

Số/No: 319 /22/S3-TCKT

Đà Nẵng, ngày 10 tháng 08 năm 2022.
Da Nang, 10th August 2022.

CÔNG BỐ THÔNG TIN ĐỊNH KỲ
INFORMATION DISCLOSURE PERIODIC

Kính gửi/ To:

- Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission;*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Exchange;*
- Sở Giao dịch chứng khoán TP.HCM/ *HoChiMinh Stock Exchange.*

1. Tên tổ chức/ *Organization name:* Công ty Cổ phần Sông Ba/ *Song Ba Joint Stock Company.*

- Mã chứng khoán/ *Securities Symbol:* **SBA**
- Địa chỉ trụ sở chính/ *Address:* 573 Núi Thành – Q. Hải Châu –TP. Đà Nẵng/ *573 Nui Thanh, Hai Chau District, Da Nang City.*
- Điện thoại/ *Telephone* : 0236.3653592 – 0236.2215592;
- Fax : 0236.3653593
- Email : sba2007@songba.vn

2. Nội dung thông tin công bố/ *Contents of disclosure:*

Báo cáo tình hình quản trị công ty 6 tháng đầu năm 2022 (bản tiếng Anh)/ *Report on corporate Governance of company of the first 6 months of 2022 (English version).*

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 10/08/2022 tại đường dẫn/ *This information was published on the company's website on 10th/08/2022, as in the link:* <https://songba.vn/quan-he-co-dong>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố/ *We hereby certify that the information provided is true and correct and we bear the full responsibility to the law.*

Trân trọng/ *Yours truly.*



Nơi nhận/Recipient

- Như trên/As above
- TCHC/Administrative Department;
- Lưu: TC-KT, VTh/Save: Financial Accounting department; Documentary department.

Tài liệu đính kèm/ Attachment:

- Báo cáo tình hình quản trị công ty 6 tháng đầu năm 2022 (bản tiếng Anh).
- Report on corporate Governance of company of the first 6 months of 2022 (English version).

**Đại diện tổ chức
Organization representative**

Người đại diện theo pháp luật/ *Legal representative*

**TỔNG GIÁM ĐỐC
General Director**



ĐINH CHÂU HIỆU THIÊN

**REPORT ON CORPORATE GOVERNANCE
of the first 6 months of 2022**

To: - *The State Securities Commission;*
- *Viet Nam Exchange;*
- *Ho Chi Minh Stock Exchange.*

- *Organization name* : Song Ba Joint Stock Company;
- *Head office address* : 573 Nui Thanh, Hai Chau District, Da Nang City;
- *Telephone* : 0236.365 3592 – 0236.221 5592;
- *Fax* : 0236.365 3593;
- *Email* : sba2007@songba.vn;
- *Charter capital* : VND 604.882.610.000;
- *Securities Symbol* : **SBA**;
- *Governance model*: : General Meeting of Shareholders, Board of Directors, Board of Supervisors, General Director and Director;
- *The implementation of internal audit*: Implemented.

I. Activities of the General Meeting of Shareholders.

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/2022/NQ-DHDCD	29 th April 2022	Resolution of the Annual General Meeting of Shareholders of 2022.

II. Board of Management's report of the first 6 months of 2022.

1. Information about the members of the Board of Management (BOM).

No.	BOM's member	Position	The date becoming/ceasing to be the member of the Board of Directors		Note
			Date of appointment	Date of dismissal	
1	Mr. Thai Hong Quan	- Member - Chairman	30/06/2020 25/06/2021		Elected to hold the position of Chairman of the BOM from 25 June 2021 according to Resolution No. 03/21/NQ-HĐQT dated 25 May 2021 of the BOM's SBA

2	Mr. Dinh Chau Hieu Thien	- Member	30/06/2020		
3	Mr. Pham Phong	Member	30/06/2020		
4	Mr. Nguyen Quang Hung	Independent member	30/06/2020		
5	Mr. Hoang Nam Son	Member	30/06/2020		

2. Meetings of the Board of Management (BOM)

No.	BOM's member	Number of meetings attended by Management	Attendance rate	Reasons for absence
1	Mr. Thai Hong Quan	3/3	100%	
2	Mr. Dinh Chau Hieu Thien	3/3	100%	
3	Mr. Pham Phong	3/3	100%	
4	Mr. Nguyen Quang Hung	3/3	100%	
5	Mr. Hoang Nam Son	3/3	100%	

3. Supervision by the BOM over the Board of Directors: In accordance with the Operating Regulations of the Board of Management of SBA.

4. Activities of the Board of Directors' subcommittees (If any): The internal audit team under the Board of Management's of Song Ba Joint Stock Company operates in accordance with the Internal Audit Regulations of Song Ba Joint Stock Company

5. Resolutions/Decisions of the Board of Management of the first 6 months of 2022

No.	Resolution/Decision No.	Date	Content
1	Resolution No. 01/22/NQ-HDQT	25/01/2022	Approval of business results of Quarter 4/2021 and the whole year 2021, business plan in 2022, Quarter 1/2022 and other relevant Important points.
2	Resolution No. 02/22/NQ-HDQT	01/04/2022	Agree on expected program contents to be submitted to the General Meeting of Shareholders at the annual meeting in 2022.
3	Resolution No. 03/22/NQ-HDQT	08/04/2022	Agree on the content and reports to be submitted to the General Meeting of Shareholders at the annual meeting in 2022.
4	Resolution No. 04/22/NQ-HDQT	20/06/2022	Approval of business results of Quarter I/2022, business plan for Quarter II/2022 and other relevant points.
5	Decision No. 01/22/QD-S3-HDQT	11/01/2022	Removal the Secretary of Song Ba Joint Stock Company.

6	Decision No. 02/22/QD-HDQT	11/01/2022	Appointment of secretary of Song Ba Joint Stock Company.
7	Decision No. 03/22/QD-S3-HDQT	20/01/2022	Commendation Award of "Successful completion of tasks in 2021" for General Director of Song Ba Joint Stock Company.
8	Decision No. 04/22/QD-S3-HDQT	08/03/2022	Organizing the Annual General Meeting of Shareholders 2022.
9	Decision No. 05/22/QD-S3-HDQT	08/03/2022	Approval of the major repair cost plan in 2022 at Khe Dien and Krong H'ngang hydropower plants - Song Ba Joint Stock Company.
10	Decision No. 06/22/QD- HDQT	29/03/2022	Temporary settlement of responsible salary, remuneration of the Company's Management Board, Supervisory Board, Secretary – Song Ba Joint Stock Company in 2021.
11	Decision No. 07/22/QD-S3-HDQT	01/06/2022	Select the entity to audit the financial statements of Song Ba Joint Stock Company in 2022.
12	Decision No. 08/22/QD-S3-HDQT	09/06/2022	Company executive bonus in 2021.

III. Supervisory Board of the first 6 months of 2022.

1. Information about members of Supervisory Board.

No.	Supervisory Board's member	Position	Day becoming/no longer member of the Supervisory Board		Note
			Date of appointment	Date of dismissal	
1	Ms. Phan Thi Anh Dao	Head of Supervisory Board	30/06/2020		Master of Finance, Bachelor of Economics - in Accounting
2	Ms. Huynh Thi Long	Member of Supervisory Board	30/06/2020		Bachelor of Economics - in Accounting
3	Mr. Do Dat Quang	Member of Supervisory Board		29/04/2022	Master of Finance
4	Mr. Hoang Xuan Qui	Member of Supervisory Board	29/04/2022		Master of Business Administration, Bachelor of Economics - in Accounting

2. Meetings of Board of Supervisors

No.	Supervisory Board's member	Number of meetings attended	Attendance rate	Reasons for absence
1	Ms. Phan Thi Anh Dao	2/2	100%	
2	Ms. Huynh Thi Long	2/2	100%	
3	Mr. Do Dat Quang	1/1	100%	Dismissed on April 29, 2022
4	Mr. Hoang Xuan Qui	1/1	100%	Appointed on April 29, 2022

3. Surveillance activities of the Supervisory Board toward the Board of Management, Board of Directors and shareholders: In accordance with the Organization and Operation Regulations of SBA.

4. The coordination among the Supervisory Board with the Board of Management, Board of Directors and different managers: In accordance with the Organization and Operation Regulations of SBA.

5. Other activities of the Supervisory Board (if any): No.

IV. Directors Board

No.	Member of Directors Board	Position	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Directors
1	Mr. Dinh Chau Hieu Thien	General Director	30/03/1966	Master of Business Administration (MBA) - Electrical Engineer	Appointed on 01/07/2021
2	Mr. Nguyen The Duy	Vice General Director	14/04/1980	Electrical Engineer	Appointed on 01/06/2019
3	Mr. Phan Dinh Thanh	Vice General Director	20/12/1982	Mechanical Engineer	Appointed on 01/10/2019

V. Chief accountant

Name	Date of birth	Qualification	Appointment
Mr. Pham Thai Hung	25/09/1979	Master of Finance – Banking; Bachelor of Economics, major in accounting	01/07/2019

VI. Training on corporate governance:

Training courses on corporate governance which members of Board of Management, members of the Supervisory Board, Director (CEO), other managers and company secretary was involved in accordance with regulations on corporate governance in detail: No.

VII. List of affiliated persons of the public company (the first 6 months of 2022 report) and transactions of affiliated person of the Company with the Company:

1. **List of affiliated persons of the Company:** List of affiliated persons of the Company, List of internal person and their affiliated person until 30/06/2022 attached.
2. **Transactions between the company and the affiliated persons or between the company and major shareholders, internal person and related person of internal person:** No.
3. **Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power:** No.

4. Transactions between the company and other objects

4.1. Transactions between the company and the company that members of Board of Management, members of the Supervisory Board, Director (CEO) has been a founding member or a members of Board of Management, Director (CEO) in three (03) years (calculated at the time of reporting): No

4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO): No.

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO): No.

VIII. Transactions of internal person and related person of internal person (of the first 6 months of 2022 report): No.

1. **List of internal person and their affiliated person:** List of affiliated persons of the Company, List of internal person and their affiliated person until 30/06/2022 attached.

2. Transactions of internal person and affiliated person on shares of the listed company: No.

IV. Other significant issues: No.

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Recipients:

- As above;
- BOM member;
- Website SBA;
- Saved at: Documentary department.

FOR AND ON BEHALF OF
THE BOARD OF MANAGEMENT

CHAIRMAN *th*



THAI HONG QUAN

**SONG BA
JOINT STOCK COMPANY**

**THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

**LIST OF AFFILIATED PERSONS OF THE COMPANY, LIST OF INTERNAL PERSONS AND THEIR AFFILIATED
PERSON UNTIL 30/06/2022**

*(Attached to RREPORT ON CORPORATE GOVERNANCE OF THE FIRST 6 MONTHS OF 2022
N0. 31/22/S3-HDQT dated 25th July 2022)*

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
A.	THE LIST OF AFFILIATED PERSONS					
	Central Power Corporation - Founding shareholder			23.645.000	39,0902	
1	Thai Hong Quan	Chairman of the BOM		11.822.500	19,5451	
2	Dinh Chau Hieu Thien	Member		7.093.500	11,7271	
3	Nguyen Quang Hung	Member		4.729.000	7,8180	
B.	INTERNAL PERSONS LIST					
I.	BOARD OF MANAGEMENT					
1	Thai Hong Quan	Chairman		-	0,0000	
2	Dinh Chau Hieu Thien	Member		21.600	0,0357	
3	Pham Phong	Member		325.175	0,5376	
4	Nguyen Quang Hung	Member		4.620	0,0076	
5	Hoang Nam Son	Member		44.909	0,0742	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
II.	SUPERVISORY BOARD					
1	Phan Thi Anh Dao	Head of Supervisory Board		2.550	0,0042	
2	Huynh Thi Long	Member				
3	Do Dat Quang	Member				<i>Dismissal on 29th April 2022</i>
4	Hoàng Xuân Quý	Member		-		<i>Appointed on 29th April 2022</i>
III.	BOARD OF DIRECTORS					
1	Dinh Chau Hieu Thien	General Director		-	-	
4	Nguyen The Duy	Vice General Director of Business		4.596	0,0076	
5	Phan Dinh Thanh	Vice General Director of Technology		8.350	0,0138	
IV.	CHIEF ACCOUNTANT					
1	Pham Thai Hung	Chief Accountant - The disclosure of SBA		3.600	0,0060	
V.	THE INTERNAL AUDIT TEAM UNDER THE BOARD OF MANAGEMENT'S SONG BA JSC					
1	Nguyen Quang Hung	Member BOM'S - Head of Internal Audit Team under the Board of Management's				
2	Pham Van Luan	Member		2.450	0,0041	
3	Nguyen Ha Anh Vu	Member				
4	Nguyen Vo Hien Trang	Member				
VI	OTHER INTERNAL PERSONS LIST					
1	Tran Ngoc Hoanh	Branch Manager of Song Ba Joint Stock Company – Dam Safety Inspection and Consulting Center		1.900	0,0031	
2	Phan Dinh Long	Secretary of Song Ba Joint Stock Company		2.703	0,0045	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
C	THE LIST OF INTERNAL PERSON AND THEIR AFFILIATED PERSONS					
I.	List of affiliated persons of Mr. Thai Hong Quan - Chairman of the BOM					
1	Thai Hoang		Father			<i>Dead</i>
2	Tong Thi Song Huong		Mother			<i>Dead</i>
3	Doan Thi Binh Quyen		Wife			
4	Thai Hong Diem Hanh		Son			
5	Thai Hong Quy		Daughter			
6	Thai Hong Ky		Brother			
7	Thai Hong Linh		Young brother			
8	Thai Hong Ngoc		Young brother			<i>Dead</i>
9	Doan Thai		Father-in-law			<i>Dead</i>
10	Tran Thi Yem		Mother-in-law			<i>Dead</i>
11	Dinh Gia Thanh		Son-in-law:			
12	Chau Thi Hong Tuyen		Sister-in-law			
13	Nguyen Thi Thuy		Sister-in-law			
II.	The list of affiliated persons of Mr. Dinh Chau Hieu Thien - Member of the BOM cum General Director					
1	Dinh Tho		Father	-	-	
2	Chau Thi Hoa		Mother	-	-	<i>Dead</i>
3	Phan Van Khiem		Father-in-law			
4	Nguyen Thi Thi		Mother-in-law			
5	Nguyen Thi Hong		Stepmother	-	-	
6	Phan Thi Dieu Anh		Wife	-	-	
7	Dinh Chau Minh Phuc		Daughter	-	-	
8	Dinh Chau Minh Tri		Son	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9	Dinh Chau Hieu Tam		Brother	-	-	
10	Nguyen Hai Quynh		Sister-in-law			
11	Dinh Chau Tam Hao		Sister	-	-	
12	Le Nguyen Huan		Brother-in-law			
13	Dinh Chau Tam Huong		Young sister	-	-	
14	Lê Van Quang		Brother-in-law			
15	Dinh Chau Hieu Thuan		Young brother	-	-	
16	Nguyen Thi Be		Sister-in-law			
17	Dinh Chau Tam Hanh		Young sister	-	-	
18	Dang Ba Lam		Brother-in-law			
19	Dinh Chau Hieu Toan		Young brother	-	-	
20	Dinh Thi Lieu		Sister-in-law			
21	Dinh Chau Tam Huong		Young sister	-	-	
22	Vu Hong Ha		Brother-in-law			
23	Dinh Chau Hieu Nguyen		Young brother	-	-	
24	Nguyen Tran Uyen Thao		Sister-in-law			
III.	List of affiliated persons of Mr. Pham Phong - Member of the BOM					
1	Pham Luong	-	Father	-	-	
2	Dinh Thi Lot	-	Mother	-	-	<i>Dead</i>
3	Ho Quang Phuoc	-	Father-in-law			<i>Dead</i>
4	Vo Thi Thu Ha		Mother-in-law			
3	Ho Thi Thu Huong	-	Wife	-	-	
4	Pham Quang Phuc Bao	-	Son	-	-	
5	Pham Ho Khanh Nhu	-	Daughter	-	-	

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Pham Thi Ngoc	-	Young sister	-	-	
7	Pham Thi Phe	-	Young sister	-	-	
8	Pham Van Manh	-	Young brother	-	-	
9	Pham Minh Chau	-	Young sister	-	-	
10	Pham Dinh Khanh	-	Young brother	-	-	
11	Pham Thi Anh Nguyet	-	Young sister	-	-	
12	Pham Quoc Hung	-	Young brother	-	-	
IV.	List of affiliated persons of Mr. Nguyen Quang Hung - Member of the BOM					
1	Nguyen Quang Cuong		Father			
2	Nguyen Thi Lien		Mother			
3	Nguyen Thanh Huong		Father-in-law			<i>Dead</i>
4	Nguyen Thi Siem		Mother-in-law			<i>Dead</i>
3	Nguyen Thi Thanh Hang		Wife			
4	Nguyen Quang Huy		Son			
5	Nguyen Chau Giang		Daughter			
6	Nguyen Ai Nhi		Young sister			
9	Le Trung Tung		Brother-in-law			
V.	List of affiliated persons of Mr. Hoang Nam Son - Member of the BOM					
1	Hoang Quang Minh		Father			
2	Le Thi Tam		Mother			
3	Hoang Le Nam Hai		Son			
4	Hoang Le Nam Vu		Son			
5	Hoang Bach Thao		Brother			
6	Hoang Thi Thanh Thuy		Young sister			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Hoang Thi Ngoc Yen		Young sister			
8	Hoang Thi Hong Nhan		Young sister			
9	Pham Thi Kim Son		Sister-in-law			
10	Nguyen Khanh Quoc		Brother-in-law			
11	Nguyen Phuroc Hung		Brother-in-law			
VI.	List of affiliated persons of Ms. Phan Thi Anh Dao - Head of Supervisory Board					
1	Do Minh Thong	-	Husband	8	0,0000	
2	Do Bach Duong	-	Daughter	-	-	
3	Do Minh Hoang	-	Son	-	-	
4	Phan Thanh Cham	-	Father	-	-	
5	Nguyen Thi Lanh	-	Mother	-	-	
6	Hoang Minh Thai		Father-in-law			<i>Dead</i>
7	Nguyen Thi Kinh		Mother-in-law			
6	Phan Anh Tuan	-	Young brother	-	-	
7	Phan Tuan Anh	-	Young brother	-	-	
VII.	List of affiliated persons of Ms. Huynh Thi Long - Member of the Supervisory Board					
1	Huynh Van Van		Father			
2	Nguyen Thi Lien		Mother			
3	Huynh Van Lai		Brother			
4	Huynh Thi Ly		Sister			
5	Huynh Thi Be		Sister			
6	Huynh Van Len		Young brother			
7	Le Huy Tu		Husband			
8	Le Phuong Uyen		Daughter			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9	Le Huy Khai		Son			
10	Le Van Mua		Father-in-law			
11	Ngo Thi Minh Ngoc		Mother-in-law			
12	Phan Minh Duc		Brother-in-law			
13	Nguyen Thi Le Mai		Sister-in-law			
VIII.	List of affiliated persons of Mr. Do Dat Quang - Member of the Supervisory Board					
1	Do Van Quy	-	Father	-	-	
2	Nguyen Thi Thuy Hoa	-	Mother	-	-	
3	Đang Huu Quoc		Father-in-law			
4	Tran Thi Hoa		Mother-in-law			
5	Dang Thi Kim Ngan	-	Wife	-	-	
6	Do Thanh Vinh	-	Son	-	-	
7	Do Vinh Phu	-	Young brother	-	-	
IX.	List of affiliated persons of Mr. Hoang Xuan Qui - Member of the Supervisory Board					
1	Hoang An		Father			
2	Ho Thi Duong		Mother			
3	Hoang Bien Thuy		Young brother			
4	Hoang Bien Cuong		Young brother			
5	Tran Thi Thu Nguyet		Wife			
6	Hoang Duc Dung		Son			
7	Hoang Duc Duy		Son			
8	Tran Hai Hang		Father-in-law			<i>Dead</i>
9	Nguyen Thi Dang		Mother-in-law			
X.	List of affiliated persons of Mr. Nguyen The Duy - Vice General Director of Business					

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1	Nguyen Sau		Father	-	-	<i>Dead</i>
2	Duong Thi Cuc		Mother	-	-	
3	Nguyen The Phuong		Brother	-	-	
4	Nguyen The Phuoc		Young brother	-	-	
5	Truong Thi Thanh Tam		Wife	-	-	
6	Nguyen Thanh Ly Chau		Daughter	-	-	
7	Nguyen Thanh Khanh Chau		Daughter	-	-	
8	Truong Cuong		Father-in-law			
9	Le Thi Ca		Mother-in-law			
10	Le Thi Hong Ngoan		Sister-in-law			
11	Tran Thi Nguyen		Sister-in-law			
XI.	List of affiliated persons of Mr. Phan Dinh Thanh - Vice General Director of Technology					
1	Phan Dinh Quy		Father			
2	Dang Thi Loc		Mother			
3	Phan Thi Huyen		Sister			
4	Phan Thi Tham		Sister			
5	Phan Dinh Ba		Brother			
6	Phan Dinh Tai		Young brother			
7	Phan Dinh Thinh		Young brother			
8	Nguyen Thi Xuan Khanh		Wife			
9	Phan Dinh Nhan		Son			
10	Phan Dinh Nghia		Son			
11	Nguyen Viet Cong		Father-in-law			
12	Tran Thi Linh		Mother-in-law			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
13	Huynh Nam Vinh		Brother-in-law			
14	Mai Xuan Cong		Brother-in-law			
15	Dang Thi Duyen		Sister-in-law			
16	Pham Mai Hoa		Sister-in-law			
17	Than Thi Tuyet		Sister-in-law			
XII.	List of affiliated persons of Mr. Pham Thai Hung - Chief Accountant					
1	Pham Xuan Gap	-	Father	-	-	<i>Dead</i>
2	Le Thi Lan	-	Mother	-	-	<i>Dead</i>
3	Nguyen Tien Thinh		Father-in-law			<i>Dead</i>
4	Đoan Thi Minh		Mother-in-law			
3	Nguyen Thi Ngoc Thuy	-	Wife	-	-	
4	Pham Nguyen Vinh Khang	-	Son	-	-	
5	Pham Nguyen Vinh Trong	-	Son	-	-	
6	Pham Thai Hoang	-	Brother	-	-	
7	Pham Thai Ha	-	Brother	-	-	
8	Pham Thanh Kim Hue	-	Young sister	-	-	
9	Pham Thi Lan Huong	-	Young sister	-	-	
12	Vo Thi Dung		Sister-in-law			
13	Le Thi Thu		Sister-in-law			
14	Đang Nam An		Brother-in-law			
15	Bui Viet Thang		Brother-in-law			
XIII	List of affiliated persons of Mr. Pham Van Luan - The internal audit team under the BOM's of Song Ba Joint Stock Company					
1	Pham Trung Lap		Father			
2	Mai Thi Toan		Mother			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3	Mai Huy Dung		Father-in-law			<i>Dead</i>
4	Mai Thi Dung		Mother-in-law			
5	Mai Thi Ha		Wife			
6	Pham Ha Linh		Daughter			
7	Pham Diep Anh		Daughter			
8	Pham Van Duy		Young brother			
9	Nguyen Thi Cuc		Sister-in-law			
XIV	List of affiliated persons of Mr. Nguyen Ha Anh Vu - The internal audit team under the BOM's of Song Ba Joint Stock Company					
1	Nguyen Van Tung		Father			<i>Dead</i>
2	Ha Thi Mo		Mother			
3	Pham Huu Thien		Father-in-law			
4	Tran Thi Kim Thanh		Mother-in-law			
5	Pham Ha Phuong		Wife			
6	Nguyen Anh Khoa		Son			
7	Nguyen Anh Minh		Son			
8	Nguyen Thi Ngoc Huyen		Young sister			
9	Nguyen Thi Ngoc Tram		Young sister			
XV	List of affiliated persons of Ms. Nguyen Vo Hien Trang - The internal audit team under the BOM's of Song Ba Joint Stock Company					
1	Nguyen Huu Thien		Father			
2	Vo Thi Thu Trang		Mother			
3	Vo Dang Le		Father-in-law			
4	Vo Thi Moi		Mother-in-law			
5	Vo Ngoc Luan		Husband			
6	Vo Nguyen Bao Ngoc		Daughter			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7	Vo Minh Khang		Son			
8	Nguyen Vo Phuong Trang		Young sister			
9	Tran Thanh Linh		Brother-in-law			
XVI	List of affiliated persons of Mr. Tran Ngoc Hoanh - Branch Manager of Song Ba Joint Stock Company – Dam Safety Inspection and Consulting Center					
1	Tran Ngoc Hue		Father			
2	Nguyen Thi Cuc		Mother			
3	Vo Dinh Thanh		Father-in-law			
4	Vo Thi Vung		Mother-in-law			
5	Vo Hong Thai		Wife			
6	Tran Ngoc Phuong Huong		Son			
7	Tran Ngoc Minh Hoang		Son			
8	Tran Thi Ngoc Lan		Sister			
9	Tran Ngoc Hai		Brother			
10	Tran Ngoc Hong		Brother			
11	Tran Ngoc Ha		Brother			
12	Tran Thi Ngoc Hung		Young sister			
13	Nguyen Tan Hay		Brother-in-law			
14	Ho Thi Minh Tam		Sister-in-law			
15	Tran Thi Thu		Sister-in-law			
XVII	List of affiliated persons of Mr. Phan Dinh Long - Secretary of Song Ba Joint Stock Company					
1	Phan Dinh Luong		Father			
2	Doan Thi Kim Lan		Mother			
3	Phan The Toan		Father-in-law			
4	Tran Thi Uyen		Mother-in-law			<i>Dead</i>
5	Phan Thi Uyen Phuong		Wife			

No	Name	Position at the company	Relationship with internal person	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Phan Phuong Thuy		Son			
7	Phan Thanh Nam		Son			
8	Phan Dinh Loc		Brother			
9	Truong Thuy Anh		Sister-in-law			