

Appendix V

(Issued together with Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Minister of Finance)

HOA SEN GROUP

THE SOCIALIST REPUBLIC OF VIET NAM

Independence – Freedom – Happiness

No.: 01/BC/HDQT/2025

Binh Duong, January 21, 2025

REPORT ON CORPORATE GOVERNANCE

(Reporting period: From January 01 to December 31, 2024)

To: - **The State Securities Commission of Vietnam;**

- **Ho Chi Minh Stock Exchange.**

- Name of company: HOA SEN GROUP

- Address of head office: No. 9 Thong Nhat Boulevard, Song Than II Industrial Park, Di An Ward, Di An City, Binh Duong Province, Vietnam.

- Telephone: (028) 3 999 0111

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- Email: lotushcm@hoasengroup.vn

- Charter capital: VND 6,209,823,090,000 *(In words: Six trillion two hundred nine billion eight hundred twenty-three million ninety thousand dong).*

- Stock code: HSG

- Corporate Governance model: General Meeting of Shareholders, Board of Directors, General Director and Audit Committee under the Board of Directors (according to point b, clause 1, Article 137 of the Law on Enterprises No. 59/2020/QH14 dated June 17, 2020).

- The implementation of internal audit: Implemented.

I. GENERAL MEETING OF SHAREHOLDERS

On March 18, 2024, Hoa Sen Group (“Company”) held the Annual General Meeting of Shareholders (“AGM”) for the fiscal year (“FY”) 2023 – 2024 at Unification Hall – No. 135 Nam Ky Khoi Nghia, Ben Thanh Ward, District 1, Ho Chi Minh City.

At the meeting, General Meeting of Shareholders reached a consensus and approved the following contents:

No.	Resolution Number	Date	Content
1	01/NQ/DHD/CD/2024	18/03/2024	<ul style="list-style-type: none"> - Approval on the entire contents of AGM documents, including Report on the performance of the Board of Directors (“BOD”) in FY 2022 – 2023 and the term 2018 – 2023; Strategic orientation of the BOD for FY 2023 – 2024 and the term 2024 – 2029. - Approval on consolidated business report of the Group in FY 2022 – 2023 and the consolidated business targets, plans in FY 2023 – 2024. - Approval on: a) Separate financial statements (“FS”) of the Parent Company and consolidated FS of the Group in FY 2022 – 2023 audited by KPMG Vietnam Co., Ltd; and b) Value of transactions with related parties, including value of transactions recorded in the audited FS; and other value of transactions that will be recorded in the FS of FY 2023 – 2024 in accordance with current law and regulations. - Approval on dividend payment report in FY 2021 – 2022; allocation ratio for funds and expenses of the BOD in FY 2022 – 2023. - Approval on dividend payout report in FY 2022 – 2023; maximum allocation ratio for funds and expenses of the BOD in FY 2023 – 2024. - Approval on the issuance of shares under the employee stock ownership plan (ESOP) to leaders, managers, executives and other key personnel of the Group. - Approval on report of the Independent members and Audit Committee under BOD on internal audit; supervision of the BOD’s operation; approval and supervision of transactions with related parties in FY 2022 – 2023 and the term 2018 – 2023; Plans of the Independent members and Audit Committee, including the plan to approve and supervise transactions expected to arise in FY 2023 – 2024 and the term 2024 – 2029. - Approval the list of independent auditing firms and authorized the BOD to select an independent auditing firm for the consolidated FS, separate FS of the Company and its subsidiaries in FY 2023 – 2024 from the top 4 auditing firms in Vietnam. - Approval on policies related to restructuring, completing and specializing in the Group’s business segments; expanding business fields of the Group. - Approval on election result of the BOD for the term 2024 – 2029 including Chairman of the BOD, appointment of Standing – Executive Vice Chairman of the BOD, reappointment of General Director, Group Executive Board and other positions under the BOD.

II. BOARD OF DIRECTORS

1. Information about the members of the BOD

No.	Members	Position	Start date/Date no longer the member of the BOD/ independent member of the BOD	
			Date of appointment	Date of dismissal
1	Mr. Le Phuoc Vu	Chairman of the BOD	18/03/2024	
2	Mr. Tran Ngoc Chu	Standing & Executive Vice Chairman of the BOD (<i>"Vice Chairman of the BOD"</i>)	18/03/2024	
3	Mr. Tran Quoc Tri	Non-executive member of the BOD	18/03/2024	
4	Mr. Ly Van Xuan	Non-executive member of the BOD	18/03/2024	
5	Mr. Nguyen Van Luan	Independent member of the BOD	18/03/2024	
6	Mr. Dinh Viet Duy	Independent member of the BOD	18/03/2024	

2. Meetings of the BOD

No.	Members	Number of meetings attended by the BOD	Attendance rate	Reasons for absence
1	Mr. Le Phuoc Vu	66	93%	On business trip
2	Mr. Tran Ngoc Chu	71	100%	
3	Mr. Tran Quoc Tri	71	100%	
4	Mr. Ly Van Xuan	71	100%	
5	Mr. Nguyen Van Luan	71	100%	
6	Mr. Dinh Viet Duy	71	100%	

3. Supervision activities of the BOD over the Board of Management

Supervision activities of the Board of Directors are carried out through the Board of Directors' Operational Regulations and decentralization/delegation regulations, through direct meetings between the Board of Directors/Board of Directors' members and the Board of Management, reports on monitoring the implementation of the Board of Directors'/Member of Directors' instructions and the periodic information reporting regime to serve the supervision and management activities of the Board of Directors.

The Board of Directors discussed and provided directions for the activities of the Board of Directors in implementing the business plan approved by the General Meeting of Shareholders. On that basis, the Board of Directors always closely monitors the activities of the Board of Management. The Board of Management's proposals to the Board of Directors are discussed and given timely instructions, creating conditions for the Board of Management's production and business operations to be in the right direction and achieve high efficiency. Additionally, the Board of Directors appoints executive members to participate in the Group Executive Board to lead the Board of Management's activities in implementing the policies and business plans approved by the Board of Directors and General Meeting of Shareholders.

At regular meetings of the Board of Directors, members of the Board of Management and a number of key managers are required to attend to report and record the policies and orientations of the Board of Directors. In addition to regular meetings, the Board of Directors also considers and gives written opinions on arising issues in production and business activities that are not planned or beyond the authority of the Board of Management.

The Board of Management also holds regular weekly meetings to review the implementation of the Group's production and business plans, promptly adjust the Company's policies and business strategies to suit the actual situation, analyze, measure risks and promptly resolve arising issues and problems.

In 2024, the Board of Directors has directed and supervised the activities of the Board of Management on the following contents:

- Directing policies related to production and business activities flexibly in the face of unpredictable market fluctuations.

- Directing the continued enhancement of production and business efficiency through the strategy of restructuring, perfecting and specializing the Group's production and business segments (corrugated iron, steel pipes, plastics, Hoa Sen Home).

- Supervising and directing information disclosure activities to ensure the transparency and timeliness in accordance with legal regulations;

- Directing the implementation of quarterly/Semi-annual/year Financial Statements, Semi-annual/year Corporate Governance Reports.

- Checking the implementation and compliance with the provisions of the Enterprise Law, Securities Law, Charter, Internal Regulations on Corporate Governance and current regulations of the State.

- Supervising the implementation of Resolutions are issued by the General Meeting of Shareholders and the Board of Directors, including: Adding some business sectors of the Company; Contributing additional capital to increase the charter capital of Hoa Sen Yen Bai Joint Stock Company, Hoa Sen Phu My One Member LLC; etc.

The Board of Management has successfully fulfilled its duties, tasks and roles through timely and flexible production and business management solutions, in accordance with the policies and orientations of the General Meeting of Shareholders and the Board of Directors. At the same time, the policies and directions issued by the Board of Directors were fully and promptly implemented by the Board of Management and reported in detail the implementation results with a high sense of responsibility.

4. Activities of the Committees under the BOD

The BOD establishes Committees under the BOD to organize, direct, supervise the implementation of duties and authorities of the BOD in accordance with provisions of the Enterprise Law, Company Charter and current Corporate governance regulation. The establishment of these Committees is in accordance with Vietnam Corporate Governance Code of Best Practices as recommended by the State Securities Commission of Vietnam.

In addition, the BOD also establishes specialized Boards under the BOD to advise, support and assist those Committees in implementation of duties assigned by the BOD. These Boards perform their duties and functions in accordance with the Company Charter, Corporate governance regulation and Regulation of organization & operation of each Board.

Details about Committees and specialized Boards under the BOD:

No.	Committees under the BOD	Specialized Boards	Functions, duties
1	The Strategy Development Committee	Strategy & Investment Development Board <i>(Strategy – Development Board)</i>	<ul style="list-style-type: none"> - Planning business strategies, investment strategies and researching, appraising and evaluating the Board of Directors' strategies and directions for the investment and development of the Group. - Researching, analyzing and completing plans and roadmaps for implementing restructuring strategies, specializing in the Group's production and business sectors (steel – plastic – Hoa Sen Home Interior and Building Materials distribution business, etc.). - Researching, promoting and implementing the expansion of the Group's industries, professions and production and business sectors according to the policy approved by the General Meeting of Shareholders. - Supervising the implementation of the Group's development strategies such as: Development Strategy of Hoa Sen Home; Investment projects to expand the Group's industries and production and business fields.
2	The Governance & Appointment, Remuneration Committee <i>(Remuneration Committee)</i>	Restructuring & Resources Management Board <i>(Restructuring Board)</i>	<ul style="list-style-type: none"> - Developing and organizing the implementation of plans and schemes for restructuring resources in accordance with the Group's production and business activities and the developments of the objective situation in each period. - Monitoring and advising the Board of Directors in implementing policies related to the Group's restructuring activities. - Researching, advising and recommending on issues related to the Company's internal governance system. - Evaluating the standards and competencies of candidates for the Board of Directors. - Monitoring and evaluating the performance of the functions and tasks of each member of the Board of Directors. - Evaluating and recommending the appointment, dismissal and removal of positions under the Board of Directors. - Reviewing and recommending the remuneration and other benefits of positions under the Board of Directors. - Reviewing and recommending rewards and disciplinary actions for members of the Board of Directors and the Group Executive Board as well as organizing the implementation of salary and welfare plans for all employees of the Group. - Reviewing and recommending human resources development plans of the Group.

No.	Committees under the BOD	Specialized Boards	Functions, duties
3	The Audit Committee	Internal Audit Deployment Board	<ul style="list-style-type: none"> - Monitoring the integrity of financial statements and official announcements related to the Company's financial situation and results. - Reviewing the internal control system and risk management. - Reviewing, approving and monitoring, reviewing transactions with related parties under the approval authority and making recommendations on transactions requiring approval by the Board of Directors or the General Meeting of Shareholders. - Monitoring the auditing function of the Company. - Proposing the selection of an independent auditing company, audit fees and related terms in the auditing contract for the Board of Directors to approve before submitting to the General Meeting of Shareholders for final approval. - Monitoring and evaluating the independence and objectivity of the auditing company and the effectiveness of the auditing process. - Supervising to ensure that the Company complies with current legal regulations.
4	The Finance & Investor Relations Committee		<ul style="list-style-type: none"> - Monitoring and providing guidance on operations related to shareholders, investors relations and information disclosure on the stock market. - Developing, recommending and advising the Board of Directors in deciding on issues related to finance, capital mobilization and issuance of shares of the Company.

The structure, personnel of Committees and specialized Boards under the BOD are as follows:

No.	Committees/Specialized Boards	Members	Position
1	Strategy Development Committee	Mr. LE PHUOC VU Chairman of the BOD	Head of Committee
		Mr. TRAN NGOC CHU Vice Chairman of the BOD	Deputy Head of Committee
		Mr. TRAN QUOC TRI Non-executive member of the BOD	Deputy Head of Committee
	Strategy – Development Board	Mr. LE PHUOC VU Chairman of the BOD	Head of Board
		Mr. TRAN NGOC CHU Vice Chairman of the BOD	Directing Deputy Head of Board
		Mr. TRAN QUOC TRI Non-executive member of the BOD	Implementing Deputy Head of Board

No.	Committees/Specialized Boards	Members	Position
2	Governance & Appointment, Remuneration Committee	Mr. LE PHUOC VU Chairman of the BOD	Head of Committee
		Mr. TRAN NGOC CHU Vice Chairman of the BOD	Deputy Head of Committee
		Mr. TRAN QUOC TRI Non-executive member of the BOD	Deputy Head of Committee
		Mr. BUI THANH TAM Person in charge of Corporate Governance	Implementing member of Committee
	Restructuring Board	Mr. LE PHUOC VU Chairman of the BOD	Head of Board
		Mr. TRAN NGOC CHU Vice Chairman of the BOD	Directing Deputy Head of Board
		Mr. TRAN QUOC TRI Non-executive member of the BOD	Monitoring Deputy Head of Board
		Mr. BUI THANH TAM Person in charge of Corporate Governance	Standing – Implementing Deputy Head of Board
3	Audit Committee	Mr. NGUYEN VAN LUAN Independent member of the BOD	Head of Committee
		Mr. LY VAN XUAN Non-executive member of the BOD	Member of Committee
		Mr. DINH VIET DUY Independent member of the BOD	Member of Committee
	Internal Audit Deployment Board	Mr. LE VU NAM	Head of Board
		Mr. LE DINH HANH Internal Control Director	Deputy Head of Board
		Mrs. DONG THI THANH HANG Deputy Director of Internal Control	Member of Board
4	Finance & Investor Relations Committee	Mr. DINH VIET DUY Independent member of the BOD	Head of Committee
		Mr. LY VAN XUAN Non-executive member of the BOD	Member of Committee

The Committees under the BOD are units that directly propose and organize the implementation of policies and orientations given by the BOD related to production and business activities of the Group based on the opinions, advice and proposals of functional units under the Group; At the same time, on behalf of the BOD, the Committees monitor and supervise those units in implementing the Resolutions, policies approved by the BOD/General Meeting of Shareholders.

In 2024, Committees under the BOD implemented tasks as bellow:

Committee	Performance Result
Strategy Development Committee	<p>In the past year, the Strategy Development Committee, with its key role in shaping, planning and promoting the Group's sustainable development strategies, has made efforts to implement a series of important initiatives, ideas and projects to meet the needs of expansion and innovation. The Committee's activities not only focus on improving the operational efficiency of traditional production and business sectors but also seek and propose new strategic investment areas, helping to orient the Group's long – term development.</p> <ul style="list-style-type: none"> - Continuing to monitor and evaluate the implementation of policies on restructuring, perfecting the governance model and specialization of the Group's business and production sectors to have appropriate advice and proposals for the Board of Directors. Specifically: <ul style="list-style-type: none"> + Providing assessments on market developments and proposing to temporarily not specialize in the steel and corrugated iron sector due to unfavorable conditions; + Directing and organizing the expansion of the Hoa Sen Home Network, completing the general warehouse network nationwide; in parallel with the assessment and completion of solutions to consolidate and improve the operational efficiency and business performance of branches and outlets in the system; in order to prepare resources and conditions to promote the specialization of the Hoa Sen Home Interior and building materials distribution business in the following fiscal years, when the situation is favorable. - Researching, completing, organizing and implementing projects and plans to improve and innovate techniques for production lines, machinery, equipment and technology in traditional production and business segments to optimize the operational efficiency of the entire system. - Researching and proposing to the Board of Directors to promote investment proposals to develop potential projects that the Group considers to have favorable conditions and a solid foundation for implementation. - Reviewing, recommending to the Board of Directors to approve policies on handling the Group's investment projects, especially projects that are unfinished, no longer in need of implementation or no longer suitable for the actual situation.
Governance & Appointment, Remuneration Committee	<p>In the context of the Group constantly expanding its scale and diversifying its operations, the Governance, Nomination and Remuneration Committee has played an important role in restructuring, optimizing the governance model and developing human resources. The Committee's activities not only aim at improving the Group's operational efficiency but also ensure the rights and welfare of all employees, contributing to building a streamlined, flexible governance system that is in line with the best standards of public company governance.</p>

Committee	Performance Result
	<ul style="list-style-type: none"> - Building and regularly reviewing, inspecting, evaluating to update and perfecting the governance model, operations and organizational structure of the Group towards increasing leanness and efficiency. In addition, this work of the Committee also aims to best suit changes in strategy or best support the restructuring and specialization policies of the Group's production and business sectors. - Regularly managing, monitoring and evaluating the effectiveness of the Group's governance and operation system. Especially the management and evaluation of important groups such as: Members of the Board of Directors, the Group Executive Board and managers from the middle level and above. - Monitoring and paying special attention to the training and development of the Group's human resources. Regularly proposing policies, directing and organizing the implementation of training programs on professional expertise to develop life skills, management skills, encourage participation in seminars, talks and professional exchanges. - Developing plans and recommending the Board of Directors to approve policies related to optimizing and reducing operating costs to improve profits and ensure the stability of the Group. - Organizing the development and recommending the Board of Directors to approve policies related to caring for the lives, social security and health of employees; welfare policies, support for employees' lives; apply income policies based on fair, objective and scientific assessment, accurately reflecting the work efficiency of each employee; supervise and direct the organization of activities to care for the spiritual life of the Group's employees.
Finance & Investor Relations Committee	<p>In the past year, the Finance & Investors Relations Committee has proactively implemented a series of key activities to ensure financial transparency, effectively manage resources and maintain close relationships with shareholders. These efforts have contributed to strengthening the confidence of shareholders, investors and financial partners, while ensuring the stable operation of the Group in the context of a volatile market.</p> <ul style="list-style-type: none"> - Recommending the Board of Directors to consider and approve the dividend payment plan for fiscal year 2022 – 2023 to ensure shareholders' interests. - Supervising and directing the implementation of information disclosure with the goal of ensuring transparency and timeliness in accordance with regulations. - Contacting, meeting and answering questions and issues of interest to investors; support shareholders in the work and procedures for registration and depository. - Negotiating and working with banks and credit institutions on credit limits at banks. - Reviewing, proposing and advising the Board of Directors to decide on capital contribution activities, cash flow coordination for subsidiaries, factories and ongoing projects.

Committee	Performance Result
	<ul style="list-style-type: none"> - Proposing the Board of Directors to consider and approve the policy of issuing shares under the Employee Stock Ownership Plan (ESOP) for leaders, managers, executives and key personnel to link the interests of employees with the interests of the Group; Encouraging and increasing work motivation and dedication of the Group's employees.
Audit Committee	<p>In the past year, the Audit Committee has performed important functions to ensure transparency and compliance in the Group's financial activities. With the role of supervisory and appraisal, the Committee not only ensures the accuracy of financial reports but also closely evaluates related transactions, contributing to strengthening the internal control system, thereby enhancing the reliability and effectiveness of corporate governance.</p> <ul style="list-style-type: none"> - Organizing the assessment, survey and valuation of the target assets that the Company intends to transfer. - Implementing the work of reviewing and evaluating the Group's financial and accounting situation. - Monitoring, evaluating the implementation of the business plans compared to assigned plans of the Group and its subsidiaries. - Checking, evaluating the compliance with accounting processes, regulations, policies and standards; - Reviewing, approving and supervising the implementation of transactions with related parties arising in fiscal year 2023 – 2024. - Monitoring and evaluating the internal control system and internal audit work. - Working with the independent audit unit to agree on the audit scope and the contents of the financial statements. - Proposing the Board of Directors to consider and approve the list of independent audit companies for the consolidated financial statements and separate financial statements of the Company and its subsidiaries in 2024.

5. Resolutions of the BOD

No.	Resolution No.	Date	Content	Approval rate
1	01/NQ/HDQT/2024	08/01/2024	Approval for the loan and asset – based lending of Hoa Sen Nhon Hoi – Binh Dinh One Member LLC at Vietinbank	100%
2	02/NQ/HDQT/2024	12/01/2024	Approval for official allocation ratio for funds and expenses of the BOD in FY 2022 – 2023	100%
3	02A/NQ/HDQT/2024	31/01/2024	Adjustment of BOD members and addition of members to the BOD of Hoa Sen Plastics JSC	100%
4	03/NQ/HDQT/2024	20/02/2024	Regular meeting of the BOD in February 2024	100%

No.	Resolution No.	Date	Content	Approval rate
5	04/NQ/HDQT/2024	24/02/2024	Introduction of additional candidates and approval on the list of BOD candidates for the term of 2024 – 2029 for the election at the Annual General Meeting of Shareholders FY 2023 – 2024	100%
6	05/NQ/HDQT/2024	28/02/2024	Approval of certain proposals at the Annual General Meeting of Shareholders FY 2023 – 2024 and supplement to the agenda of the AGM	100%
7	06/NQ/HDQT/2024	01/03/2024	Replacement for the Head of Thua Thien Hue Branch	100%
8	01A/NQ/HDQT/2024-2029	18/03/2024	Election of the Chairman of the BOD, appointment of the Standing & Executive Vice Chairman of the BOD and assignment of roles and responsibilities to the BOD members the term 2024 – 2029	100%
9	01B/NQ/HDQT/2024-2029	18/03/2024	Approval of personnel arrangement plan within the BOM and units under the BOD	100%
10	07/NQ/HDQT/2024	18/03/2024	Approval for the loan and asset-based lending at the BIDV	100%
11	08/NQ/HDQT/2024	19/03/2024	Approval of the selection of independent auditing firm for FS of the Group in FY 2023 – 2024	100%
12	09/NQ/HDQT/2024	29/03/2024	Approval of the supplement of several business lines to Hoa Sen Group	100%
13	10/NQ/HDQT/2024	01/04/2024	Replacement for the Head of some branches	100%
14	10A/NQ/HDQT/2024	10/04/2024	Regular meeting of the BOD in April 2024	100%
15	11/NQ/HDQT/2024	11/04/2024	Dividend payment for FY 2022 – 2023 by cash	100%
16	12/NQ/HDQT/2024	12/04/2024	Resignation and official appointment of Senior executives of the Group	100%
17	13/NQ/HDQT/2024	12/04/2024	Assignment of tasks related to credit and banking activities	100%
18	13A/NQ/HDQT/2024	12/04/2024	Assignment of tasks related to business registration	100%
19	14/NQ/HDQT/2024	29/04/2024	Replacement for the Head of some branches	100%
20	15/NQ/HDQT/2024	07/05/2024	Approval for increasing charter capital of Hoa Sen Yen Bai JSC	100%
21	15A/NQ/HDQT/2024	10/05/2024	Approval for the loan and asset – based lending at Vietinbank – Binh Duong Industrial Park Branch	100%
22	16/NQ/HDQT/2024	14/05/2024	Adjustment of address of Binh Duong Branch	100%
23	17/NQ/HDQT/2024	17/05/2024	Approval for some policies related to investment promotion of some projects in Dong Nai	100%

No.	Resolution No.	Date	Content	Approval rate
24	17A/NQ/HDQT/2024	21/05/2024	Replacement for the Head of Tay Nguyen General Warehouse Branch	100%
25	18/NQ/HDQT/2024	31/05/2024	Approval of the policy for signing the project development cooperation contract with related parties	100%
26	19/NQ/HDQT/2024	31/05/2024	Approval for some policies related to business activities and restructuring of the Group	100%
27	20/NQ/HDQT/2024	31/05/2024	Appointment of senior executives of the Group	100%
28	21/NQ/HDQT/2024	01/06/2024	Replacement for the Head of some branches	100%
29	22/NQ/HDQT/2024	04/06/2024	Adjustment of address of Dien Bien Branch	100%
30	23/NQ/HDQT/2024	11/06/2024	Approval for the plan to expand land fund to implement projects in Dong Nai	100%
31	24/NQ/HDQT/2024	01/07/2024	Change of head of Branch No. 2 Yen Khanh – Ninh Binh	100%
32	25/NQ/HDQT/2024	06/07/2024	Approving the contents related to the arrangement of organizational structure, job title structure of Units under the Group's Office and Adjustment of assignment and division of tasks of several senior management and executive positions	100%
33	26/NQ/HDQT/2024	10/07/2024	Implementation of stock issuance under the Employee Stock Ownership Plan (ESOP) for Leaders, Administrators, Executives and other key managers and personnel of the Group	100%
34	27/NQ/HDQT/2024	19/07/2024	Approving the value of land use rights as a basis for signing and implementing land use rights transfer transactions in Dong Nai province	100%
35	28/NQ/HDQT/2024	31/07/2024	Amending and supplementing a number of Articles of Resolution No. 26/NQ/HDQT/2024 dated July 10, 2024 on the implementation of ESOP share issuance	100%
36	28A/NQ/HDQT/2024	07/08/2024	Approving and guaranteeing the debt repayment obligation of Hoa Sen Plastics JSC at Vietinbank – Ba Ria – Vung Tau Branch	100%
37	29/NQ/HDQT/2024	09/08/2024	Amending and supplementing a number of Articles of Resolution No. 28/NQ/HDQT/2024 dated July 31, 2024 and amending and supplementing a number of contents in the ESOP share issuance regulations 2024 (issued together with Resolution No. 28/NQ/HDQT/2024)	100%
38	30/NQ/HDQT/2024	14/08/2024	Borrowing capital, mortgaging assets and appointing representatives to carry out related procedures at Vietcombank – Nam Binh Duong Branch	100%

No.	Resolution No.	Date	Content	Approval rate
39	31/NQ/HDQT/2024	31/08/2024	Changing the Heads of some branches under Hoa Sen Group	100%
40	32/NQ/HDQT/2024	12/09/2024	Resolution of the Board of Directors' meeting in September 2024	100%
41	33/NQ/HDQT/2024	16/09/2024	Adjusting the investment project "Hoa Sen Nam Cam – Nghe An Plant" of Hoa Sen Nghe An One Member Limited Liabilities Company	100%
42	33A/NQ/HDQT/2024	18/09/2024	Approving the policy of receiving transfer of land lease rights, factories and assets attached to land of a project in Hoa Cam Industrial Park – Da Nang	100%
43	34/NQ/HDQT/2024	27/09/2024	Establishing the Binh Dinh General Warehouse Business Location	100%
44	35/NQ/HDQT/2024	30/09/2024	Approving transactions with Related Persons that have been concluded and implemented in FY 2023 – 2024 and arising in the FY 2024 – 2025	100%
45	35A/NQ/HDQT/2024	30/09/2024	Approving the policy of dividing and transferring all undistributed profits of subsidiaries in FY 2023 – 2024 to Hoa Sen Group	100%
46	35B/NQ/HDQT/2024	30/09/2024	Approving the official allocation level of the Funds under the Board of Directors in FY 2023 – 2024	100%
47	36/NQ/HDQT/2024	08/10/2024	Extension of the time to pay for the purchase of shares and handle ESOP shares that are not fully distributed	100%
48	37/NQ/HDQT/2024	10/10/2024	Approving the report on the results of the issuance of employee stock ownership Plan (ESOP) for leaders, administrators, executives and other key managers and personnel of the Group	100%
49	38/NQ/HDQT/2024	11/10/2024	Adjustment of the project "Investment in the expansion of Hoa Sen Binh Dinh steel pipe plant" and the project "Hoa Sen Binh Dinh plastic pipe plant"	100%
50	39/NQ/HDQT/2024	11/10/2024	Adjustment of the investment project "Hoa Sen Yen Bai Building Materials Plant"	100%
51	40/NQ/HDQT/2024	14/10/2024	Request for a new credit limit and appoint a representative to carry out the procedures for borrowing and receiving debts at KASIKORNBANK Public Bank Limited – Ho Chi Minh City Branch	100%
52	41/NQ/HDQT/2024	18/10/2024	Adjusting the investment project "Hoa Sen Ha Nam Plant" invested by Hoa Sen Ha Nam One Member Limited Liabilities Company.	100%

No.	Resolution No.	Date	Content	Approval rate
53	42/NQ/HDQT/2024	21/10/2024	Approved the credit limit of Hoa Sen Group at TPBank	100%
54	42A/NQ/HDQT/2024	21/10/2024	Approval of the transaction of receiving the transfer of land use rights and land-attached assets at SMC Da Nang Mechanical Processing Plant Project (Hoa Cam Industrial Park – Da Nang)	100%
55	43/NQ/HDQT/2024	22/10/2024	Approval of the investment policy of the project Investment in the expansion of Hoa Sen Nhon Hoi - Binh Dinh steel pipe plant	100%
56	44/NQ/HDQT/2024	23/10/2024	Changing the Head of the Western General Warehouse	100%
57	45/NQ/HDQT/2024	25/10/2024	Approval of the investment plan after receiving the transfer of the right to sublease land and assets attached to land in Hoa Cam Industrial Park, Cam Le District, Da Nang City of SMC Da Nang Mechanical Processing Factory Project	100%
58	46/NQ/HDQT/2024	28/10/2024	Establishing a branch under Hoa Sen Group in Da Nang and appointed the Head of the Branch	100%
59	46A/NQ/HDQT/2024	01/11/2024	Changing the Heads of some branches under Hoa Sen Group	100%
60	47/NQ/HDQT/2024	05/11/2024	Establishing and putting into operation a representative office in Guangzhou, China	100%
61	48/NQ/HDQT/2024	05/11/2024	Establishing business location - Ha Nam General Warehouse and appointing the Head of the branch	100%
62	49/NQ/HDQT/2024	09/11/2024	Approval of the policy of issuing financial support commitment documents to prove the financial capacity of subsidiaries with investment project proposals	100%
63	50/NQ/HDQT/2024	19/11/2024	Resolution of the Board of Directors' meeting in November 2024	100%
64	51/NQ/HDQT/2024	22/11/2024	Approval of the extension of the time for the Annual General Meeting of Shareholders of the FY 2024 – 2025	100%
65	52/NQ/HDQT/2024	22/11/2024	Approval of the policy of increasing the charter capital of Hoa Sen Phu My One Member Limited Liabilities Company.	100%
66	53/NQ/HDQT/2024	03/12/2024	Approval of the investment policy of the project “Business and product introduction stores” in Xuyen Moc district, Ba Ria – Vung Tau province	100%
67	54/NQ/HDQT/2024	03/12/2024	Approval of the investment policy of the project “Business and product introduction stores” in Chau Duc district, Ba Ria – Vung Tau province	100%

No.	Resolution No.	Date	Content	Approval rate
68	55/NQ/HDQT/2024	10/12/2024	Changing the Heads of some branches under Hoa Sen Group	100%
69	55A/NQ/HDQT/2024	16/12/2024	Approval of the policy of renewing the validity of the decentralization and authorization documents for a number of Leader, Administration - Executive positions of the Group	100%
70	56/NQ/HDQT/2024	17/12/2024	Approval of the policy of organizing the Annual General Meeting of Shareholders of the FY 2024 - 2025	100%
71	57/NQ/HDQT/2024	17/12/2024	Assignment of tasks related to credit and banking activities	100%
72	57A/NQ/HDQT/2024	17/12/2024	Assignment of tasks related to business registration	100%
73	57B/NQ/HDQT/2024	17/12/2024	Approval of the loan for Hoa Sen Nghe An One Member Limited Liability Company and the use of collateral assets for the loan at Vietinbank	100%
74	58/NQ/HDQT/2024	19/12/2024	Using the Group's credit limit at HDBank	100%

III. AUDIT COMMITTEE

1. Information on members of Audit Committee ("AC")

No.	Members	Position	Start date/Date no longer the member of AC	Qualification
1	Mr. Nguyen Van Luan	Head of Committee	18/03/2024	Associate Professor, Ph.D. in Economics
2	Mr. Ly Van Xuan	Member of Committee	18/03/2024	Associate Professor, Ph.D, Medical Doctor
3	Mr. Dinh Viet Duy	Member of Committee	18/03/2024	Master of Project Management, Civil Engineer

2. Meetings of Audit Committee

No.	Members	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Nguyen Van Luan	5	100%	100%	
2	Mr. Ly Van Xuan	5	100%	100%	
3	Mr. Dinh Viet Duy	5	100%	100%	

3. Supervising activities of the Audit Committee over the Board of Directors, the Board of Management and Shareholders

In 2024, in order to perform the functions prescribed in the Charter and the Corporate Governance Regulations, the Audit Committee under the Board of Directors has organized and implemented the following tasks:

- Surveying, evaluating and appraising the value of target assets that the Company intends to transfer.
- Advising the Board of Directors on selecting an independent auditing company to audit the Group's financial statements, ensuring transparency in financial work.
- Monitoring and evaluating the performance of the independent audit unit (PricewaterhouseCoopers Vietnam Co., Ltd.) to ensure an independence and objectivity in auditing the Group's financial statements.
- Reviewing and evaluating the Group's financial and accounting situation; Supervise the recording, management and storage of accounting documents/books.
- Monitoring, tracking and ensuring that transactions with related parties comply with legal regulations and the Regulations on procedures for approval, conclusion and implementation of transactions with related parties of the Group.
- Participating in checking and evaluating the implementation of bidding, supplier selection, prices/contract negotiations to optimize input costs for the Company.
- Maintaining a professional internal audit and internal control system to closely monitor and inspect all areas of the Company's operations, ensuring that all professional activities comply with the issued procedures.
- Monitoring the implementation of the production and business plan of the Group's Board of Management.

The Audit Committee determined that the operations of the Hoa Sen Group's Board of Directors are in accordance with legal regulations, the Resolution of the General Meeting of Shareholders, the Charter and the Group's Governance Regulations; the Board of Directors maintains a reasonable level of caution in organizing accounting, statistics and preparing financial reports.

4. Coordinating between the Audit Committee and the activities of the Board of Directors, the Board of Management and other managers

The Audit Committee always coordinates well with the Board of Directors, the Board of Management and relevant managers in the process of organizing the implementation of the powers and obligations of the Committee according to regulations.

The Audit Committee has fully attended the regular meetings of the Board of Directors, reviewed the governance situation, the production and business situation, assessed the financial and accounting situation of the Group, made recommendations to consult and support the Board of Directors to make appropriate and effective decisions and policies, ensuring the correct implementation of the policies and resolutions approved by the General Meeting of Shareholders.

The Board of Directors, the Board of Management and other managers of the Group have created favorable conditions in the process of performing the functions and tasks of the Audit Committee; Provide explanations and provide documents and data to the Audit Committee promptly and fully as requested.

5. Other activities of the Audit Committee

5.1. Results of monitoring the Financial and Accounting Performance of the Group

In 2024, in order to perform the functions prescribed in the Charter and the Corporate Governance Regulations, the Audit Committee under the Board of Directors has organized and implemented the following tasks: (i) Review, evaluate the financial and accounting performance of the Group; (ii) Work with an independent auditing firm (PricewaterhouseCoopers Vietnam Co., Ltd.) to ensure an independence and objectivity in auditing the Group's financial statements.

The Board of Directors has concluded on the financial and accounting performance of the Group in 2024, specifically as follows:

❖ Regarding the financial situation

The Board of Directors confirms that the Group's financial situation in 2024 is good and transparent, specifically:

- The Group fully complies with the requirements of current Vietnamese accounting standards and corporate accounting regimes in organizing financial and accounting work.
- Accounting documents are strictly managed by the Group and systematically archived according to regulations, ensuring quick and complete retrieval when required for inspection and auditing.
- The opening of accounting books and cash books is carried out in accordance with current regulations, promptly and accurately recording and updating receipts, payment slips and daily debit/credit notices of banks.
- Basic indicators on debt situation, loans, liquidity, asset usage efficiency, provisioning and equity meet financial and accounting regulations, principles and standards.
- The Group has made efforts to reduce costs, boost sales to record net profits from production and business activities, effectively reduce outstanding loans and ensure the Group's financial balance.
- Production and business activities are maintained stably, cash flow is ensured and the financial situation is healthy. This is a positive signal in the difficult context of the world economy as well as Vietnam.

❖ Regarding Financial Statements

- Information in the Group's business reports and quarterly/semi – annual/annual financial statements (including separate reports and consolidated reports) is presented honestly, reasonably, consistently and in accordance with the provisions of the Law. The Board of Management maintains a reasonable level of caution in organizing accounting, statistics and preparing financial reports.
- All reports are presented by the Board of Management at regular meetings of the Board of Directors. The results of the actual inspection as well as the audit data of the independent auditing firm (PricewaterhouseCoopers Vietnam Co., Ltd.) have shown that the financial situation of Hoa Sen Group is in a completely healthy state.

5.2. Report on the control activities of branches/outlets and business units

- In 2024, the Internal Audit Deployment Board/Audit Committee has conducted inspections/audits of branches/outlets (“Outlets”) and business units to promptly detect violations and implement sanctions according to regulations.

- Focusing on reviewing costs incurred at Hoa Sen Home Outlets, ensuring that they are actually incurred.

- Monitoring, supervising and evaluating the handling of poor quality and degraded goods at the Outlets.

- Strictly controlling and synchronously controlling commercial products from the stages of receiving goods, acceptance, warehousing, preservation to selling goods and making payments to suppliers.

- Evaluating the capacity of new customers and debts to promptly detect risky debts.

- The Internal Audit Deployment Board and the Internal Control Department have coordinated to carry out regular and continuous inventory work to promptly detect violations, ensuring that the Group's production and business operations are carried out transparently and smoothly.

5.3. Report on inspection and supervision of transactions with related parties

In 2024, the supervision and inspection of transactions with related parties are strictly implemented, in accordance with legal regulations, as follows:

- The conclusion and implementation of transactions must be approved by the Board of Directors in accordance with the provisions of the Group's Charter, the Governance Regulations and the current Regulations on Transactions with Related Parties in accordance with the provisions of the Enterprise Law and the current Securities Law.

- At regular meetings, the Board of Directors discussed and presented opinions and views on transactions with related parties. For transactions that were considered important, the Board of Directors held extraordinary meetings to approve a separate resolution on the transactions and disclose information in accordance with the legal requirements.

- For regular transactions, the Board of Directors is responsible for making proposals, forwarding them to the Head of the Internal Audit Implementation Committee for review and consultation to submit to the Audit Committee under the Board of Directors for consideration and approval in accordance with regulations.

- The conclusion and implementation of transactions are based on equality and voluntariness in accordance with the provisions of law and the Group. All transactions are concluded in writing, ensuring transparency and harmonization of the interests of shareholders and the interests of the parties involved in the transaction.

In 2024, the Board of Directors reviewed and approved the Company's policies on transactions with related parties. The policies have also been disclosed in accordance with current Securities laws. Specifically, as follows:

No.	Resolution	Date	Contents
1	18/NQ/HDQT/2024	31/05/2024	Approving principle for the transaction of signing the Project Development Cooperation Contract between Hoa Sen Group and Related Parties.
2	35/NQ/HDQT/2024	30/09/2024	Approving transactions with Related Parties that were concluded and implemented during fiscal year 2023 – 2024 and arose in fiscal year 2024 – 2025.

5.4. Assessment of the audit environment, internal control, and independent audit services

❖ Strictly internal control environment

Hoa Sen Group is currently maintaining an effective internal control framework as follows:

- Inspection/control and risk assessment activities are performed periodically, continuously monitored and supervised to promptly respond to newly arising risks.
- Risk management methods are applied promptly, appropriately and consistently with the Group's governance perspective.
- Business processes are issued, updated, guided the implementation and management by the specialized unit. Compliance with the processes is well implemented and positive changes are recorded over time.
- Through the Audit Committee and advisory and support units, the Board of Directors has identified key risks during the year, identified production and business processes with potential risks and organized an assessment of the suitability of the control system as well as the compliance within operating processes.

❖ Transparent audit environment

- The Group always advocates creating the most transparent and favorable environment for auditing and inspection activities of independent audit units as well as state agencies.
- Internal auditing operates based on best practices and international standards and is consistent with the instruction issued by the Ministry of Finance.
- The coordination between the Board of Directors and the Audit Committee in management has helped internal audit to promote its role well.
- Internal audit proactively carries out coordination and consulting activities in risk management and internal control instead of just performing pure audits and assessments.
- Internal auditing plan was successfully completed within the scope of available resources.
- Internal audit's recommendations are unanimously acknowledged, accepted and seriously implemented by the units through remediation plans which are closely monitored by the internal audit.
- Internal audit has completed many assigned tasks and audit plans, contributing to improving the management process, enhancing the production and business efficiency and improving operational efficiency.

❖ ***Supervision of Independent Audit services***

- The Audit Committee monitors and evaluates the independence and objectivity of the audit company and the effectiveness of the audit process. The Committee has discussed with the Group's independent auditing firm, PricewaterhouseCoopers (PWC) Vietnam Company Limited to clarify issues arising and/or risks discovered during the independent audit process and at the same time recommends solutions to handle or prevent risks.

- The audit process of the independent audit company is guaranteed to be in accordance with the requirements of Hoa Sen Group, adapting the requirements of quality assurance, independence and no conflict of interest of independent audit.

- The auditing firm has completed its tasks, met the expectations of the Audit Committee and ensured the reliability and accuracy of the financial statements provided by the Group.

IV. GROUP EXECUTIVE BOARD

No.	Members	Date of birth	Qualification	Date of appointment/dismissal/removal of Executive Board Member position
1	Mr. Tran Ngoc Chu Standing – Executive Vice Chairman of the BOD; Vice Chairman of the Committees under the BOD; Deputy Head of specialized Boards under the BOD; Chairman/Chairman of the BOD of subsidiaries.	10/05/1962	Bachelor of Finance and Accounting	18/03/2024
2	Mr. Tran Quoc Tri Executive member of the BOD cum General Director (resigned on April 12, 2024)	19/05/1979	Bachelor of Accounting – Auditing	12/04/2024 (resigned as General Director)
3	Mr. Vu Van Thanh General Director (appointed on April 12, 2024); Vice Chairman of the BOD of subsidiaries.	29/07/1966	Master of Economics	12/04/2024 (resigned as Standing Deputy General Manager, appointed as General Director)
4	Mr. Nguyen Ngoc Huy Standing Deputy General Director; Chairman/Chairman of the Member Council of the subsidiaries (appointed on May 1, 2024)	08/07/1978	Bachelor of Foreign Language	01/05/2024 (resigned as Deputy General Manager, appointed as Standing Deputy General Manager)
5	Mr. Hoang Duc Huy Deputy General Director	23/07/1958	Bachelor	18/03/2024
6	Mr. Tran Quoc Pham Deputy General Director	07/03/1973	Civil and Industrial Construction Engineer	18/03/2024

No.	Members	Date of birth	Qualification	Date of appointment/ dismissal/removal of Executive Board Member position
7	Mr. Tran Thanh Nam Deputy General Director	22/04/1988	Master of Business Administration	18/03/2024
8	Mr. Nguyen Minh Phuc Deputy General Director; Chairman of subsidiaries	15/08/1981	Bachelor of Industrial Technology/Faculty of Mechanical Engineering and Manufacturing	18/03/2024
9	Mr. Nguyen Tan Hoa Deputy General Director	14/10/1978	Bachelor of Mechanical Dynamics Engineer	01/05/2024
10	Mr. Nguyen Le Manh Tung Acting Deputy General Director	25/02/1988	Bachelor of International Commerce	01/05/2024
11	Mr. Tran Dinh Tai Acting Deputy General Director	16/11/1979	Bachelor of Business Administration – Marketing	01/05/2024
12	Mr. Pham Dinh Acting Deputy General Director	15/08/1980	Industrial Engineering Engineer	01/05/2024
13	Mr. Nguyen Tran Dai Acting Deputy General Director	28/09/1985	Bachelor of Foreign Economics	03/06/2024
14	Mr. Bui Thanh Tam Person in charge of Corporate Governance; Deputy Head of Specialized Board under the BOD	04/04/1957	Vocational Diploma in Accounting for Forestry Enterprises	18/03/2024
15	Mrs. Nguyen Thi Ngoc Lan Chief Accountant	21/01/1969	Bachelor of Accounting – Auditing	18/03/2024
16	Mr. Le Vu Nam Head of Internal Audit Implementation Board	20/06/1969	Associate Professor, PhD. of Economic Laws	18/03/2024
17	Mr. Le Dinh Hanh Deputy Head of Internal Audit Implementation Board	02/08/1980	Bachelor in Finance – Monetary and Credit	18/03/2024

V. CHIEF ACCOUNTANT

Full name	Date of birth	Qualification	Date of appointment
Mrs. Nguyen Thi Ngoc Lan	21/01/1969	Bachelor of Accounting – Auditing	18/03/2024

VI. TRAINING COURSES ON CORPORATE GOVERNANCE

In 2024, the Board of Directors encouraged and facilitated personnel involved in legal affairs and corporate governance to attend conferences, seminars, and training courses, and contribute ideas in the field of corporate governance, including:

- Training conference on the registration documents for securities offering and issuance;
- Conference on “Key considerations in complying with securities law and stock market regulations for public companies and listed companies; Mistakes in preparing and presenting financial statements for public and listed companies”;
- Conference on “Enhancing corporate governance capacity for listed companies”;
- Seminar on “Improving effectiveness in corporate governance for public companies through internal regulations” organized by FPT Securities Joint Stock Company and Ho Chi Minh Stock Exchange;
- Seminar on “Training in corporate governance” organized by the State Securities Commission and the Australian Securities and Investments Commission;
- Specialized governance workshops organized by the Company.

VII. THE LIST OF RELATED PERSONS OF THE PUBLIC COMPANY AND TRANSACTIONS OF RELATED PERSONS OF THE COMPANY

1. The list related persons of the Company

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issuance, place of issuance	Contact address	Time of starting to be related person	Time of ending to be related person	Reasons	Relationship with the Company	Notes
1	Le Phuoc Vu		Chairman of the BOD; Head of Strategy Development Committee and Governance & Appointment, Remuneration Committee			18/03/2024			Insider /Individual owning more than 10% voting shares of the Company	Ownership percentag: 16.69%
2	Tran Ngoc Chu		Standing & Executive Vice Chairman of the BOD; Deputy Head of Strategy Development Committee and Governance & Appointment, Remuneration Committee			18/03/2024			Insider	Ownership percentag: 0.09%
3	Tran Quoc Tri		Non-executive member of the BOD			18/03/2024			Insider	Ownership percentag: 0.21%
4	Ly Van Xuan		Non-executive member of the BOD; Member of Audit Committee and Finance & Investor Relations Committee			18/03/2024			Insider	Ownership percentag: 0.14%
5	Nguyen Van Luan		Independent member of the BOD; Head of Audit Committee			18/03/2024			Insider	Ownership percentag: 0.03%

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issuance, place of issuance	Contact address	Time of starting to be related person	Time of ending to be related person	Reasons	Relationship with the Company	Notes
6	Dinh Viet Duy		Independent member of the BOD; Head of Finance & Investor Relations Committee, Member of Audit Committee			18/03/2024			Insider	Ownership percentag: 0.01%
7	Vu Van Thanh		General Director/ Authorized Representative for Information Disclosure			18/03/2024			Insider	Ownership percentag: 0.03%
8	Nguyen Ngoc Huy		Standing Deputy General Director			18/03/2024			Insider	Ownership percentag: 0.06%
9	Hoang Duc Huy		Deputy General Director			18/03/2024			Insider	Ownership percentag: 0.08%
10	Tran Quoc Pham		Deputy General Director			18/03/2024			Insider	Ownership percentag: 0.04%
11	Tran Thanh Nam		Deputy General Director			18/03/2024			Insider	Ownership percentag: 0.02%
12	Nguyen Minh Phuc		Deputy General Director			18/03/2024			Insider	Ownership percentag: 0.03%

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issuance, place of issuance	Contact address	Time of starting to be related person	Time of ending to be related person	Reasons	Relationship with the Company	Notes
13	Nguyen Tan Hoa		Deputy General Director			01/05/2024			Insider	Ownership percentag: 0.01%
14	Nguyen Le Manh Tung		Acting Deputy General Director			01/05/2024			Insider	Ownership percentag: 0.004%
15	Tran Dinh Tai		Acting Deputy General Director			01/05/2024			Insider	Ownership percentag: 0.004%
16	Pham Dinh		Acting Deputy General Director			01/05/2024			Insider	Ownership percentag: 0.005%
17	Nguyen Tran Dai		Acting Deputy General Director			03/06/2024			Insider	Ownership percentag: 0.004%
18	Nguyen Thi Ngoc Lan		Chief Accountant			18/03/2024			Insider	Ownership percentag: 0.08%
19	Bui Thanh Tam		Person in charge of Corporate Governance; Standing – Implementation Deputy Head of Restructuring Board			18/03/2024			Insider	Ownership percenta: 0.01%
20	Le Vu Nam		Head of Internal Audit Implementation Board			18/03/2024			Insider	Ownership percentag: 0.09%

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issuance, place of issuance	Contact address	Time of starting to be related person	Time of ending to be related person	Reasons	Relationship with the Company	Notes
21	Le Dinh Hanh		Deputy Head of Internal Audit Implementation Board			18/03/2024			Insider	Ownership percentag: 0.12%
22	Hoa Sen Yen Bai Building Materials One Member LLC					06/01/2017			Subsidiary	100% owned by Hoa Sen Group
23	Hoa Sen Ha Nam One Member LLC					15/09/2015			Subsidiary	100% owned by Hoa Sen Group
24	Hoa Sen Nghe An One Member LLC					12/06/2015			Subsidiary	100% owned by Hoa Sen Group
25	Hoa Sen Nhon Hoi – Binh Dinh One Member LLC					26/11/2015			Subsidiary	100% owned by Hoa Sen Group
26	Hoa Sen Binh Dinh Sole Member LLC					14/05/2014			Subsidiary	100% owned by Hoa Sen Group
27	Hoa Sen Phu My One Member LLC					08/08/2016			Subsidiary	100% owned by Hoa Sen Group

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	NSH No.*, date of issuance, place of issuance	Contact address	Time of starting to be related person	Time of ending to be related person	Reasons	Relationship with the Company	Notes
28	Hoa Sen Plastics JSC					18/12/2007			Subsidiary	99.95% owned by Hoa Sen Group
29	Hoa Sen Steel One Member Co., Ltd.					24/12/2007			Subsidiary	100% owned by Hoa Sen Group
30	Hoa Sen Yen Bai JSC					05/05/2016			Subsidiary	97.3% owned by Hoa Sen Group
31	Hoa Sen Sai Gon JSC					21/02/2024			Associated Company	40% owned by Hoa Sen Group

Notes: The NSH No.* is the ID Card/Passport number (for individuals) or the Enterprise Registration Certificate Number, Business License, or equivalent legal documents (for organizations).).

2. Transactions between the Company and its related persons or between the Company and its major shareholders, insiders and related persons

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issuance, place of issuance	Contact address	Time of transaction with the Company	No. of approving Resolution/ Decision of the BOD/AGM (if any)	Content, quantity, total value of transaction	Notes
1	Hoa Sen Holdings Group	Affiliated person of the insider	5800921584, 12/07/2010, Lam Dong Department of Planning and Investment	Sub-quarter 10, Da M'ri town, Da Huoi district, Lam Dong province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: <ul style="list-style-type: none"> - Sales of merchandise and services: VND 2,597,131,818,628 - Sales of processing services: VND 172,316,752 - Sales of transportation services: VND 15,992,700 - Revenue from leasing service: VND 4,212,410,910 - Sales discounts: VND (66,191,449,411) - Sales returns: VND (10,229,718,233) - Sales allowances: VND (433,489,745) - Late payment interest: VND 39,775,092 - Sales of fixed assets: VND 15,000,000 Purchase transactions: <ul style="list-style-type: none"> - Purchases of merchandise and services: VND 190,040,358,393 - Purchases of transportation services: VND 40,039,301,830 - Operational leasing: VND 8,669,140,002 - Purchases of processing services: VND 678,600 Receivables and Payables: <ul style="list-style-type: none"> - Advances from customers: VND 5,750,000,000 - Accounts payables to suppliers: VND 1,826,986,886 - Long-term lease deposits: VND 709,500,000 - Other short-term receivables: VND 3,965,200,000 	
2	Hoa Sen Nghe An Investment One Member Co., Ltd.	Affiliated person of the insider	2901880265, 17/02/2017, Nghe An Department of Planning and Investment	Lot CN 1-8, Dong Hoi Industrial Park, Quynh Lap commune, Hoang Mai town, Nghe An province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Purchase transactions: <ul style="list-style-type: none"> - Purchases of transportation services: VND 322,998,171 Receivables and Payables: <ul style="list-style-type: none"> - Accounts payables to suppliers: VND 23,112,000 	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issuance, place of issuance	Contact address	Time of transaction with the Company	No. of approving Resolution/ Decision of the BOD/AGM (if any)	Content, quantity, total value of transaction	Notes
3	Hoa Sen Steel One Member Co., Ltd.	Affiliated person of the Company	3700763651, 24/12/2007, Binh Duong Department of Planning and Investment	No. 9 Thong Nhat Boulevard, Song Than II Industrial Park, Di An ward, Di An city, Binh Duong province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: <ul style="list-style-type: none"> - Sales of merchandise and services: VND 12,234,350,011,734 - Sales of processing services: VND 34,356,422 - Revenue from leasing service: VND 1,429,000,000 - Sales of fixed assets: VND 268,900,000 - Collection of electricity, water and gas bills: VND 154,921,919,953 Purchase transactions: <ul style="list-style-type: none"> - Purchase of merchandise and services: VND 12,361,704,321,668 - Purchase returns: VND (7,936,972,930) Receivables and Payables: <ul style="list-style-type: none"> - Accounts receivable from customers: VND 2,130,522,097,171 - Accounts payable to suppliers: VND 76,780,211 	
4	Hoa Sen Plastics JSC	Affiliated person of the Company	3500786179, 18/12/2007, Ba Ria – Vung Tau Department of Planning and Investment	Road 2B, Phu My I Industrial Park, Phu My ward, Phu My town, Ba Ria – Vung Tau province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: <ul style="list-style-type: none"> - Sales of merchandise and services: VND 1,453,044,817 - Revenue from leasing service: VND 403,435,552 - Interest income: VND 5,743,552,055 - Late payment interest: VND 6,526,064,754 - Sales of scraps: VND 34,500 - Sales of tools and instruments: VND 19,838,544 Purchase transactions: <ul style="list-style-type: none"> - Purchases of merchandise and services: VND 9,369,873,711 - Purchases of transportation services: VND 5,300,000 - Operational leasing: VND 1,187,711,758 Receivables and Payables: <ul style="list-style-type: none"> - Accounts receivable from customers: VND 229,651,080,230 - Short-term loan receivable: VND 401,000,000,000 - Accounts payable to suppliers: VND 180,205,756 	

No.	Name of organization/ individual	Relations hip with the Company	NSH No., date of issuance, place of issuance	Contact address	Time of transaction with the Company	No. of approving Resolution/ Decision of the BOD/AGM (if any)	Content, quantity, total value of transaction	Notes
5	Hoa Sen Binh Dinh Sole Member LLC	Affiliated person of the Company	4101425750, 14/05/2014, Binh Dinh Department of Planning and Investment	Lot A1.1 and TT 6.2&7, Nhon Hoa Industrial Park, Nhon Hoa Ward, An Nhon town, Binh Dinh province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Sales of merchandise and services: VND 3,010,264,531 - Revenue from leasing service: VND 18,000,000 Purchase transactions: - Purchase of merchandise and services: VND 1,208,051,571,462 - Purchases of transportation services: VND 3,994,640,247 - Purchases of fixed assets: VND 1,472,231,365 - Operational leasing: VND 1,153,500,000 - Purchase of electricity, water and gas bills: VND 7,768,754 - Purchase returns: VND (21,230,553) - Purchase allowances: VND (10,367,530) Receivables and Payables: - Accounts receivable from customers: VND 11,449,680 - Accounts payable to suppliers: VND 403,180,500,799 - Other payables – short term: VND 221,650,000	
6	Hoa Sen Nghe An One Member LLC	Affiliated person of the Company	2901788319, 12/06/2015, Nghe An Department of Planning and Investment	Lot CN 1-8, Dong Hoi Industrial Park, Quynh Lap commune, Hoang Mai town, Nghe An Province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Sales of merchandise and services: VND 11,988,184,950,434 - Revenue from leasing service: VND 263,030,000 - Sales returns: VND (228,000) - Sales of scraps: VND 179,300,000 - Other income: VND 406,969,540 Purchase transactions: - Purchase of merchandise and services: VND 10,215,155,669,672 - Purchases of transportation services: VND 1,067,601,886 - Purchases of processing services: VND 98,742,191,868 - Purchases of container loading service: VND 1,096,700,965 - Purchases of fixed assets: VND 391,104,000 - Operational leasing: VND 424,867,044 - Purchase of electricity, water and gas bills: VND 327,273 - Purchase of tools and instruments: VND 222,488,000 - Purchase returns: VND (6,616,806,676) - Purchase allowances: VND (1,548,913,095) Receivables and Payables: - Accounts receivable from customers: VND 1,917,198,228,360	

No.	Name of organization/ individual	Relationship with the Company	NSH No., date of issuance, place of issuance	Contact address	Time of transaction with the Company	No. of approving Resolution/ Decision of the BOD/AGM (if any)	Content, quantity, total value of transaction	Notes
7	Hoa Sen Nhon Hoi – Binh Dinh One Member LLC	Affiliated person of the Company	4101453370, 26/11/2015, Binh Dinh Department of Planning and Investment	Hoi Son hamlet, Nhon Hoi ward, Quy Nhon city, Binh Dinh province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Sales of merchandise and services: VND 5,893,828,376,299 - Sales of processing services: VND 13,585,386 - Sales returns: VND (760,000) Purchase transactions: - Purchase of merchandise and services: VND 4,145,315,609,277 - Purchases of transportation services: VND 62,780,000 - Purchases of processing services: VND 92,309,448,223 - Operational leasing: VND 616,200,000 - Purchases of fixed assets: VND 437,416,842 - Purchase returns: VND (1,878,748,127) Receivables and Payables: - Accounts receivable from customers: VND 2,892,925,712,122 - Prepayments to suppliers: VND 87,785,600 - Accounts payable to suppliers: VND 269,359,009,946	
8	Hoa Sen Ha Nam One Member LLC	Affiliated person of the Company	0700759219, 15/09/2015, Ha Nam Department of Planning and Investment	Thanh Liem Industrial Park, Kien Khe town, Thanh Liem district, Ha Nam province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Sales of merchandise and services: VND 1,964,728,764 - Revenue from leasing service: VND 259,064,522 Purchase transactions: - Purchase of merchandise and services: VND 438,798,521,701 - Purchases of transportation services: VND 1,089,629,164 - Purchases of processing services: VND 297,300 - Operational leasing: VND 1,083,000,000 - Purchase returns: VND (2,645,382) - Purchase allowances: VND (18,181,819) Receivables and Payables: - Accounts receivable from customers: VND 1,091,621,488 - Prepayments to suppliers: VND 14,000,000 - Accounts payable to suppliers: VND 73,655,949,647 - Other payables – short term: VND 282,660,366	

No.	Name of organization/ individual	Relations hip with the Company	NSH No., date of issuance, place of issuance	Contact address	Time of transaction with the Company	No. of approving Resolution/ Decision of the BOD/AGM (if any)	Content, quantity, total value of transaction	Notes
9	Hoa Sen Yen Bai JSC	Affiliated person of the Company	5200861319, 05/05/2016, Yen Bai Department of Planning and Investment	Group 11, Dong Tam ward, Yen Bai city, Yen Bai province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Interest income: VND 40,747,945 Purchase transactions: - Purchase of merchandise and services: VND 2,909,244,624 Receivables and Payables: - Accounts payable to suppliers: VND 3,200,169,086	
10	Hoa Sen Phu My One Member LLC	Affiliated person of the Company	3502313442, 08/08/2016, BR-VT Department of Planning and Investment	Road 1A, Phu My I Industrial Park, Phu My Ward, Phu My town, Ba Ria – Vung Tau province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Sales of merchandise and services: VND 2,851,170,324,545 - Sales of processing services: VND 131,040 - Sales of scraps: VND 3,806,000 - Sales returns: VND (172,537,596) - Sales of fixed assets: VND 120,000,000 - Sales of scraps: VND 73,299,000 Purchase transactions: - Purchase of merchandise and services: VND 1,577,042,391,645 - Purchases of transportation services: VND 1,561,793 - Purchases of processing services: VND 92,446,873,550 - Purchase of fixed assets: VND 270,613,698 - Operational leasing: VND 1,986,000,000 - Purchase of electricity, water and gas bills: VND 18,792,000 - Purchase of tools and instruments: VND 30,128,835 - Purchase returns: VND (268,194,583) Receivables and Payables: - Accounts receivable from customers: VND 1,129,567,366,284 - Accounts payable to suppliers: VND 510,783,960,758 - Other payables – short term: VND 525,702,000	

No.	Name of organization/ individual	Relations hip with the Company	NSH No., date of issuance, place of issuance	Contact address	Time of transaction with the Company	No. of approving Resolution/ Decision of the BOD/AGM (if any)	Content, quantity, total value of transaction	Notes
11	Hoa Sen Yen Bai Building Materials One Member LLC	Affiliated person of the Company	5200870602, 06/01/2017, Yen Bai Department of Planning and Investment	Dong Danh hamlet, Minh Quan commune, Tran Yen District, Yen Bai Province	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Sales transactions: - Sales of merchandise and services: VND 51,980,812 - Sales returns: VND (240,909) Purchase transactions: - Purchase of merchandise and services: VND 177,157,594,537 - Purchases of transportation services: VND 220,016,545 - Operational leasing: VND 120,000,000 - Purchase allowances: VND (8,322,671) Receivables and Payables: - Prepayments to suppliers: VND 640,188,687 - Accounts payable to suppliers: VND 10,612,113,154 - Other payables – short term: VND 433,136,628	
12	Mrs. Le Thi Le Hoa	Insider's related person	049174002244, 18/05/2022, Police Dept. for Administrative Management of Social Order	14/15 Ho Van Long, Quarter 4, Tan Tao Ward, Binh Tan District, Ho Chi Minh City	From 01/01/2024 to 31/12/2024	Resolution No. 41/NQ/HDQT/ 2023 dated December 12, 2023; Resolution No. 35/NQ/HDQT/ 2024 dated September 30, 2024	Receivables and Payables: - Short-term prepayments to suppliers: VND 450,000,000,000	

Notes: The NSH No.* is the ID Card/Passport number (for individuals) or the Enterprise Registration Certificate Number, Business License, or equivalent legal documents (for organizations).

3. Transactions between insiders of the Company, related persons of insiders and the Company's subsidiaries in which the Company takes controlling power

No.	Transaction executors	Relationship with insiders	Position in listed Company	ID Card/Passport No., date of issuance, place of issuance	Address	Name of subsidiary, units controlled by the Company	Time of transactions	Content, quantity, total value of transaction	Notes
1	Hoa Sen Holdings Group	Directly controlled by insider	Chairman of the BOD	5800921584, 12/07/2010, Lam Dong DPI	Sub-quarter 10, Da M'ri town, Da Huoai district, Lam Dong province	Hoa Sen Steel One Member LLC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services: VND 529,937,450. Receivables and Payables: - Accounts receivable from customers: VND 19,183,500.	
2	Hoa Sen Holdings Group	Directly controlled by insider	Chairman of the BOD	5800921584, 12/07/2010, Lam Dong DPI	Sub-quarter 10, Da M'ri town, Da Huoai district, Lam Dong province	Hoa Sen Plastics JSC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of merchandise and services: VND 90,002,194 Purchase transactions: - Purchase of merchandise and services : VND 168,686,119,449. - Operational leasing: VND 9,933,392. - Purchase allowances : VND (20,030,113,061) - Purchase returns : VND (305,230) - Late payment interest: VND 2,881,281 - Purchases of fixed assets: VND 84,000,000 Receivables and Payables: - Accounts payable to suppliers : VND 34,939,063	
3	Hoa Sen Holdings Group	Directly controlled by insider	Chairman of the BOD	5800921584, 12/07/2010, Lam Dong DPI	Sub-quarter 10, Da M'ri town, Da Huoai district, Lam Dong province	Hoa Sen Nghe An One Member LLC	From 01/01/2024 to 31/12/2024	Purchase transactions: - Purchase of merchandise and services: VND 3,392,949,605. Receivables and Payables: - Prepayment to suppliers: VND 23,235,965.	
4	Hoa Sen Holdings Group	Directly controlled by insider	Chairman of the BOD	5800921584, 12/07/2010, Lam Dong DPI	Sub-quarter 10, Da M'ri town, Da Huoai district, Lam Dong province	Hoa Sen Nhon Hoi – Binh Dinh One Member LLC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services : VND 968,980,652. Purchase transactions: - Purchasing merchandise and services : VND 7,103,849,063. Receivables and Payables: - Accounts receivable from customers: VND 523,489,100.	

5	Hoa Sen Holdings Group	Directly controlled by insider	Chairman of the BOD	5800921584, 12/07/2010, Lam Dong DPI	Sub-quarter 10, Da M'ri town, Da Huoai district, Lam Dong province	Hoa Sen Ha Nam One Member LLC	From 01/01/2024 to 31/12/2024	Purchase transaction: - Purchases of fixed assets: VND 70,000,000.	
6	Hoa Sen Holdings Group	Directly controlled by insider	Chairman of the BOD	5800921584, 12/07/2010, Lam Dong DPI	Sub-quarter 10, Da M'ri town, Da Huoai district, Lam Dong province	Hoa Sen Phu My One Member LLC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services: VND 9,459,676,013. Purchase transactions: - Purchasing merchandise and services: VND 1,198,486,657,208. - Purchases of processing service: VND 7,217,700. - Discounted purchased goods: VND (37,137,137,702). - Purchase returns: VND (57,799,987). - Purchase allowances: VND (31,033,428). - Late payment interest: VND 9,344,469. Receivables and Payables: - Accounts payable to suppliers: VND 1,172,403,062. - Short-term deposits received: VND 4,450,000,000.	
7	Hoa Sen Nghe An Investment One Member Co., Ltd.	Directly controlled by insider	Chairman of the BOD	2901880265, 17/02/2017, Nghe An DPI	Lot CN 1-8, Dong Hoi Industrial Park, Quynh Lap commune, Hoang Mai town, Nghe An Province	Hoa Sen Nghe An One Member LLC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services: VND 49,358,549,873. Purchase transactions: - Purchase of merchandise and services: VND 980,000. - Operational leasing: VND 216,000,000. Receivables and Payables: - Accounts receivable from customers: VND 3,860,683,820.	
8	Hoa Sen Nghe An Investment One Member Co., Ltd.	Directly controlled by insider	Chairman of the BOD	2901880265, 17/02/2017, Nghe An DPI	Lot CN 1-8, Dong Hoi Industrial Park, Quynh Lap commune, Hoang Mai town, Nghe An Province	Hoa Sen Nhon Hoi – Binh One Member LLC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services: VND 209,472,187. Receivables and Payables: - Accounts receivable from customers: VND 88,272,684.	

9	Hoa Sen Nghe An Investment One Member Co., Ltd.	Directly controlled by insider	Chairman of the BOD	2901880265, 17/02/2017, Nghe An DPI	Lot CN 1-8, Dong Hoi Industrial Park, Quynh Lap commune, Hoang Mai town, Nghe An Province	Hoa Sen Ha Nan One Member Co., Ltd	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services: VND 48,307,500.	
10	Hoa Sen Nghe An Investment One Member Co., Ltd.	Directly controlled by insider	Chairman of the BOD	2901880265, 17/02/2017, Nghe An DPI	Lot CN 1-8, Dong Hoi Industrial Park, Quynh Lap commune, Hoang Mai town, Nghe An Province	Hoa Sen Phu My One Member LLC	From 01/01/2024 to 31/12/2024	Sales transactions: - Sales of transportation services: VND 35,880,725. Receivables and Payables : - Accounts receivable from customers: VND 17,712,000.	

4. Transactions between the Company and other parties: None.

VIII. SHARE TRANSACTIONS OF INSIDERS AND THEIR RELATED PERSONS

1. The list of insiders and their related persons

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
1	Le Phuoc Vu		Chairman of the BOD; Head of Strategy Development Committee and Governance & Appointment and Remuneration Committee			105,345,945	16.96%	
1.1	Tran Thi Nga					0	0	
1.2	Le Hoang Vu Tri					0	0	
1.3	Le Hoang Dieu Tam					50,000	0.01%	
1.4	Le Hoang Dieu Thien					0	0	
1.5	Le Phuoc Long					0	0	
1.6	Le Thi Le Thuy					0	0	
1.7	Le Thi Le Thu					0	0	
1.8	Le Thi Le Thanh					0	0	
1.9	Le Phuoc Tung					0	0	
1.10	Le Phuoc Nhu					0	0	
1.11	Le Thi Le Hoa					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
1.12	Nguyen Van Chien					0	0	
1.13	Hoa Sen Holdings Group					0	0	
1.14	Tam Hy Single Member LLC					12,489	0.002%	
1.15	Hoa Sen Nghe An Investment One Member Co., Ltd.					0	0	
1.16	Hoa Sen International Art Performance and Concert LLC					0	0	
1.17	Hoa Sen High Technology Health Solution LLC					0	0	
2	Tran Ngoc Chu		Standing - Executive Vice Chairman of the BOD; Deputy Head of Strategy Development Committee and Governance & Appointment and Remuneration Committee			585,147	0.09%	
2.1	Nguyen Thi Hong Nga					0	0	
2.2	Tran Thi My Linh					0	0	
2.3	Tran Ngoc Kien					0	0	
2.4	Tran Thi Kien					0	0	
2.5	Tran Thi Kieu					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
3	Tran Quoc Tri		Non-executive member of the BOD			1,275,689	0.21%	
3.1	Than Thi Chinh					0	0	
3.2	Le Thi Thu Thao					0	0	
3.3	Tran Ngoc Mong Trinh					0	0	
3.4	Tran Ngoc Thuy Trang					0	0	
3.5	Tran Ngoc Thanh Truc					0	0	
3.6	Tran Ngoc Mong Tram					0	0	
3.7	Tran Ngoc Thuy Tien					0	0	
3.8	Tran Quoc Thieu					0	0	
4	Ly Van Xuan		Non-executive member of the BOD; Member of the Audit Committee and the Finance & Investor Relations Committee			894,500	0.14%	
4.1	Vo Thi Son Ca					148,162	0.02%	
4.2	Ly Khanh Van					243,282	0.04%	
4.3	Ly Hoang Long					86,582	0.01%	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
5	Nguyen Van Luan		Independent member of the BOD; Head of Audit Committee			173,600	0.03%	
5.1	Tran Thi Ly					0	0	
5.2	Nguyen Thi Lai					2	0	
5.3	Nguyen Thi Anh					0	0	
5.4	Nguyen Van Sang					0	0	
6	Dinh Viet Duy		Independent member of the BOD; Head of Finance & Investor Relations Committee and Member of Audit Committee			50,012	0.01%	
6.1	Tran Thi Hong Hue					0	0	
6.2	Tran Thi Kim Chung					0	0	
6.3	Quach Thi Dieu Thao					0	0	
6.4	Dinh Viet Duy Trung					0	0	
6.5	Architects & Construction Service Corporation (ACSC)					0	0	
6.6	ACSC & Fujinami Construction Consulting Co., Ltd.					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
7	Vu Van Thanh		General Director/ Authorized Representative for information disclosure			156,202	0.03%	
7.1	Lu Thi Phuong Thao					0	0	
7.2	Vu Duy Lam					0	0	
7.3	Vu Thi Phuong					0	0	
7.4	Le Thanh Tan					0	0	
7.5	Vu Thi Anh Tuyet					0	0	
7.6	Do Van Khuong					0	0	
7.7	Vu Thi Anh Huong					0	0	
7.8	Vu Thi Hoa					0	0	
7.9	Nguyen Van Trung					0	0	
8	Nguyen Ngoc Huy		Standing Deputy General Director			380,111	0.06%	
8.1	Nguyen Van Manh					0	0	
8.2	Huynh Thi Kim Tho					0	0	
8.3	Nguyen Van Huyen					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
8.4	Do Thi Sau					0	0	
8.5	Nguyen Thi Cam Binh					0	0	
8.6	Nguyen Thai Bao					0	0	
8.7	Nguyen Lan Phuong					0	0	
8.8	Nguyen Dong Ho					0	0	
8.9	Vo Thi Kim Quyen					0	0	
8.10	Nguyen Dong Hai					0	0	
8.11	Ngo Nguyen Hoang Oanh					0	0	
8.12	Nguyen Ngoc Han					0	0	
8.13	Nguyen Van Duong					0	0	
9	Hoang Duc Huy		Deputy General Director			474,028	0.08%	
9.1	Hoang Thi Xuan Huong					0	0	
9.2	Hoang Duc Hoang					4	0	
9.3	Hoang Duc Canh					0	0	
9.4	Hoang Duc Dung					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
9.5	Hoang Thi Duyen					0	0	
9.6	Hoang Thi Nhung					0	0	
10	Tran Quoc Pham		Deputy General Director			243,654	0.04%	
10.1	Tran Van Sanh					0	0	
10.2	Nguyen Thi Tuyet Xuan					0	0	
10.3	Nguyen Thi Hong Ngoc					0	0	
10.4	Tran Gia Nghi					0	0	
10.5	Tran Gia Minh					0	0	Under 18
10.6	Tran Thi Hanh					0	0	
10.7	Tran Quoc Hung					0	0	
10.8	Tran Thi Quynh Dao					0	0	
10.9	Tran Quoc Viet					0	0	
10.10	Le Thi Phung					0	0	
10.11	Tran Thi Ngoc Thuy					0	0	
10.12	Nguyen Thai Hung					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
10.13	Tran Thi Ngoc Nga					0	0	
11	Tran Thanh Nam		Deputy General Director			145,947	0.02%	
11.1	Tran Thanh Dat					0	0	
11.2	Luong Thi Cam Gan					0	0	
11.3	Dang Thi Lam					0	0	
11.4	Le Thi Hong Phuong					0	0	
11.5	Tran Gia Han					0	0	Under 18
11.6	Tran Gia Nhi					0	0	Under 18
11.7	Tran Viet Thang					0	0	
11.8	Huynh Hong Niem					0	0	
11.9	Tran Thi Truc Linh					0	0	
11.10	Tran Pham Duy					0	0	
11.11	Tran Thi Truc Kha					0	0	
11.12	Le Thanh Dat					0	0	
12	Nguyen Minh Phuc		Deputy General Director			182,590	0.03%	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
12.1	Nguyen Dai					0	0	
12.2	Nguyen Thi Hao					0	0	
12.3	Nguyen Thi Doan Trang					0	0	
12.4	Nguyen Thi Doan Hanh					0	0	
12.5	Nguyen Vinh Loc					0	0	
12.6	Thai Cam Van					0	0	
12.7	Nguyen Ngoc Bao Ngan					0	0	Under 18
13	Nguyen Tan Hoa		Deputy General Director			80,000	0.01%	
13.1	Nguyen Van Sau					0	0	
13.2	Nguyen Thi Ba					0	0	
13.3	Nguyen Duy Khanh					0	0	
13.4	Nguyen Thi Hong Van					0	0	
13.5	Nguyen Dai Phuoc					0	0	
13.6	Bui Thi Dieu					0	0	
13.7	Nguyen Quang Minh					0	0	Under 18

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
14	Nguyen Le Manh Tung		Acting Deputy General Director			25,024	0.004%	
14.1	Nguyen Duc Tri					0	0	
14.2	Le Thi Hoai					0	0	
14.3	Nguyen Van Nam					0	0	
14.4	Nguyen Thi Kim Thuy					0	0	
14.5	Nguyen Ngoc Phuong Thao					0	0	
14.6	Nguyen Ngoc Thien Thanh					0	0	Under 18
14.7	Nguyen Thien Tan					0	0	Under 18
14.8	Nguyen Le Duc Xuan Thien					0	0	
15	Tran Dinh Tai		Acting Deputy General Director			25,087	0.004%	
15.1	Le Thi Thanh Van					0	0	
15.2	Pham Thi Ngoc Ha					0	0	
15.3	Tran Dinh Huy					0	0	
15.4	Tran Dinh Nam					0	0	Under 18
15.5	Tran Dinh Dung					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
15.6	Pham Thi Thu Nguyen					0	0	
15.7	Tran Thi Hong Hoa					0	0	
15.8	Le Van Phuc					0	0	
15.9	Tran Dinh Sy					0	0	
15.10	Vo Thi Thanh Huyen					0	0	
16	Pham Dinh		Acting Deputy General Director			30,054	0.005%	
16.1	Nguyen Thi Vui					0	0	
16.2	Nguyen Huu Phuoc					0	0	
16.3	Ton Nu Tuyet Mai					0	0	
16.4	Nguyen Thi Anh Thu					0	0	
16.5	Pham Huy					0	0	Under 18
16.6	Pham Kien					0	0	Under 18
16.7	Pham Anh Thy					0	0	Under 18
16.8	Pham Thi Thu Phuong					0	0	
16.9	Dao Thai San					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
17	Nguyen Tran Dai		Acting Deputy General Director			25,000	0.004%	
17.1	Nguyen Van Than					0	0	
17.2	Nguyen Tran Huan					0	0	
17.3	Nguyen Thi Kim Loan					0	0	
18	Nguyen Thi Ngoc Lan		Chief Accountant			521,500	0.08%	
18.1	Nguyen Chan					0	0	
18.2	Nguyen Van Tuan					0	0	
18.3	Nguyen Thi Kim Loan					0	0	
18.4	Nguyen Thi Ngoc Chau					60,964	0.01%	
18.5	Nguyen Thi Ngoc Nga					0	0	
19	Bui Thanh Tam		Person in charge of Corporate Governance; Standing & Executive Deputy Head of Restructuring Board			65,000	0.01%	
19.1	Cao Thi Hoang Uyen					0	0	
19.2	Bui Chau Luong					0	0	
19.3	Bui Thi Diem Phuong					0	0	

No.	Full name	Securities trading account (if any)	Position in the Company (if any)	ID Card/Passport No., date of issuance, issuing authority	Contact address	Number of shares held at the end of the period	Ownership percentage at the end of period	Notes
20	Le Vu Nam		Head of Internal Audit Deployment Board			587,669	0.09%	
20.1	Le Ha Diem Chau					0	0	
20.2	Le Nam Diem Ngoc					0	0	
20.3	Le Nam Duy Ngoc					0	0	Under 18
21	Le Dinh Hanh		Deputy Head of Internal Audit Deployment Board			767,530	0.12%	
21.1	Nguyen Thi Dinh					0	0	
21.2	Le Thi Hoa					0	0	
21.3	Le Dinh Ly					0	0	
21.4	Le Dinh Luan					0	0	
21.5	Nguyen Ngoc Bao Chau					0	0	
21.6	Le Ngoc Tuyet Minh					0	0	Under 18

2. Transactions of insiders and related persons with shares of the Company

No.	Transaction executors	Relationship with the Company or insiders of the Company	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing
			No. of share	Percentage	No. of share	Percentage	
1	Le Phuoc Vu	Insider	104,845,945	17.02%	105,345,945	16.96%	Buy ESOP
2	Tran Ngoc Chu	Insider	1,781,147	0.29%	585,147	0.09%	Sell and Buy ESOP
3	Tran Quoc Tri	Insider	1,045,289	0.21%	1,275,689	0.21%	Buy ESOP
4	Ly Van Xuan	Insider	819,903	0.14%	894,500	0.14%	Buy ESOP
5	Nguyen Văn Luan	Insider	123,600	0.02%	173,600	0.03%	Buy ESOP
6	Dinh Viet Duy	Insider	12,712	0.002%	50,012	0.01%	Buy ESOP
7	Vu Van Thanh	Insider	806,202	0.13%	156,202	0.03%	Sell and Buy ESOP
8	Nguyen Ngoc Huy	Insider	309,311	0.05%	380,111	0.06%	Buy ESOP
9	Hoang Duc Huy	Insider	444,428	0.07%	474,028	0.08%	Buy ESOP
10	Tran Quoc Pham	Insider	151,454	0.02%	243,654	0.04%	Buy ESOP

No.	Transaction executors	Relationship with the Company or insiders of the Company	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing
			<i>No. of share</i>	<i>Percentage</i>	<i>No. of share</i>	<i>Percentage</i>	
11	Tran Thanh Nam	Insider	113,347	0.02%	145,947	0.02%	Buy ESOP
12	Nguyen Minh Phuc	Insider	102,590	0.02%	182,590	0.03%	Buy ESOP
13	Nguyen Tan Hoa	Insider	0	0%	80,000	0.01%	Buy ESOP
14	Nguyen Le Manh Tung	Insider	24	0%	25,024	0.00%	Buy ESOP
15	Tran Dinh Tai	Insider	87	0%	25,087	0.00%	Buy ESOP
16	Pham Dinh	Insider	54	0%	30,054	0.00%	Buy ESOP
17	Nguyen Tran Dai	Insider	0	0%	25,000	0.00%	Buy ESOP
18	Nguyen Thi Ngoc Lan	Insider	481,731	0.08%	521,500	0.08%	Buy ESOP
19	Bui Thanh Tam	Insider	390,964	0.06%	65,000	0.01%	Sell and Buy ESOP
20	Le Vu Nam	Insider	547,669	0.09%	587,669	0.09%	Buy ESOP
21	Le Dinh Hanh	Insider	722,430	0.12%	767,530	0.12%	Buy ESOP

No.	Transaction executors	Relationship with the Company or insiders of the Company	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing
			No. of share	Percentage	No. of share	Percentage	
22	Le Hoang Dieu Tam	Related persons of the insider	0	0%	50,000	0.01%	Buy ESOP
23	Nguyen Thi Ngoc Chau	Related persons of the insider	52,964	0.01%	60,964	0.01%	Buy ESOP
24	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	66,753,091	10.8369%	69,753,091	11.3239%	Buy
25	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	72,753,091	11.8109%	75,553,091	12.2655%	Buy
26	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	75,668,500	12.2842%	73,668,500	11.9595%	Sell
27	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	68,479,500	11.1171%	65,979,500	10.7113%	Sell
28	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	61,658,300	10.0098%	61,158,300	9.9286%	Sell

No.	Transaction executors	Relationship with the Company or insiders of the Company	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing
			<i>No. of share</i>	<i>Percentage</i>	<i>No. of share</i>	<i>Percentage</i>	
29	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	61,158,300	9.9286%	62,158,300	10.0909%	Buy
30	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	62,158,300	10.0909%	61,158,300	9.9286%	Sell
31	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	61,448,300	9.9757%	61,848,300	10.0406%	Buy
32	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	62,474,100	10.1422%	61,224,100	9.9393%	Sell
33	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	55,595,600	9.0255%	55,245,600	8.9687%	Sell
34	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	49,455,600	8.0287%	48,855,600	7.9313%	Sell
35	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	48,855,600	7.9313%	49,429,700	8.0245%	Buy

No.	Transaction executors	Relationship with the Company or insiders of the Company	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing
			<i>No. of share</i>	<i>Percentage</i>	<i>No. of share</i>	<i>Percentage</i>	
36	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	50,678,400	8.2272%	47,507,700	7.7125%	Sell
37	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	44,257,700	7.1849%	41,257,700	6.6979%	Sell
38	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	38,432,600	6.2392%	36,932,600	5.9957%	Sell
39	Foreign Investor Group represented by Ms. Truong Ngoc Phuong	Group of affiliated foreign investors being major shareholders	33,452,600	5.4308%	29,952,600	4.8626%	Sell

IX. OTHER SIGNIFICANT ISSUES: None. *th*

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SIGNED ON BEHALF OF CHAIRMAN OF THE BOD
STANDING - EXECUTIVE VICE CHAIRMAN

Recipients:

- State Securities Commission;
- HCM Stock Exchange;
- Archives: Assistants Board of the BOD.



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