

Code	TVB
Company name	Tri Viet Securities Joint Stock Company
Date	10/21/2024
Subject	Report on overcoming the status of warned and supervised securities

Content:

On October 20, 2024, Tri Viet Securities Joint Stock Company reports the measures and schedule to overcome the status of securities being put under warning and supervision as follows:

1. Business performance:

- The Company disclosed the 2023 audited financial statements and 2024 reviewed semi-annual financial statements. Accordingly, the auditor has given an unqualified opinion for 2023 audited financial statements and 2024 reviewed semi-annual financial statements.
- The Company recorded VND 63.2 billion in profit after tax of 2023. In 2024, the profit after tax in Quarter 1/2024 was VND 41.5 billion; VND 32.3 billion in Quarter 2/2024 and VND 38.6 billion in Quarter 3/2024; the undistributed profit after tax as of September 30, 2024 was VND -6.8 billion. With these results, the Company has significantly overcome the accumulated losses in the financial statements.

2. Overcoming schedule:

- Continue to focus resources and maximize its main business activities to reach positive results.
- Ensure the explanation and provision of complete information as required by the Hochiminh Stock Exchange (HOSE).
- Continue to follow and comply with information disclosure regulations on the securities market.