industry and Trade of Vietnam - Branch 1 in Ho Chi Minh City.	100,0%
8.	08/2024/NQ-HĐQT	16/04/2024	Plan to use credit lines at TPBank.	100,0%
9.	09/2024/NQ-HĐQT	19/04/2024	Approve the Company's new charter capital to implement the procedures for registering and adjusting the Company's Enterprise Registration Certificate to update the Company's new charter capital (VND 3,000 billion).	100,0%
10.	10/2024/NQ-HĐQT	13/05/2024	Approved the implementation of the Share Issue to pay dividends.	100,0%
11.	11/2024/NQ-HĐQT	04/06/2024	Approved the last registration date to exercise the right to receive dividends in shares during the Stock Offering to pay dividends.	100,0%
12.	12/2024/NQ-HĐQT	11/06/2024	Through contracts and transactions between Tien Phong Securities Joint Stock Company and Tien Phong Commercial Joint Stock Bank.	100,0%

No.	Resolution/Decision No.	Date	Content	Approval rate
13.	13/2024/NQ-HĐQT	25/06/2024	Approved the dismissal of the title of Deputy General Director and the change of the Person authorized to disclose information of Tien Phong Securities Joint Stock Company.	100,0%
14.	14/2024/NQ-HĐQT	26/06/2024	Through the selection of audit units for the Company.	100,0%
15.	15/2024/NQ-HĐQT	22/07/2024	Through the loan of Tien Phong Securities Joint Stock Company at An Binh Commercial Joint Stock Bank.	100,0%
16.	16/2024/NQ-HĐQT	14/08/2024	Through the loan of Tien Phong Securities Joint Stock Company at VietCredit.	100,0%
17.	17/2024/NQ-HĐQT	14/08/2024	Through the loan of Tien Phong Securities Joint Stock Company at Viet Capital Commercial Joint Stock Bank.	100,0%
18.	18/2024/NQ-HĐQT	14/08/2024	Through the loan of Tien Phong Securities Joint Stock Company at the Joint Stock Commercial Bank for Foreign Trade of Vietnam-Thang Long Branch.	100,0%
19.	19/2024/NQ-HĐQT	04/09/2024	Through the loan of Tien Phong Securities Joint Stock Company at Vietnam Prosperity Commercial Joint Stock Bank.	100,0%
20.	20/2024/NQ-HĐQT	24/09/2024	Approve the Company's new charter capital to implement the procedures for registering and adjusting the Company's Enterprise Registration Certificate to update the Company's new charter capital of VND 3,359,997,430,000.	100,0%

No.	Resolution/Decision No.	Date	Content	Approval rate
21.	21/2024/NQ-HĐQT	25/10/2024	Through borrowing capital and using credit lines at Vietnam Prosperity Commercial Joint Stock Bank	100,0%
22.	22/2024/NQ-HĐQT	23/12/2024	Approved the implementation of the Issue of Purchasing Options to increase the Company's charter capital	100,0%
23.	23/2024/NQ-HĐQT	24/12/2024	Through the loan of Tien Phong Securities Joint Stock Company at Joint Stock Commercial Bank for Industry and Trade of Vietnam - Branch 1 Ho Chi Minh City. HCM	100,0%

III. Board of Supervisors/Audit Committee (Annual Report):

1. Information about members of Board of Supervisors or Audit Committee

No.	Members of Board of Supervisors/ Audit Committee	Position	The date becoming/ceasing to be the member of the Board of Supervisors/ Audit Committee	Qualifications
01	(Mrs.) Dinh Thi Ngoc Mai	Head of the Supervisory Board	19/04/2023, 24/04/2024	Bachelor of Banking and Finance
02	(Mrs.) Dang Si Thuy Tam	Member of the Supervisory Board	15/03/2021, 24/04/2024	Bachelor of Business Administration (Foreign Trade)
03	(Mrs.) Pham Thi Thanh Tam	Head of the Supervisory Board	24/04/2024	Master of Finance
04	(Mrs.) Ngo Thi Le Thanh	Member of the Supervisory Board	11/03/2022	Bachelor of Business Administration
05	(Mr.) Nguyen Trat Minh Phuong	Member of the Supervisory Board	24/04/2024	Bachelor of Business Administration (Foreign Trade)

2. Meetings of Board of Supervisors or Audit Committee:

No	Members of Board of Supervisors/ Audit Committee	Number of meetings attended	Attendance rate	Voting Rate	Reasons for absence
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01	(Mrs.) Dinh Thi Ngoc Mai	1/2	100%	100%	Resignation
02	(Mrs.) Dang Si Thuy Tam	1/2	100%	100%	Resignation
03	(Mrs.) Pham Thi Thanh Tam	2/2	100%	100%	
04	(Mrs.) Ngo Thi Le Thanh	2/2	100%	100%	
05	(Mr.) Nguyen Trat Minh Phuong	2/2	100%	100%	

3. *Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors or Audit Committee:*

During the reporting period, the Supervisory Board carried out the following supervision activities:

- Participate in 100% of briefings between the Board of Directors and the Board of Directors to closely monitor the Company's operations, ensure the effective use of the Company's assets and operations to bring benefits to shareholders.
- Regularly inspect and supervise activities to comply with the law, comply with the company's Charter and implement the Resolutions of the General Meeting of Shareholders, the Board of Directors and the Board of Directors.
- Periodically monitor the Company's business activities and financial situation.
- Strengthen the internal management system through the review of reports of the Internal Audit, Internal Control and Risk Management Department.
- Proposing to select an independent auditing company to audit semi-annual and full-year financial statements in 2024.
- Supervisory Board Work Plan for Fiscal Year 2024

4. *The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:*

The Supervisory Board regularly discusses the Company's activities with the Board of Directors, the Board of Directors and management levels and is provided with sufficient documents and information related to the Company's governance, the Company's activities as well as the Company's financial situation to ensure compliance with the law. comply with the provisions of the Company's Charter, supervise the implementation of the Resolution of the General Meeting of Shareholders/Board of Directors.

5. *Other activities of the Board of Supervisors and Audit Committee (if any):*

- a) The Supervisory Board has assigned each member to be responsible for supervising a number of company activities and regularly exchanging evaluations with each other.
- b) Prepare reports of the Supervisory Board to serve the University of Shareholders.
- c) Perform other tasks of the Supervisory Board:
 - (1). Inspection and control at the request of shareholders/groups of shareholders owning 5% or more of ordinary shares

(2). Comments on the development of TPS's processes and regulations

IV. Board of Management

No.	Members of Board of Management	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management
1	(Mrs.) Bui Thi Thanh Tra	03/10/1976	Bachelor of Business in Currency - Credit	26/07/2022
2	(Mr.) Nguyen Van An	10/06/1984	Bachelor of Banking and Finance	27/06/2023, 26/06/2024

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
(Mrs.) Nguyen Thanh Tuyen	09/01/1983	Bachelor of Financial Accounting	Appointed 30/09/2022

VI. Training courses on corporate governance:

Training courses on corporate governance that members of the Board of Directors, members of the Supervisory Board, Directors (General Directors) have participated in, other managers and the company secretary have participated in according to the regulations on corporate governance:

- Members of the Board of Directors, members of the Board of Directors, Directors (General Directors) executives, and other managers who have registered to participate in training courses on corporate governance and always update themselves with information and newly issued legal regulations.

VII. The list of affiliated persons of the public company (annual report) and transactions of affiliated persons of the Company:

1. The list of affiliated persons of the Company:

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
01	Do Anh Tu		Chairman of the Board of Directors					15/03/2021		
02	Nguyen Kim Phuong	048C030137						15/03/2021		Mother

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
03	Trung Thi Lam Ngoc							15/03/2021		Wife
04	Do Quynh Anh	048C012789						15/03/2021		Daughter
05	Do Minh Quan							15/03/2021		Son
06	Do Minh Thuan							15/03/2021		Sister
07	Do Thai Tung	048C110609						15/03/2021		Brother
08	Do Thi Kim Diep	048C032616						15/03/2021		Sister-in-law
09	Do Tat Cuong	048C068686						15/03/2021		Brother
10	Nguyen Thi Thu Huong	048C066868						15/03/2021		Sister-in-law
11	Do Minh Phu	048C032233						15/03/2021		Brother
12	Vu Anh Thu							15/03/2021		Sister-in-law
13	Do Quoc Binh							15/03/2021		Brother
14	Nguyen Nguyet Nga							15/03/2021		Sister-in-law
15	Do Anh Tuan							15/03/2021		Brother
16	Dao Bich Hanh							15/03/2021		Sister-in-law
17	Do Kim Dung	048C050532						15/03/2021		Sister
18	Do Xuan Mai							15/03/2021		Sister
19	Hoang Anh Tuan							15/03/2021		Brother-in-law
20	Do Khoi Nguyen							15/03/2021		Brother
21	Tian Xiao							15/03/2021		Sister-in-law

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
22	Tien Phong Commercial Joint Stock Bank	TPBA686868	Vice Chairman of the Board of Directors					15/03/2021		Related Organizations
23	Diana Unicharm Joint Stock Company		Vice Chairman of the Board of Directors					15/03/2021		Related Organizations
24	TP Investment Co., Ltd	048C006069	Vice Chairman of the Board of Directors					15/03/2021		Related Organizations
25	Sao Thuy Investment Joint Stock Company		Vice Chairman of the Board of Directors					15/03/2021		Related Organizations
26	Green Globe Co., Ltd		Member of the Board of Directors					15/03/2021		Related Organizations
27	TAN MY TRADING ., JSC		Members of the Board of Directors					15/03/2021		Related Organizations
28	TAN KIM NHAT TRADING CO., LTD		Members of the Board of Directors					15/03/2021		Related Organizations
29	Truong Thi Hoang Lan		Vice Chairman of the Board of Directors and Independent Member of the Board of Directors					26/07/2022		

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
30	Truong Quyet Thang							26/07/2022		Father
31	Truong Thi Minh Nhung							26/07/2022		Mother
32	Nguyen Ngan Giang							26/07/2022		Daughter
33	Nguyen Minh Dung							26/07/2022		Son
34	Truong Thi Hoang Yen							26/07/2022		Sister
35	Tien Phong Commercial Joint Stock Bank	TPBA686868						26/07/2022		Deputy General Director
36	Bui Thi Thanh Tra	048C098999	Member of the Board of Directors General Director					26/07/2022		Additional gourds
37	Le Thi Thanh Binh							19/04/2023		Mother
38	Vo Thi Hong							19/04/2023		Mother-in- law
39	Pham Anh Tuan							19/04/2023		Husband
40	Pham Hong Anh							19/04/2023		Daughter
41	Pham Tuan Long							19/04/2023		Son
42	Bui Vinh Hoa	048C118793						19/04/2023		Sister
43	Ngo Quang Trai							19/04/2023		Brother-in- law

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
44	Bui Thi Thu Huong	048C114711						19/04/2023		Sister
45	Le Viet Dung							19/04/2023		Brother-in- law
46	Bui Thi Yen Nhi							19/04/2023		Sister
47	Tran Minh Hai							19/04/2023		Brother-in- law
48	Bui Quang Phai	048C118795						19/04/2023		Brother
49	Hoang Thi Thu							19/04/2023		Sister-in-law
50	Nguyen Thi Le Tung	048C001979	Member of the Board of Directors and Chief Financial Officer					16/04/2019		Appointment
51	Nguyen Duc Duyet							16/04/2019		Father
52	Le Thi Tiem							16/04/2019		Mother
53	Nguyen Ba Dung							16/04/2019		Father-in-law
54	Nguyen Thi Hue							16/04/2019		Mother-in- law
55	Nguyen Ba Phi Hong	048C002428						16/04/2019		Husband
56	Nguyen Ngoc Hong Anh							16/04/2019		Daughter
57	Nguyen Ngoc Bang Tam							16/04/2019		Daughter

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
58	Nguyen Tien Dung	048C005558						16/04/2019		Younger brother
59	Tran Yen Nguyen							16/04/2019		Younger Sister
60	Tran Duy Michael							16/04/2019		Brother-in- law
61	Pham Thi Huyen Trang	048C008939	Board Members					24/04/2024		Additional gourds
62	Phan Thi Tinh	048C143556						24/04/2024		Mother
63	Pham Hung Ha	048C143555						24/04/2024		Father
64	Nguyen Thi Luyen							24/04/2024		Mother-in- law
65	Truong Van Nghien							24/04/2024		Father-in-law
66	Truong Anh Duc	048C119180						24/04/2024		Husband
67	Truong Bich Ngoc							24/04/2024		Daughter
68	Truong Duc Huy							24/04/2024		Son
69	Pham Thanh Tung							24/04/2024		Brother
70	Pham Cong Thanh							24/04/2024		Brother
71	Ta Quang Luong	048C361511	Board Members					24/04/2024		Additional gourds
72	Nguyen Thi Dao	033C013574						24/04/2024		Mother
73	Ta Quang Nam							24/04/2024		Father

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
74	Ta Quang Luc							24/04/2024		Younger brother
75	Chiem Bao Gia							24/04/2024		Sister-in-law
76	Le Quoc Hung	048C003809	Independent Member of the Board of Directors					24/04/2024		Additional gourds
77	Tran Thi Thu Thuy							24/04/2024		Wife
78	Le Minh Triet							24/04/2024		Child
79	Le Minh Nguyen							24/04/2024		Child
80	Le Thi Bui							24/04/2024		Mother
81	Tran Thi Hoan							24/04/2024		Mother-in- law
82	Tran Thi Mai Ngoc							24/04/2024		Sister-in-law
83	Tran Thi Von Na							24/04/2024		Brother-in- law
84	Nguyen Xuan Cuong		Director of Hanoi Branch					11/03/2021		Appointment
85	Pham Thi Nu							11/03/2021		Mother
86	Hoang Thi Thanh Xuan							11/03/2021		Mother-in- law
87	Nguyen Hong Quan							11/03/2021		Brother
88	Nguyen Thi Van Anh							11/03/2021		Younger Sister

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
89	Truong Van Luan							11/03/2021		Brother-in-law
90	Le Thi Tuan Minh							11/03/2021		Sister-in-law
91	Nguyen Thi Thanh Minh							11/03/2021		Wife
92	Nguyen Xuan Tung Lam							11/03/2021		Child
93	Nguyen Xuan Truc Lam							11/03/2021		Child
94	Nguyen Xuan Phuc Lam							11/03/2021		Child
95	Nguyen Thanh Tuyen	048C000225	Chief Accountant					30/09/2022		Appointment
96	Vo Dinh Truc							30/09/2022		Husband
97	Vo Anh Thien							30/09/2022		Daughter
98	Vo Dinh Hoang							30/09/2022		Son
99	Vo Anh Thien							30/09/2022		Daughter
100	Nguyen Van Nguyen							30/09/2022		Father
101	Nguyen Thi Phan							30/09/2022		Mother
102	Nguyen Thanh Tuan							30/09/2022		Brother
103	Nguyen Thanh Tam							30/09/2022		Sister
104	Nguyen Thanh Ly							30/09/2022		Younger Sister
105	Vo Thi Que Tho							30/09/2022		Sister-in-law

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
106	Truong Thi Thu							30/09/2022		Mother-in-law
107	Pham Thi Thanh Tam	048C139727	Member of the Supervisory Board					24/04/2024		Additional gourd
108	Bui Thi Thanh							24/04/2024		Mother
109	Pham Ngoc Minh							24/04/2024		Father
110	Pham Ngoc Mai							24/04/2024		Sister
111	Nguyen Viet Anh							24/04/2024		Brother-in-law
112	Ngo Thi Le Thanh	048C110364	Member of the Supervisory Board					11/03/2022		Additional gourd
113	Ngo Xuan Dinh							11/03/2022		Father
114	Bui Van Tam							11/03/2022		Father-in-law
115	Phan Thi Tuong							11/03/2022		Mother-in-law
116	Bui Thai Thu	048C149260						11/03/2022		Husband
117	Bui Thai Thu Van							11/03/2022		Daughter
118	Bui Thai Thu Giang							11/03/2022		Daughter
119	Ngo Giang Dong	105C961268						11/03/2022		Brother
120	Nguyen Thi Cao Thai	006C951653						11/03/2022		Sister-in-law
121	Ngo Thi Mai Hoa							11/03/2022		Sister

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
122	Ha Xuan Thang							11/03/2022		Brother-in-law
123	Ngo Thi Xuan Thao							11/03/2022		Sister
124	Pham Xuan Hai							11/03/2022		Brother-in-law
125	Nguyen Trat Minh Phuong	048C000198	Supervisory Board Member/ Person authorized to disclose information					24/04/2024		Additional gourds
126	Trat Ngoc Hue							24/04/2024		Mother
127	Nguyen Cong Danh							24/04/2024		Father
128	Ngo Thi Hieu							24/04/2024		Mother-in-law
129	Tran Xuan Lap							24/04/2024		Father-in-law
130	Tran Thi Thanh Ha	048C000578						24/04/2024		Wife
131	Nguyen Tran Bao Tran							24/04/2024		Daughter
132	Tran Thanh Nam	048C009433						24/04/2024		Brother-in-law
133	Huynh Thi Thanh Van	048C007097						24/04/2024		Sister-in-law
134	Nguyen Trat Minh Nhat							24/04/2024		Brother
135	Nguyen Thi Ly							24/04/2024		Sister-in-law

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
136	Tran Le Gia Binh	048C032232	Internal Audit					06/05/2021		Appointment
137	Nguyen Dang Khoa							06/05/2021		Husband
138	Nguyen Gia Bao							06/05/2021		Child
139	Tran Van An							06/05/2021		Father
140	Le Thi Phuc							06/05/2021		Mother
141	Nguyen Van Dai							06/05/2021		Father-in-law
142	Nguyen Thi Giang Huong							06/05/2021		Mother-in-law
143	Nguyen Van An	048C038868	Deputy General Director/ Person authorized to disclose information					27/06/2023	26/06/2024	Resignation
144	Nguyen Dinh Pha							27/06/2023	26/06/2024	Father
145	Pham Thi Tuyet							27/06/2023	26/06/2024	Mother
146	Leu Tho Thang							27/06/2023	26/06/2024	Father-in-law
147	Pham Thuy Anh							27/06/2023	26/06/2024	Mother-in-law
148	Leu Thi Minh Thu	119C800007						27/06/2023	26/06/2024	Wife
149	Nguyen Anh Duy							27/06/2023	26/06/2024	Son
150	Nguyen Khanh Chi							27/06/2023	26/06/2024	Daughter

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
151	Nguyen Van Tinh							27/06/2023	26/06/2024	Brother
152	Nguyen Thi Duyen							27/06/2023	26/06/2024	Sister-in-law
153	Nguyen Van Giang							27/06/2023	26/06/2024	Brother
154	Bui Thi To							27/06/2023	26/06/2024	Sister-in-law
155	Nguyen Thi Thuy							27/06/2023	26/06/2024	Sister
156	Tran Duc Luat							27/06/2023	26/06/2024	Brother-in-law
157	Dinh Thi Ngoc Mai	048C003919						19/04/2023	24/04/2024	Resignation
158	Nguyen Thi Lang							19/04/2023	24/04/2024	Mother
159	Dinh Xuan Cuong							19/04/2023	24/04/2024	Father
160	Nguyen Thi Lang							19/04/2023	24/04/2024	Daughter
161	Dang Si Thuy Tam	048C002700	Member of the Supervisory Board					15/03/2021	24/04/2024	Resignation
162	Nguyen Thi Thu							15/03/2021	24/04/2024	Mother-in-law
163	Phan Van Nhieu							15/03/2021	24/04/2024	Father-in-law
164	Dang Si Thanh							15/03/2021	24/04/2024	father
165	Tran Thi Tien							15/03/2021	24/04/2024	Mother
166	Dang Duc Tu	048C003600						15/03/2021	24/04/2024	Brother

No	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	ID No.	Date of issue	Place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Relationship with the Company
167	Vo Thi Hoang Oanh							15/03/2021	24/04/2024	Sister-in-law
168	Dang Si Thuy Tien							15/03/2021	24/04/2024	Sister
169	Huynh Buu Son							15/03/2021	24/04/2024	Brother-in-law
170	Dang Si Thanh Binh							15/03/2021	24/04/2024	Younger brother
171	Tran Dat							15/03/2021	24/04/2024	Brother-in-law
172	Nguyen Hoang Vu	048C008800						15/03/2021	24/04/2024	Husband
173	Nguyen Trang Nha							15/03/2021	24/04/2024	Daughter
174	Nguyen Thuc Khanh							15/03/2021	24/04/2024	Daughter

2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons.:

STT	Name of organization/individual	Related relationship with the company	NSH Certificate Number*, date of issue, place of issue	Head Office Address/ Contact Address	When to deal with the company	Number of Resolutions/Decisions approved by the General Meeting of Shareholders/Board of Directors (if any, clearly stating the date of issuance)	Content, quantity, total value of transactions	Notes
1	Tien Phong Commercial Joint Stock Bank	Related Organizations/Major Shareholders	205/GCNTVLK	TPBank Building, No. 57 Ly Thuong Kiet, Hoan Kiem District, Hanoi	16/04/2024	Resolution of the Board of Directors No. 08/2024/NQ-HDQT	Approved the plan to use the credit line at TPBank	
2	Tien Phong Commercial Joint Stock Bank	Related Organizations/Major Shareholders	205/GCNTVLK	TPBank Building, No. 57 Ly Thuong Kiet, Hoan Kiem District, Hanoi	11/06/2024	Resolution of the Board of Directors No. 12/2024/NQ-HDQT	Through contracts and transactions between Tien Phong Securities Joint Stock Company and Tien Phong Commercial Joint Stock Bank	

3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power: None

No.	Transaction Executioner	Relationship with internal person	Position at the listed Company	ID card No. /Passport No., date of issue, place of Issue	Address	Name of subsidiaries or companies which the Company contro	Time of transaction	Content, quantity, total value of transaction	Note

4. Transactions between the Company and other objects:

- 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, Director (General Director) have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): **None**
- 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, Director (General Director) and other managers as a member of Board of Directors, Director (General Director or CEO): **None**
- 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, Director (General Director) and other managers: **None**

VI. Share transactions of internal persons and their affiliated persons (Semi-annual report/annual report (Manual Report):

1. The list of internal persons and their affiliated persons Company / Transactions of internal persons and affiliated persons with shares of the Company:

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relations hips with company insiders
01	Do Anh Tu		Chairman of the Board of Directors					0	0,00%	
02	Nguyen Kim Phuong	048C030137						0	0,00%	Mother
03	Trung Thi Lam Ngoc							0	0,00%	Wife
04	Do Quynh Anh	048C012789						0	0,00%	Daughter
05	Do Minh Quan							0	0,00%	Son
06	Do Minh Thuan							0	0,00%	Sister
07	Do Thai Tung	048C110609						0	0,00%	Brother

No .	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relations hips with company insiders
08	Do Thi Kim Diep	048C032616						0	0,00%	Sister-in-law
09	Do Tat Cuong	048C068686						0	0,00%	Brother
10	Nguyen Thi Thu Huong	048C066868						0	0,00%	Sister-in-law
11	Do Minh Phu	048C032233						0	0,00%	Brother
12	Vu Anh Thu							0	0,00%	Sister-in-law
13	Do Quoc Binh							0	0,00%	Brother
14	Nguyen Nguyet Nga							0	0,00%	Sister-in-law
15	Do Anh Tuan							0	0,00%	Brother
16	Dao Bich Hanh							0	0,00%	Sister-in-law
17	Do Kim Dung	048C050532						0	0,00%	Sister
18	Do Xuan Mai							0	0,00%	Sister
19	Hoang Anh Tuan							0	0,00%	Brother-in-law
20	Do Khoi Nguyen							0	0,00%	Brother
21	Tian Xiao							0	0,00%	Sister-in-law

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relations hips with company insiders
22	Tien Phong Commercial Joint Stock Bank	TPBA686868	Vice Chairman of the Board of Directors					30.273.600	9,01%	Related Organizations
23	Diana Unicharm Joint Stock Company		Vice Chairman of the Board of Directors					0	0,00%	Related Organizations
24	TP Investment Co., Ltd	048C006069	Vice Chairman of the Board of Directors					12.595.296	3,75%	Related Organizations
25	Sao Thuy Investment Joint Stock Company		Vice Chairman of the Board of Directors					0	0,00%	Related Organizations
26	GREEN GLOBE CO., LTD		Member of the Board of Directors					0	0,00%	Related Organizations
27	TAN MY TRADING ., JSC		Members of the Board of Directors					0	0,00%	Related Organizations
28	TAN KIM NHAT TRADING CO., LTD		Members of the Board of Directors					0	0,00%	Related Organizations

No .	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relations hips with company insiders
29	Truong Thi Hoang Lan		Vice Chairman of the Board of Directors Independent Member of the Board of Directors					1.120	0,0003%	
30	Truong Quyet Thang							0	0,00%	Father
31	Truong Thi Minh Nhung							0	0,00%	Mother
32	Nguyen Ngan Giang							0	0,00%	Daughter
33	Nguyen Minh Dung							0	0,00%	Son
34	Truong Thi Hoang Yen							0	0,00%	Younger Sister
35	Tien Phong Commercial Joint Stock Bank	TPBA686868						30.273.600	9,01%	Deputy General Director
36	Bui Thi Thanh Tra	048C098999	Member of the Board of Directors General Director					0	0,00%	Additional goursds
37	Le Thi Thanh Binh							0	0,00%	Mother

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relations hips with company insiders
38	Vo Thi Hong							0	0,00%	Mother-in-law
39	Pham Anh Tuan							0	0,00%	Husband
40	Pham Hong Anh							0	0,00%	Daughter
41	Pham Tuan Long							0	0,00%	Son
42	Bui Vinh Hoa	048C118793						0	0,00%	Sister
43	Ngo Quang Trai							0	0,00%	Brother-in-law
44	Bui Thi Thu Huong	048C114711						0	0,00%	Sister
45	Le Viet Dung							0	0,00%	Brother-in-law
46	Bui Thi Yen Nhi							0	0,00%	Sister
47	Tran Minh Hai							0	0,00%	Brother-in-law
48	Bui Quang Phai	048C118795						0	0,00%	Brother
49	Hoang Thi Thu							0	0,00%	Sister-in-law

No .	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
50	Nguyen Thi Le Tung	048C001979	Member of the Board of Directors and Chief Financial Officer					0	0,00%	Appointment
51	Nguyen Duc Duyet							0	0,00%	Father
52	Le Thi Tiem							0	0,00%	Mother
53	Nguyen Ba Dung							0	0,00%	Father-in-law
54	Nguyen Thi Hue							0	0,00%	Mother-in-law
55	Nguyen Ba Phi Hong	048C002428						0	0,00%	Husband
56	Nguyen Ngoc Hong Anh							0	0,00%	Daughter
57	Nguyen Ngoc Bang Tam							0	0,00%	Daughter
58	Nguyen Tien Dung	048C005558						0	0,00%	Younger brother
59	Tran Yen Nguyen							0	0,00%	Younger Sister

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
60	Tran Duy Michael							0	0,00%	Brother-in-law
61	Pham Thi Huyen Trang	048C008939	Board Members					0	0%	Addition al gourds
62	Phan Thi Tinh	048C143556						0	0%	Mother
63	Pham Hung Ha	048C143555						0	0%	Father
64	Nguyen Thi Luyen							0	0%	Mother-in-law
65	Truong Van Nghien							0	0%	Father-in-law
66	Truong Anh Duc	048C119180						0	0%	Husband
67	Truong Bich Ngoc							0	0%	Daughter
68	Truong Duc Huy							0	0%	Son
69	Pham Thanh Tung							0	0%	Brother
70	Pham Cong Thanh							0	0%	Brother
71	Ta Quang Luong	048C361511	Board Members					112.000	0,0333%	Addition al gourds
72	Nguyen Thi Dao							0	0%	Mother

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
73	Ta Quang Nam							0	0%	Father
74	Ta Quang Luc							0	0%	Younger brother
75	Chiem Bao Gia							0	0%	Sister-in-law
76	Le Quoc Hung	048C003809	Independent Member of the Board of Directors					0	0%	Additional goursds
77	Tran Thi Thu Thuy							0	0%	Wife
78	Le Minh Triet							0	0%	Child
79	Le Minh Nguyen							0	0%	Child
80	Le Thi Bui							0	0%	Mother
81	Tran Thi Hoan							0	0%	Mother-in-law
82	Tran Thi Mai Ngoc							0	0%	Sister-in-law
83	Tran Thi Von Na							0	0%	Brother-in-law
84	Nguyen Xuan Cuong		Director of Hanoi Branch					0	0,00%	Appoint ment
85	Pham Thi Nu							0	0,00%	Mother

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relations hips with company insiders
86	Hoang Thi Thanh Xuan							0	0,00%	Mother-in-law
87	Nguyen Hong Quan							0	0,00%	Brother
88	Nguyen Thi Van Anh							0	0,00%	Younger Sister
89	Truong Van Luan							0	0,00%	Brother-in-law
90	Le Thi Tuan Minh							0	0,00%	Sister-in-law
91	Nguyen Thi Thanh Minh							0	0,00%	Wife
92	Nguyen Xuan Tung Lam							0	0,00%	Biological children
93	Nguyen Xuan Truc Lam							0	0,00%	Son
94	Nguyen Xuan Phuc Lam							0	0,00%	Son
95	Nguyen Thanh Tuyen	048C000225	Chief Accountant					0	0,00%	Appointment
96	Vo Dinh Truc							0	0,00%	Husband
97	Vo Anh Thien							0	0,00%	Daughter

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
98	Vo Dinh Hoang							0	0,00%	Son
99	Vo Anh Thien							0	0,00%	Daughter
100	Nguyen Van Nguyen							0	0,00%	Father
101	Nguyen Thi Phan							0	0,00%	Mother
102	Nguyen Thanh Tuan							0	0,00%	Brother
103	Nguyen Thanh Tam							0	0,00%	Sister
104	Nguyen Thanh Ly							0	0,00%	Younger Sister
105	Vo Thi Que Tho							0	0,00%	Sister-in-law
106	Truong Thi Thu							0	0,00%	Mother-in-law
107	Pham Thi Thanh Tam	048C139727	Supervisory Board Member					0	0%	Addition al gourds
108	Bui Thi Thanh							0	0%	Mother
109	Pham Ngoc Minh							0	0%	Father
110	Pham Ngoc Mai							0	0%	Sister

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
111	Nguyen Viet Anh							0	0%	Brother-in-law
112	Ngô Thị Lê Thanh	048C110364	Member of the Supervisory Board					0	0,00%	Additional gours
113	Ngô Xuân Dinh							0	0,00%	Father
114	Bui Van Tam							0	0,00%	Father-in-law
115	Phan Thi Tuong							0	0,00%	Mother-in-law
116	Bui Thai Thu	048C149260						0	0,00%	Husband
117	Bui Thai Thu Van							0	0,00%	Daughter
118	Bui Thai Thu Giang							0	0,00%	Daughter
119	Ngô Giang Dong	105C961268						0	0,00%	Brother
120	Nguyen Thi Cao Thai	006C951653						0	0,00%	Sister-in-law
121	Ngô Thị Mai Hoa							0	0,00%	Sister
122	Ha Xuan Thang							0	0,00%	Brother-in-law
123	Ngô Thị Xuan Thao							0	0,00%	Sister

No .	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
124	Pham Xuan Hai							0	0,00%	Brother-in-law
125	Nguyen Trat Minh Phuong	048C000198	Supervisory Board Member/ Person authorized to disclose information					50.000	0,016 %	Addition al gourds
126	Trat Ngoc Hue							0	0%	Mother
127	Nguyen Cong Danh							0	0%	Father
128	Ngo Thi Hieu							0	0%	Mother-in-law
129	Tran Xuan Lap							0	0%	Father-in-law
130	Tran Thi Thanh Ha							0	0%	Wife
131	Nguyen Tran Bao Tran							0	0%	Daughter
132	Tran Thanh Nam	048C009433						0	0%	Brother-in-law
133	Huynh Thi Thanh Van	048C007097						0	0%	Sister-in-law
134	Nguyen Trat Minh Nhat							0	0%	Brother

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
135	Nguyen Thi Ly							0	0%	Sister-in-law
136	Tran Le Gia Binh	048C032232	Internal Audit					0	0,00%	Appointment
137	Nguyen Dang Khoa							0	0,00%	Husband
138	Nguyen Gia Bao							0	0,00%	With
139	Tran Van An							0	0,00%	Father
140	Le Thi Phuc							0	0,00%	Mother
141	Nguyen Van Dai							0	0,00%	Father-in-law
142	Nguyen Thi Giang Huong							0	0,00%	Mother-in-law
143	Nguyen Van An	048C038868	Deputy General Director/ Person authorized to disclose information					0	0,00%	Resignation
144	Nguyen Dinh Pha							0	0,00%	Father
145	Pham Thi Tuyet							0	0,00%	Mother
146	Leu Tho Thang							0	0,00%	Father-in-law

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
147	Pham Thuy Anh							0	0,00%	Mother-in-law
148	Leu Thi Minh Thu	119C800007						0	0,00%	Wife
149	Nguyen Anh Duy							0	0,00%	Son
150	Nguyen Khanh Chi							0	0,00%	Daughter
151	Nguyen Van Tinh							0	0,00%	Brother
152	Nguyen Thi Duyen							0	0,00%	Sister-in-law
153	Nguyen Van Giang							0	0,00%	Brother
154	Bui Thi To							0	0,00%	Sister-in-law
155	Nguyen Thi Thuy							0	0,00%	Sister
156	Tran Duc Luat							0	0,00%	Brother-in-law
157	Dinh Thi Ngoc Mai	048C003919	Head of the Supervisory Board					0	0,00%	Resignation
158	Nguyen Thi Lang							0	0,00%	Mother
159	Dinh Xuan Cuong							0	0,00%	Father

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
160	Nguyen Thi Lang							0	0,00%	Daughter
161	Dang Si Thuy Tam	048C002700	Member of the Supervisory Board					0	0,00%	Resignation
162	Nguyen Thi Thu							0	0,00%	Mother-in-law
163	Phan Van Nhieu							0	0,00%	Father-in-law
164	Dang Si Thanh							0	0,00%	Father
165	Tran Thi Tien							0	0,00%	Mother
166	Dang Duc Tu	048C003600						0	0,00%	Brother
167	Vo Thi Hoang Oanh							0	0,00%	Sister-in-law
168	Dang Si Thuy Tien							0	0,00%	Sister
169	Huynh Buu Son							0	0,00%	Brother-in-law
170	Dang Si Thanh Binh							0	0,00%	Younger brother
171	Tran Dat							0	0,00%	Brother-in-law
172	Nguyen Hoang Vu	048C008800						0	0,00%	Husband

No.	Name	Securities trading account (if any)	Position at the company (if any)	ID card No./Passport No.	Date of issue,	Place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Related relationships with company insiders
173	Nguyen Trang Nha							0	0,00%	Daughter
174	Nguyen Thuc Khanh							0	0,00%	Daughter

2. Transactions of internal persons and affiliated persons with shares of the company:

No.	Transaction Executioner	Relationship with internal persons	Number of shares owned at the beginning of the period of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares Shares	Percentage (%)	Number of shares Shares	Percentage (%)	
1	Tien Phong Commercial Joint Stock Bank	Vice Chairman of the Board of Directors	27.030.000	9,01	30.273.600	9,01	Receive stock dividends
2	TP Investment Co., Ltd	Vice Chairman of the Board of Directors	7.497.200	3,75	12.595.296	3,75	Receive stock dividends
3	Truong Thi Hoang Lan	Vice Chairman of the Board of Directors and Independent Member of the Board of Directors	1.000	0.0003	1.120	0.0003	Receive stock dividends
4	Ta Quang Luong	Board Members	100.000	0,0333	112.000	0,0333	Receive stock dividends

No.	Transaction Executioner	Relationship with internal persons	Number of shares owned at the beginning of the period of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares Shares	Percentage (%)	Number of shares Shares	Percentage (%)	
5	Nguyen Trat Minh Phuong	Supervisory Board Member/ Person authorized to disclose information	50.000	0.16	56.000	0.16	Receive stock dividends

VII. Other significant issues: None

To:

- As above
- The Company (file)

TIEN PHONG SECURITIES CORPORATION
CHAIRMAN OF THE BOARD OF DIRECTORS

(Sign, full name and seal)



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