

CÔNG TY CỔ PHẦN SÔNG BA

SONG BA  
JOINT STOCK COMPANY

Số/No: 62 /23/S3-TCKT

CỘNG HOÀ XÃ HỘI CHỦ NGHĨA VIỆT NAM

Độc lập - Tự do - Hạnh phúc

THE SOCIALIST REPUBLIC OF VIETNAM  
Independence - Freedom - Happiness

Đà Nẵng, ngày 20 tháng 02 năm 2023.  
Da Nang, 20 February 2023.

## CÔNG BỐ THÔNG TIN ĐỊNH KỲ INFORMATION DISCLOSURE PERIODIC

Kính gửi/ To:

- Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission;*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
- Sở Giao dịch chứng khoán TP.HCM/ *HoChiMinh Stock Exchange.*

1. Tên tổ chức/ *Organization name:* Công ty Cổ phần Sông Ba/ *Song Ba Joint Stock Company.*

- Mã chứng khoán/ *Securities Symbol:* **SBA**
- Địa chỉ trụ sở chính/ *Address:* 573 Núi Thành – Q. Hải Châu –TP. Đà Nẵng/ *573 Nui Thanh, Hai Chau District, Da Nang City.*
- Điện thoại/ *Telephone* : 0236.3653592 – 0236.2215592;
- Fax : 0236.3653593
- Email : [sba2007@songba.vn](mailto:sba2007@songba.vn)

2. Nội dung thông tin công bố/ *Contents of disclosure:*

Báo cáo tình hình quản trị công ty năm 2022/ *Report on corporate Governance of company of the year 2022.*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 20./02/2023 tại đường dẫn/ *This information was published on the company's website on .20./02/2023, as in the link: <https://songba.vn/quan-he-co-dong>*

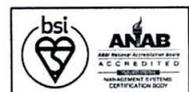
Trang 1



CÔNG TY CỔ PHẦN SÔNG BA

Đ/c: 573 Núi Thành - TP Đà Nẵng \* Tel: 0236.3653592-2215592 \* Fax: 0236.3653593

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Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Trân trọng/ *Yours truly.*

**Nơi nhận/Recipient**

- Như trên/As above
- TCHC/Administrative Department;
- Lưu: TC-KT, VTh/Save: Financial Accounting department; Documentary department.

**Tài liệu đính kèm/ Attachment:**

- Báo cáo tình hình quản trị công ty năm 2022 (bản tiếng Anh)/ *Report on corporate Governance of company of the year 2022 (English version).*

**Đại diện tổ chức**

**Organization representative**

Người đại diện theo pháp luật/ *Legal representative*

**TỔNG GIÁM ĐỐC**

**General Director**



**ĐINH CHÂU HIỆU THIỆN**

**REPORT ON CORPORATE GOVERNANCE  
of the year 2022**

To: - *The State Securities Commission;*  
- *Viet Nam Exchange;*  
- *Ho Chi Minh Stock Exchange.*

- *Organization name* : Song Ba Joint Stock Company;
- *Head office address* : 573 Nui Thanh, Hai Chau District, Da Nang City;
- *Telephone* : 0236.365 3592 – 0236.221 5592;
- *Fax* : 0236.365 3593;
- *Email* : sba2007@songba.vn;
- *Charter capital* : VND 604.882.610.000;
- *Securities Symbol* : **SBA**;
- *Governance model*: : General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director;
- *The implementation of internal audit*: Implemented.

**I. Activities of the General Meeting of Shareholders.**

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/2022/NQ-DHDCD	29 <sup>th</sup> April 2022	Resolution of the Annual General Meeting of Shareholders of 2022.

**II. Board of Management's report of the year 2022.**

**1. Information about the members of the Board of Management (BOM).**

No.	BOM's member	Position	The date becoming/ceasing to be the member of the Board of Directors		Note
			Date of appointment	Date of dismissal	
1	Mr. Thai Hong Quan	- Member - Chairman	30/06/2020 25/06/2021		Elected to hold the position of Chairman of the BOM from 25 June 2021 according to Resolution No. 03/21/NQ-HĐQT dated 25 May 2021 of the BOM's SBA

2	Mr. Dinh Chau Hieu Thien	- Member	30/06/2020	
3	Mr. Pham Phong	Member	30/06/2020	
4	Mr. Nguyen Quang Hung	Member	30/06/2020	
5	Mr. Hoang Nam Son	Independent member	30/06/2020	

## 2. Meetings of the Board of Management (BOM)

No.	BOM's member	Number of meetings attended by Management	Attendance rate	Reasons for absence
1	Mr. Thai Hong Quan	5/5	100%	
2	Mr. Dinh Chau Hieu Thien	5/5	100%	
3	Mr. Pham Phong	5/5	100%	
4	Mr. Nguyen Quang Hung	4/5	80%	(Sent documents to vote unanimously on the contents of the meeting)
5	Mr. Hoang Nam Son	5/5	100%	

**3. Supervision by the BOM over the Board of Directors:** In accordance with the Operating Regulations of the Board of Management of SBA.

**4. Activities of the Board of Directors' subcommittees (If any):** The internal audit team under the Board of Management of Song Ba Joint Stock Company operates under the Internal Audit Regulations of Song Ba Joint Stock Company. On 15 August 2022, the Company's the Board of Management issued Decision No. 13/22/QD-S3-HDQT on dissolution of the SBA Internal Audit Team and internal audit implementation in the form of hiring an independent audit unit to perform.

## 5. Resolutions/Decisions of the Board of Management of the year 2022

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/22/NQ-HDQT	25/01/2022	Approval of business results of Quarter 4/2021 and the whole year 2021, business plan in 2022, Quarter 1/2022 and other relevant Important points.
2	Resolution No. 02/22/NQ-HDQT	01/04/2022	Agree on expected program contents to be submitted to the General Meeting of Shareholders at the annual meeting in 2022.
3	Resolution No. 03/22/NQ-HDQT	08/04/2022	Agree on the content and reports to be submitted to the General Meeting of Shareholders at the annual meeting in 2022.
4	Resolution No.	20/06/2022	Approval of business results of Quarter 1/2022,

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**SONG BA JOINT STOCK COMPANY**

Address: 573 Nui Thanh - Da Nang City \* Tel: 0236.3653592-2215592 \* Fax: 0236.3653593  
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	04/22/NQ-HDQT		business plan for Quarter II/2022 and other relevant points.
5	Resolution No. 05/22/NQ-HDQT	04/08/2022	Approve the Company's treasury shares selling plan.
6	Resolution No. 06/22/NQ-HDQT	29/08/2022	Approval of business results of Quarter II/2022, business plan of Quarter III/2022.
7	Resolution No. 07/22/NQ-HDQT	14/10/2022	Approve electricity sale transactions with related parties in 2022.
8	Resolution No. 08/22/NQ-HDQT	24/11/2022	Approval of business results of Quarter III/2022, business plan of Quarter IV/2022 and other relevant points.
9	Resolution No. 09/22/NQ-HDQT	28/12/2022	Approve the policy of stopping the implementation of Song Tranh 1 hydropower project.
10	Decision No. 01/22/QD-S3-HDQT	11/01/2022	Removal the Secretary of Song Ba Joint Stock Company.
11	Decision No. 02/22/QD-HDQT	11/01/2022	Appointment of secretary of Song Ba Joint Stock Company.
12	Decision No. 03/22/QD-S3-HDQT	20/01/2022	Commendation Award of "Successful completion of tasks in 2021" for General Director of Song Ba Joint Stock Company.
13	Decision No. 04/22/QD-S3-HDQT	08/03/2022	Organizing the Annual General Meeting of Shareholders 2022.
14	Decision No. 05/22/QD-S3-HDQT	08/03/2022	Approval of the major repair cost plan in 2022 at Khe Dien and Krong H'ngang hydropower plants - Song Ba Joint Stock Company.
15	Decision No. 06/22/QD- HDQT	29/03/2022	Temporary settlement of responsible salary, remuneration of the Company's Management Board, Supervisory Board, Secretary – Song Ba Joint Stock Company in 2021.
16	Decision No. 07/22/QD-S3-HDQT	01/06/2022	Select the entity to audit the financial statements of Song Ba Joint Stock Company in 2022.
17	Decision No. 08/22/QD-S3- HDQT	09/06/2022	Company executive bonus in 2021.
18	Decision No. 09/22/QD-S3-HDQT	04/07/2022	Established Risk Management Team under the Board of Management - Song Ba Joint Stock Company.
19	Decision No. 10/22/QD-S3-HDQT	11/07/2022	Approve the procurement of 01 used excavator to serve the repair of roads in the rainy and stormy season at Khe Dien Hydropower Plant.
20	Decision No. 11/22/QD-S3-HDQT	20/07/2022	Pay dividends in 2021 in cash for shares of Song Ba Joint Stock Company



21	Decision No. 12/22/QD-S3-HDQT	15/08/2022	Approve the internal audit implementation plan of Song Ba Joint Stock Company.
22	Decision No. 13/22/QD-S3-HDQT	15/08/2022	Dissolve the Internal Audit Team of Song Ba Joint Stock Company.
23	Decision No. 14/22/QD-S3-HDQT	24/08/2022	Approve the internal audit plan at Song Ba Joint Stock Company according to the plan to hire an independent audit unit to perform.
24	Decision No. 15/22/QD-S3-HDQT	29/09/2022	Approve the plan to hire an independent audit unit to audit the cost of invested capital for the Song Tranh 1 investment project.
25	Decision No. 16/22/QD-S3-HDQT	14/10/2022	Re-appoint Branch Director of Song Ba Joint Stock Company – Krong H'Nang Hydropower Plant
26	Decision No. 17/22/QD-S3-HDQT	14/10/2022	Re-appoint Technical Deputy General Director - Song Ba Joint Stock Company.
27	Decision No. 18/22/QD-S3-HDQT	07/12/2022	Establish the verification team for final settlement of investment capital of Song Tranh 1 hydropower project.
28	Decision No. 19/22/QD-S3-HDQT	28/12/2022	Temporarily approve the final settlement of investment capital for Song Tranh 1 hydropower project.

### III. Supervisory Board of the year 2022.

#### 1. Information about members of Supervisory Board.

No.	Supervisory Board's member	Position	Day becoming/no longer member of the Supervisory Board		Note
			Date of appointment	Date of dismissal	
1	Ms. Phan Thi Anh Dao	Head of Supervisory Board	30/06/2020		Master of Finance, Bachelor of Economics - in Accounting
2	Ms. Huynh Thi Long	Member of Supervisory Board	30/06/2020		Bachelor of Economics - in Accounting
3	Mr. Do Dat Quang	Member of Supervisory Board		29/04/2022	Master of Finance
4	Mr. Hoang Xuan Qui	Member of Supervisory Board	29/04/2022		Master of Business Administratio, Bachelor of Economics - in Accounting

## 2. Meetings of Board of Supervisors

No.	Supervisory Board's member	Number of meetings attended	Attendance rate	Reasons for absence
1	Ms. Phan Thi Anh Dao	3/3	100%	
2	Ms. Huynh Thi Long	3/3	100%	
3	Mr. Do Dat Quang	1/1	100%	Dismissed on April 29, 2022
4	Mr. Hoang Xuan Qui	2/2	100%	Appointed on April 29, 2022

3. Surveillance activities of the Supervisory Board toward the Board of Management, Board of Directors and shareholders: In accordance with the Organization and Operation Regulations of SBA.

4. The coordination among the Supervisory Board with the Board of Management, Board of Directors and different managers: In accordance with the Organization and Operation Regulations of SBA.

5. Other activities of the Supervisory Board (if any): No.

## IV. Directors Board

No.	Member of Directors Board	Position	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Directors
1	Mr. Dinh Chau Hieu Thien	General Director	30/03/1966	Master of Business Administration (MBA) - Electrical Engineer	Appointed on 01/07/2021
2	Mr. Nguyen The Duy	Vice General Director	14/04/1980	Electrical Engineer	Appointed on 01/06/2019
3	Mr. Phan Dinh Thanh	Vice General Director	20/12/1982	Mechanical Engineer	Appointed on 01/10/2022

## V. Chief accountant

Name	Date of birth	Qualification	Appointment
Mr. Pham Thai Hung	25/09/1979	Master of Finance – Banking; Bachelor of Economics, major in accounting	01/07/2019

## VI. Training on corporate governance:

Training courses on corporate governance which members of Board of Management, members of the Supervisory Board, Director (CEO), other managers and company secretary was involved in accordance with regulations on corporate governance in detail: No.

## VII. List of affiliated persons of the public company (the year 2022 report) and transactions of affiliated person of the Company with the Company:

1. List of affiliated persons of the Company: List of affiliated persons of the Company, List of internal person and their affiliated person until 31/12/2022 attached.
2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal person and related person of internal person:

No.	Name of organization/ individual	Relationship with the Company	NSH No.* date of issue, place of issue	Address	Time of transactions with the Company	Resolution No. or Decision No. approved by General Meeting of Shareholders/ Board of Directors (if any, specifying date of issue)	Content, quantity, total value of transaction	Note
1	Central Power Corporation	Major shareholder, State shareholder under the Vietnam Electricity Group (EVN)	0400101394, date of issue 24/03/2010	No. 78A - Duy Tan - Hoa Thuan Dong Ward - Hai Chau District - Da Nang City	Generated according to the daily electricity generation of 2022	Resolution No. 07/22/NQ-HDQT 14/10/2022 of the Board of Management's Song Ba JSC	Revenue (sales of electricity): 87,383,384,982 VND	
						Resolution No. 01/2021/NQ-DHDCD and No 01/2022/NQ-DHDCD of the Annual General Meeting of Shareholders of 2021 and 2022	Dividends paid: 23,645,000,000 ; 35,467,500,000 VND	
							Dividend late payment interest: 41,459,726 VND	
2	Electric Power Trading Company	Subsidiary of Vietnam Electricity Corporation	0100100079 -073	No. 11, Cua Bac Street, Truc Bach Ward, Ba Dinh District, City, Hanoi	Generated according to the daily electricity generation of 2022	Resolution No. 07/22/NQ-HDQT 14/10/2022 of the Board of Management's Song Ba JSC	Revenue (sales of electricity): 404,296,942,564 VND	

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: No.

4. Transactions between the company and other objects:

4.1. Transactions between the company and the company that members of Board of Management, members of the Supervisory Board, Director (CEO) has been a founding member or a members of Board of Management, Director (CEO) in three (03) years (calculated at the time of reporting): No

4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO): No.

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO): No.

VIII. Transactions of internal person and related person of internal person (of the year 2022 report) :

1. List of internal person and their affiliated person: List of affiliated persons of the Company, List of internal person and their affiliated person until 31/12/2022 attached.

2. Transactions of internal person and affiliated person on shares of the listed company:

No.	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage	Number of shares	Percentage	
1	Hoang Xuan Qui - Member of Supervisory Board	Internal persons	0	0%	10,000	0.0165 %	Buy shares of SBA

IV. Other significant issues: No.

*Recipients:*

- As above;
- BOM member;
- Website SBA;
- Saved at: Documentary department.

FOR AND ON BEHALF OF  
THE BOARD OF MANAGEMENT



CHAIRMAN

THAI HONG QUAN

T.C.P \* 9M



SONG BA JOINT STOCK COMPANY

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SONG BA  
JOINT STOCK COMPANY

LIST OF AFFILIATED PERSONS OF THE COMPANY, LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSON UNTIL 31/12/2022

(Attached to RREPORT ON CORPORATE GOVERNANCE OF THE YEAR 2022  
No. 04 /23/S3-HDQT dated 20 February 2023)

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>A. THE LIST OF AFFILIATED PERSONS</b>						
	Central Power Corporation - Founding shareholder			23.645.000	39,0902	
1	Thai Hong Quan	Chairman of the BOM	Representative founding shares holder	11.822.500	19,5451	
2	Dinh Chau Hieu Thien	Member	Representative founding shares holder	7.093.500	11,7271	
3	Nguyen Quang Hung	Member	Representative founding shares holder	4.729.000	7,8180	
<b>B. INTERNAL PERSONS LIST</b>						
<b>I. BOARD OF MANAGEMENT</b>						
1	Thai Hong Quan	Chairman		-	-	
2	Dinh Chau Hieu Thien	Member		21.600	0,0357	
3	Pham Phong	Member		325.175	0,5376	
4	Nguyen Quang Hung	Member		4.620	0,0076	
5	Hoang Nam Son	Member		44.909	0,0742	



No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>II. SUPERVISORY BOARD</b>						
1	Phan Thi Anh Dao	Head of Supervisory Board		2.550	0,0042	
2	Huynh Thi Long	Member				
3	Hoang Xuan Qui	Member		10.000	0,0165	<i>Appointed on 29<sup>th</sup> April 2022</i>
<b>III. BOARD OF DIRECTORS</b>						
1	Dinh Chau Hieu Thien	General Director		-	-	
2	Nguyen The Duy	Vice General Director of Business		4.596	0,0076	
3	Phan Dinh Thanh	Vice General Director of Technology		8.350	0,0138	
<b>IV. CHIEF ACCOUNTANT</b>						
1	Pham Thai Hung	Chief Accountant - The disclosure of SBA		3.600	0,0060	
<b>V. OTHER INTERNAL PERSONS LIST</b>						
1	Tran Ngoc Hoanh	Branch Manager of Song Ba Joint Stock Company – Dam Safety Inspection and Consulting Center		1.900	0,0031	
2	Phan Dinh Long	Secretary of Song Ba Joint Stock Company		2.703	0,0045	
3	Nguyen Tuan Dat	Branch Manager of Song Ba Joint Stock Company – Krong H'Nang Hydropower Plant		1.750	0,0029	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>C THE LIST OF INTERNAL PERSON AND THEIR AFFILIATED PERSONS</b>						
<b>I. List of affiliated persons of Mr. Thai Hong Quan - Chairman of the BOM</b>						
1	Thai Hoang		Father			<i>Dead</i>
2	Tong Thi Song Huong		Mother			<i>Dead</i>
3	Doan Thi Binh Quyen		Wife			
4	Thai Hong Diem Hanh		Daughter			
5	Thai Hong Quy		Son			
6	Thai Hong Ky		Brother			
7	Thai Hong Linh		Young brother	15.891	0,0263	
8	Thai Hong Ngoc		Young brother			<i>Dead</i>
9	Doan Thai		Father-in-law			<i>Dead</i>
10	Tran Thi Yem		Mother-in-law			<i>Dead</i>
11	Dinh Gia Thanh		Son-in-law:			
12	Chau Thi Hong Tuyen		Sister-in-law			
13	Nguyen Thi Thuy		Sister-in-law			
<b>II. The list of affiliated persons of Mr. Dinh Chau Hieu Thien - Member of the BOM cum General Director</b>						
1	Dinh Tho		Father	-	-	
2	Chau Thi Hoa		Mother	-	-	<i>Dead</i>
3	Phan Van Khiem		Father-in-law			
4	Nguyen Thi Thi		Mother-in-law			
5	Nguyen Thi Hong		Stepmother	-	-	
6	Phan Thi Dieu Anh		Wife	-	-	
7	Dinh Chau Minh Phuc		Daughter	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8	Dinh Chau Minh Tri		Son	-	-	
9	Dinh Chau Hieu Tam		Brother	-	-	
10	Nguyen Hai Quynh		Sister-in-law			
11	Dinh Chau Tam Hao		Sister	-	-	
12	Le Nguyen Huan		Brother-in-law			
13	Dinh Chau Tam Huong		Young sister	-	-	
14	Lê Van Quang		Brother-in-law			
15	Dinh Chau Hieu Thuan		Young brother	-	-	
16	Nguyen Thi Be		Sister-in-law			
17	Dinh Chau Tam Hanh		Young sister	-	-	
18	Dang Ba Lam		Brother-in-law			
19	Dinh Chau Hieu Toan		Young brother	-	-	
20	Dinh Thi Lieu		Sister-in-law			
21	Dinh Chau Tam Huong		Young sister	-	-	
22	Vu Hong Ha		Brother-in-law			
23	Dinh Chau Hieu Nguyen		Young brother	-	-	
24	Nguyen Tran Uyen Thao		Sister-in-law			
<b>III.</b>	<b>List of affiliated persons of Mr. Pham Phong - Member of the BOM</b>					
1	Pham Luong	-	Father	-	-	
2	Dinh Thi Lot	-	Mother	-	-	<i>Dead</i>
3	Ho Quang Phuc	-	Father-in-law			<i>Dead</i>
4	Vo Thi Thu Ha		Mother-in-law			
5	Ho Thi Thu Huong	-	Wife	-	-	

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No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Pham Quang Phuc Bao	-	Son	-	-	
7	Pham Ho Khanh Nhu	-	Daughter	-	-	
8	Pham Thi Ngoc	-	Young sister	-	-	
9	Pham Thi Phe	-	Young sister	-	-	
10	Pham Van Manh	-	Young brother	-	-	
11	Pham Minh Chau	-	Young sister	-	-	
12	Pham Dinh Khanh	-	Young brother	-	-	
13	Pham Thi Anh Nguyet	-	Young sister	-	-	
14	Pham Quoc Hung	-	Young brother	-	-	
IV.	List of affiliated persons of Mr. Nguyen Quang Hung - Member of the BOM					
1	Nguyen Quang Cuong		Father			
2	Nguyen Thi Lien		Mother			
3	Nguyen Thanh Huong		Father-in-law			<i>Dead</i>
4	Nguyen Thi Siem		Mother-in-law			<i>Dead</i>
5	Nguyen Thi Thanh Hang		Wife	3.150	0,0052	
6	Nguyen Quang Huy		Son			
7	Nguyen Chau Giang		Daughter			
8	Nguyen Ai Nhi		Young sister			
9	Le Trung Tung		Brother-in-law			
V.	List of affiliated persons of Mr. Hoang Nam Son - Member of the BOM					
1	Hoang Quang Minh		Father			
2	Le Thi Tam		Mother			
3	Hoang Le Nam Hai		Son			
4	Hoang Le Nam Vu		Son			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
5	Hoang Bach Thao		Brother			
6	Hoang Thi Thanh Thuy		Young sister			
7	Hoang Thi Ngoc Yen		Young sister			
8	Hoang Thi Hong Nhan		Young sister			
9	Pham Thi Kim Son		Sister-in-law			
10	Nguyen Khanh Quoc		Brother-in-law			
11	Nguyen Phuroc Hung		Brother-in-law			
<b>VI.</b>	<b>List of affiliated persons of Ms. Phan Thi Anh Dao - Head of Supervisory Board</b>					
1	Do Minh Thong	-	Husband	8	0,0000	
2	Do Bach Duong	-	Daughter	-	-	
3	Do Minh Hoang	-	Son	-	-	
4	Phan Thanh Cham	-	Father	-	-	
5	Nguyen Thi Lanh	-	Mother	-	-	
6	Hoang Minh Thai		Father-in-law			<i>Dead</i>
7	Nguyen Thi Kinh		Mother-in-law			
8	Phan Anh Tuan	-	Young brother	-	-	
9	Phan Tuan Anh	-	Young brother	-	-	
<b>VII.</b>	<b>List of affiliated persons of Ms. Huynh Thi Long - Member of the Supervisory Board</b>					
1	Huynh Van Van		Father			
2	Nguyen Thi Lien		Mother			
3	Huynh Văn Lai		Brother			
4	Huynh Thi Ly		Sister			
5	Huynh Thi Be		Sister			
6	Huynh Van Len		Young brother			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Le Huy Tu		Husband			
8	Le Phuong Uyen		Daughter			
9	Le Huy Khai		Son			
10	Le Van Mua		Father-in-law			
11	Ngo Thi Minh Ngoc		Mother-in-law			
12	Phan Minh Duc		Brother-in-law			
13	Nguyen Thi Le Mai		Sister-in-law			
<b>VII.</b>	<b>List of affiliated persons of Mr. Hoang Xuan Qui - Member of the Supervisory Board</b>					
1	Hoang An		Father			
2	Ho Thi Duong		Mother			
3	Hoang Bien Thuy		Young brother			
4	Hoang Bien Cuong		Young brother			
5	Tran Thi Thu Nguyet		Wife			
6	Hoang Duc Dung		Son			
7	Hoang Duc Duy		Son			
8	Tran Hai Hang		Father-in-law			<i>Dead</i>
9	Nguyen Thi Dang		Mother-in-law			
<b>IX.</b>	<b>List of affiliated persons of Mr. Nguyen The Duy - Vice General Director of Business</b>					
1	Nguyen Sau		Father	-	-	<i>Dead</i>
2	Duong Thi Cuc		Mother	-	-	
3	Nguyen The Phuong		Brother	-	-	
4	Nguyen The Phuoc		Young brother	-	-	
5	Truong Thi Thanh Tam		Wife	-	-	
6	Nguyen Thanh Ly Chau		Daughter	-	-	

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No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Nguyen Thanh Khanh Chau		Daughter	-	-	
8	Truong Cuong		Father-in-law			
9	Le Thi Ca		Mother-in-law			
10	Le Thi Hong Ngoan		Sister-in-law			
11	Tran Thi Nguyen		Sister-in-law			
X.	List of affiliated persons of Mr. Phan Dinh Thanh - Vice General Director of Technology					
1	Phan Dinh Quy		Father			
2	Dang Thi Loc		Mother			
3	Phan Thi Huyen		Sister			
4	Phan Thi Tham		Sister			
5	Phan Dinh Ba		Brother			
6	Phan Dinh Tai		Young brother			
7	Phan Dinh Thinh		Young brother			
8	Nguyen Thi Xuan Khanh		Wife			
9	Phan Dinh Nhan		Son			
10	Phan Dinh Nghia		Son			
11	Nguyen Viet Cong		Father-in-law			
12	Tran Thi Linh		Mother-in-law			
13	Huynh Nam Vinh		Brother-in-law			
14	Mai Xuan Cong		Brother-in-law			
15	Dang Thi Duyen		Sister-in-law			
16	Pham Mai Hoa		Sister-in-law			
17	Than Thi Tuyet		Sister-in-law			


No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
<b>XI. List of affiliated persons of Mr. Pham Thai Hung - Chief Accountant</b>						
1	Pham Xuan Gap	-	Father	-	-	<i>Dead</i>
2	Le Thi Lan	-	Mother	-	-	<i>Dead</i>
3	Nguyen Tien Thinh	-	Father-in-law	-	-	<i>Dead</i>
4	Doan Thi Minh	-	Mother-in-law	-	-	
5	Nguyen Thi Ngoc Thuy	-	Wife	-	-	
6	Pham Nguyen Vinh Khang	-	Son	-	-	
7	Pham Nguyen Vinh Trong	-	Son	-	-	
8	Pham Thai Hoang	-	Brother	-	-	
9	Pham Thai Ha	-	Brother	-	-	
10	Pham Thanh Kim Hue	-	Young sister	-	-	
11	Pham Thi Lan Huong	-	Young sister	-	-	
12	Vo Thi Dung	-	Sister-in-law	-	-	
13	Le Thi Thu	-	Sister-in-law	-	-	
14	Dang Nam An	-	Brother-in-law	-	-	
15	Bui Viet Thang	-	Brother-in-law	-	-	
<b>XII. List of affiliated persons of Mr. Phan Dinh Long - Secretary of Song Ba Joint Stock Company</b>						
1	Phan Dinh Luong	-	Father	-	-	
2	Doan Thi Kim Lan	-	Mother	-	-	
3	Phan The Toan	-	Father-in-law	-	-	
4	Tran Thi Uyen	-	Mother-in-law	-	-	<i>Dead</i>
5	Phan Thi Uyen Phuong	-	Wife	-	-	
6	Phan Phuong Thuy	-	Daughter	-	-	
7	Phan Thanh Nam	-	Son	-	-	

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No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8	Phan Dinh Loc		Brother			
9	Truong Thuy Anh		Sister-in-law			
XIII.	List of affiliated persons of Mr. Tran Ngoc Hoanh - Branch Manager of Song Ba Joint Stock Company – Dam Safety Inspection and Consulting Center					
1	Tran Ngoc Hue		Father			
2	Nguyen Thi Cuc		Mother			
3	Vo Dinh Thanh		Father-in-law			
4	Vo Thi Vung		Mother-in-law			
5	Vo Hong Thai		Wife			
6	Tran Ngoc Phuong Huong		Daughter			
7	Tran Ngoc Minh Hoang		Son			
8	Tran Thi Ngoc Lan		Sister			
9	Tran Ngoc Hai		Brother			
10	Tran Ngoc Hong		Brother			
11	Tran Ngoc Ha		Brother			
12	Tran Thi Ngoc Hung		Young sister			
13	Nguyen Tan Hay		Brother-in-law			
14	Ho Thi Minh Tam		Sister-in-law			
15	Tran Thi Thu		Sister-in-law			

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No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
XIV	List of affiliated persons of Mr. Nguyen Tuan Dat - Branch Manager of Song Ba Joint Stock Company – Krong H'Nang Hydropower Plant					
1	Nguyen Duoc		Father			
2	Nguyen Thi Thiet		Mother			
3	Tran Dinh Sang		Father-in-law			
4	Luu Thi Can		Mother-in-law			
5	Tran Luu Phuong Thuy		Wife			
6	Nguyen Tran Minh Khue		Daughter			
7	Nguyen Tran Minh Tue		Daughter			
8	Nguyen Tran Minh Nhien		Daughter			
9	Nguyen Thi Thuy Trang		Young sister			
10	Nguyen Tuan Hoang		Young brother			
11	Nguyen Tuan Long		Young brother			
12	Nguyen Tuan Khai		Young brother			
13	Bui Phi Lai		Brother-in-law			
14	Quach Thi Ngoc Hien		Sister-in-law			
15	Dang Le Thanh Hoai		Sister-in-law			

