

Số/Ref No.: 579/2022/CV-SSIHO
V/v: Thông báo thay đổi nhân sự
Ref: *Disclosing of Change in Personnel*

TP. Hồ Chí Minh, ngày 08 tháng 05 năm 2022
Ho Chi Minh City, May 08, 2022

THÔNG BÁO THAY ĐỔI NHÂN SỰ
CHANGE IN PERSONNEL

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam / *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP.Hồ Chí Minh / *Hochiminh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội / *Hanoi Stock Exchange*

Căn cứ theo Nghị Quyết ĐHĐCĐ thường niên 2022 tổ chức ngày 07/05/2022 của Công ty Cổ phần Chứng khoán SSI, chúng tôi trân trọng thông báo việc thay đổi nhân sự của Chứng khoán SSI như sau:

Based on the Resolution of the 2022 Annual General Meeting of Shareholders held on May 7, 2022 of SSI Securities Corporation, we would like to announce the change in personnel of SSI Securities Corporation as follows:

- Tên tổ chức:	CÔNG TY CỔ PHẦN CHỨNG KHOÁN SSI
<i>Organization name</i>	<i>SSI SECURITIES CORPORATION</i>
- Mã chứng khoán:	SSI
<i>Securities Symbol</i>	<i>SSI</i>
- Địa chỉ:	72 Nguyễn Huệ, P. Bến Nghé, Quận 1, TP. Hồ Chí Minh
<i>Address</i>	<i>72 Nguyen Hue, Ben Nghe Ward, District 1, HCMC</i>
- Điện thoại liên hệ:	028-38242897
<i>Telephone</i>	<i>028-38242897</i>
- Email:	congbothongtin@ssi.com.vn

1. Trường hợp bổ nhiệm / *In case of appointment*

- Ông: Nguyễn Quốc Cường
Mr. : Nguyen Quoc Cuong
- Chức vụ trước khi bổ nhiệm: Không giữ chức vụ tại SSI
Former position in the organization: Not holding a position at SSI
- Chức vụ được bổ nhiệm: Thành viên độc lập Hội đồng quản trị
Newly appointed position: Independent member of the Board of Directors
- Thời hạn bổ nhiệm: 2022 – 2027
Term: 2022 – 2027
- Ngày bắt đầu có hiệu lực: 07/5/2022
Effective date: 07/5/2022



2. Trường hợp miễn nhiệm/ *In case of dismissal*

- Ông: Ngô Văn Diễm
Mr.: Ngo Van Diem
- Chức vụ không còn đảm nhận: Thành viên độc lập Hội đồng quản trị
Dismissed position: Independent member of the Board of Directors
- Lý do miễn nhiệm (nếu có): Hết nhiệm kỳ 2017-2022 do ĐHĐCĐ thường niên năm 2022 tổ chức ngày 07/05/2022 bầu Thành viên độc lập HĐQT nhiệm kỳ mới (2022-2027)
Reason (if any): End of term 2017-2022 due to the 2022 Annual General Meeting of Shareholders held on May 7, 2022, election of an independent member of the Board of Directors for the new term (2022-2027)
- Ngày bắt đầu có hiệu lực: 07/5/2022
Effective date: 07/5/2022

Thông tin này đã được công bố trên trang thông tin điện tử của Công ty vào ngày 08/05/2022 tại đường dẫn www.ssi.com.vn.

This information was posted on SSI website on May 08, 2022 at this link www.ssi.com.vn.

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

The Company hereby declares to be responsible for the accuracy and completeness of the above information.

Tài liệu đính kèm/*Attached documents:*

- Nghị quyết số 02/2022/NQ-ĐHĐCĐ và Biên bản họp của ĐHĐCĐ thường niên 2022 ngày 07/05/2022
The Resolution No. 02/2022/NQ-ĐHĐCĐ and Meeting Minutes of the 2022 Annual General Meeting of Shareholders held on May 7, 2022

Đại diện tổ chức
Organization representative
Người được ủy quyền công bố thông tin
Party authorized to disclose information



Nguyễn Thị Thanh Hà
Giám đốc Tài chính
Chief Financial Officer



Ho Chi Minh City, May 07, 2022

Ref No.: 02/2022/NQ-ĐHĐCĐ

RESOLUTION
OF THE ANNUAL GENERAL MEETING OF SHAREHOLDERS OF
SSI SECURITIES CORPORATION
THE GENERAL MEETING OF SHAREHOLDERS OF
SSI SECURITIES CORPORATION

Pursuant to:

- The Charter of SSI Securities Corporation;
- The Minutes of Annual General Meeting of Shareholders dated May 07, 2022;

RESOLVED THAT:

Article 1: To approve the 2021 Report on activities of the Board of Directors (BOD) and Report on activities of the Audit Committee and Independent Member of the BOD in the Audit Committee in 2021 presented at the AGM.

Article 2: To approve the 2021 Financial Statements audited by the Ernst & Young Vietnam Limited, the Report on the Company's Business Results in 2021 and the 2022 Business Plan with the main contents as follows:

No.	Items	Unit (VND)	Consolidated Financial Statements	Separate Financial Statements
1	Total Assets	VND	50,793,056,562,082	50,359,041,637,008
2	Net revenues	VND	7,786,479,354,867	7,658,694,750,198
3	Profits before tax	VND	3,365,038,841,169	3,326,828,922,588
4	Profits after tax	VND	2,695,067,698,020	2,671,973,947,872
5	Profits after tax of the parent company's shareholders	VND	2,695,913,941,251	

To approve the 2022 Business Plan (consolidated):

- Revenues (consolidated): VND 10,330 billion
- Profits before tax (consolidated): VND 4,370 billion

To authorize the BOD to make the adjustment to the Business Plan in case the market growth and liquidity are not as expected.

Article 3: To approve the 2021 Profit Distribution Plan based upon the reports and proposal of the Board of Directors, concretely as follows:

Total proposed distributable profit (excluding 2021 dividend in cash of 10% par value) **VND 185,242,719,579**

In which:

- Charity fund (1% on profit after tax)	VND 26,463,245,654
- Welfare fund (2% on profit after tax)	VND 52,926,491,308
- Bonus fund (4% on profit after tax)	VND 105,852,982,617
- 2021 dividends in cash (10% par value)	VND 1,000/share
Actual remuneration of the BOD in 2021	VND 2,450,400,000

Article 4: To approve the remuneration for 06 members of the BOD for the fiscal year of 2022 not exceeding VND5 billion. The BOD is authorized to allocate this remuneration.

Article 5: To approve the BOD's proposal on choosing Ernst & Young Vietnam Limited, KPMG Limited, PwC (Vietnam) Limited as independent auditors to audit the 2022 Financial Statements of the Company. Authorizing the BOD to select one (1) from the mentioned auditors for auditing the Company's Financial Statements in 2022.

Article 6: To approve the refund of the operational risk and financial reserve (VND 495,932,169,472) to Undistributed profit of the Company. The above refund is expected to be recorded in the Quarter 2 Financial Statements in 2022.

Article 7: To approve the transactions between Company and the affiliated parties as following:

1. Information of the affiliated party
 - a. Daiwa Securities Group Incorporation and its subsidiaries, parent companies, affiliated companies;
 - b. The Pan Group and its subsidiaries, affiliated companies;
 - c. NDH Investment Limited Company and its subsidiaries, affiliated companies;
 - d. Sai Gon Dan Linh Real Estate Limited Company and its subsidiaries, affiliated companies.
2. Information on signing contracts, transactions with the affiliated parties
 - a. Contracts, transactions on securities services of the Company, including brokerage, investment consultancy, proprietary trading, and other services provided by the Company and services provided by related parties;
 - b. Contracts, transactions on buying/selling securities, valuable papers with Company.

To meet the following conditions:

- All contracts, transactions under the General Meeting of Shareholders' approval stipulated in Article 167 of Law on Enterprises No. 59/2020/QH14, Clause 4 Article 293 of Decree No. 155/2020/NĐ-CP dated December 31, 2020 of Government on detailing and guiding the implementation of a number of articles of the Law on Securities.
- Within ordinary activities of business of the Company.
- To match market price and guarantee the relevant parties' benefits.
- To be disclosed the information in compliance with the applicable laws.

Article 8: To approve the plan on private placement, the details as below:

1. Plan on shares private placement

1.	Name of share	SSI Securities Corporation shares
2.	Type of share	Ordinary shares

3.	Par value	VND 10,000/share
4.	Estimated charter capital before private placement (included maximum of 497,375,011 shares under the additional offering for the existing shareholders stipulated in Resolution No. 01/2022/NQ-DHĐCĐ dated January 10, 2022)	VND 14,921,250,330,000
5.	Quantity of estimated shares to offer	Maximum 104,042,344 shares
6.	Total value of offered shares on par value	Maximum VND 1,040,423,440,000
7.	Estimated charter capital after private placement	VND 15,961,673,770,000
8.	Principle for determining offering price	<p>The offering price is not lower than 90% of the average of closed price in 10 consecutive trading days before the date of getting approval from the Board of Directors on detailed Plan on shares issuance</p> <p>The General Meeting of Shareholders delegates for the Board of Directors to decide the detail offering price based on this principle</p>
9.	Potential offeree	Strategic partners and/or professional investors. Delegating for the Board of Directors on looking for, selecting on the Potential offeree (including the existing shareholders of the Company who are qualified as strategic partners or professional investors) in compliance with investor's criteria
10.	Criteria on strategic partners	<p>Strategic partner means international, domestic institution and individual with financial potential, professional ability, technology knowledge and experience suitable with business operation of Company or ability to support efficiently during the Company's development orientation, cooperation commitment with the Company at least 03 years and/or securities professional investor, who qualifying the conditions as the applicable regulation</p> <p>Other criteria (if any) will be considered by the Board of Directors if necessary for business operation of the Company</p>
11.	Quantity of investors	Delegating the Board of Directors to make decision on the investors quantity who eligible for purchasing shares to comply with criteria on investors selection
12.	Transfer the subscription right	Investors purchasing shares are not allowed to transfer such subscription right
13.	Handling the unsubscribed shares	Unsubscribed shares (if any) may be cancelled or continue to be offered as decided by the Board.
14.	Restricted to transfer	<p>Shares under the private placement will be restricted within 03 years with the strategic partners and within 01 year securities professional investors since the completion date of issuance</p> <p>The offering shall not be restricted in the event that transferring between the securities professional investors or pursuant to the valid judgment or decision</p>

		of the Court, decision of Tribunal or inheritance in compliance with the applicable laws
15.	Purpose of offering	Enhancing the Company's financial capability to comply with the capital usage
16.	Minimum successful ratio	Not applicable
17.	Expected implementation	In 2022 – 2023 or other periods decided by the Board of Directors
18.	Public offering	Approving for the investors selected by the Board of Directors to purchase, who will not conduct to make procedures on public offering regulated in Law on Securities in cases purchasing leads to ownership ratio greater than its limitation stipulated in Clause 1 Article 35 of Law on Securities No. 54/2019/QH14

2. Approval of plan on capital usage from the offering

The Company issues shares for capital injection in order to additional business capital to improve the underwriting, investment and margin loan capabilities. Total collected amount from the expected shares issuance shall be used for such activities.

3. Approval on Registration of securities depository and listing additionally for new issued shares

After finishing the issuance, the Company commits to make the procedures on additional registration depository at the Vietnam Securities Depository or Vietnam Securities Depository and Clearing Corporation and listing additionally the new issued shares at the Ho Chi Minh Stock Exchange in compliance with the applicable laws.

4. The GMS hereby authorizes the Board of Directors:

- Deciding the suitable time for implementing the plan on private placement;
- Implementing the shares issuance, deciding, and conducting the shares distribution under the Plan on shares issuance;
- Deciding the detailed Plan on shares issuance and/or amend, supplement and revise this Plan adapting to the actual situation of the Company or request from competent agencies so that ensuring the successful shares issuance, Company's capital mobilization and comply with applicable laws and protect the benefits of Company and its shareholders;
- Deciding the selection, negotiation, make decision on investors and its quantity, offering price and quantity of offered shares to each investor, commit investors have qualified all criteria approved by the General Meeting of Shareholders, comply with regulations of the foreign ownership percentage and cross-ownership;
- Handling the quantity of shares which investors refused to buy;
- Executing all necessary procedures to amend and supplement the Company Charter to record the additional charter capital from the offering result;
- Approving the capital injection and implementing the works, legal procedures to update the additional charter capital to License on establishment and operation, Enterprise Registration Certificate, which equivalently to total actual par value of shares after completing the issuance;
- Implementing the necessary procedures to register additional depository at the Vietnam Securities Depository or Vietnam Securities Depository and Clearing Corporation and listing additionally at HOSE for these new issuance shares in compliance with applicable laws;
- Deciding all relevant issues to shares issuance, register, deposit and listing additionally for these new issuance shares;
- Other authorized issues stipulated in the detailed Plan on shares issuance;
- The BOD enables to authorize the Board Chairman or Chief Executive Officer to be in charge of one or some of the above mentioned tasks in compliance with the applicable laws.

Article 9: To approve the share issuance under the Employee Stock Ownership Plan (ESOP) in 2022, as follows:

Name of share	SSI Securities Corporation shares
Type of share	Ordinary shares
Form of share	Book entries
Par value	VND 10,000/share
Potential purchaser	Members of the Board of Directors, key personnel of SSI and subsidiaries
Quantity of issued shares	Maximum 10,000,000 (ten million) shares
Issuance Price	Equal to par value VND 10,000/share
Estimated issuance period	In 2022 or other term as decided by the BOD
Purpose	- To associate interests of employees and the Company - To get more working capital for the Company
Restricted period	Only 50% are freely transferrable after 02 years and the remaining 50% are freely transferrable after 03 years from the date of issuance. Redemption shares of employees purchased under ESOP and violates regulations on restricted to transfer, which implementing in compliance with the Regulation on shares issuance promulgated by the BOD
Other terms	Shares shall be registered to deposit additionally at VSD and listed additionally on HOSE.

The AGM hereby authorizes the BOD:

- Deciding on issuing and decide on any amendments, supplements, completion the plan on shares issuance to the actual situation of the Company to comply with the laws and requirements from competent authorities for issuance success;
- Deciding the contents and promulgate the regulation on issuance to give detailed guidelines to this plan;
- Deciding the suitable time for ESOP issuance;
- Deciding the list of eligible employees for this plan;
- Executing all necessary procedures to amend and supplement the Company Charter to record the additional charter capital from the offering result;
- Implementing all procedures for additional depository registration at VSD and additionally listed on HOSE;
- Approving the capital injection and implementing the works, legal procedures to update the additional charter capital to License on establishment and operation, Enterprise Registration Certificate, which equivalently to total actual par value of shares after completing the issuance;
- Within the scope of authorization, the BOD shall enable to authorize the Board Chairman or Chief Executive Officer to be in charge of one or some of the above mentioned tasks in compliance with the applicable laws.

Article 10: To approve the election of one independent member of the BOD

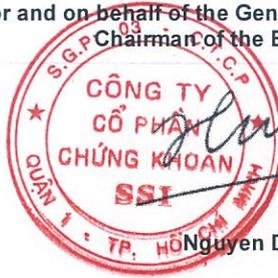
- To elect Mr. Nguyen Quoc Cuong as an Independent Member of the BOD with his tenure of five (5) years from May 07, 2022.
- Mr. Ngo Van Diem's term as Independent Member of BOD expires from May 07, 2022.

Article 11: To approve of the implementation of additional shares offering for existing shareholders, the details as below:

- To continue the implementation of the Plan on additional shares offering for the existing shareholders with the subscription execution at the ratio of 2:1 as in the Resolution 01/2022/NQ-ĐHĐCĐ dated January 10, 2022 issued by GMS in written decision.
- To amend the use of the proceeds from the offering at the Article 7 Resolution 01/2021/NQ-ĐHĐCĐ dated May 22, 2021 issued by GMS and the Proposal dated April 29, 2021; to amend item V of the Proposal dated April 29, 2021 as following: Margin lending, repayment for the overdraft to pay for the investments of bond and certificate of deposit, depositing for stock purchase, proprietary trading activities, other transactions with customers, and for additional capital injection.

Article 12: This Resolution shall take effect on the issuance date. The General Meeting of Shareholders authorizes the Board of Directors and the Chief Executive Officer to implement this Resolution.

For and on behalf of the General Meeting of Shareholders
Chairman of the Board of Directors



Nguyễn Duy Hưng





THE MINUTES OF 2022 ANNUAL GENERAL MEETING OF SHAREHOLDERS OF SSI SECURITIES CORPORATION

A. COMPANY INFORMATION

Name of Company: SSI Securities Corporation

Head office: No. 72 Nguyen Hue, Ben Nghe Ward, District 1, Ho Chi Minh City

Enterprise Number: 0301955155

B. TIME, METHOD AND VENUE

- Time: 1:30 PM on Saturday May 07, 2022
- Meeting Method: Online (from SSI Securities Corporation – Head office located at 72 Nguyen Hue, Ben Nghe Ward, District 1, Ho Chi Minh City and Hanoi Branch located at 1C Ngo Quyen, Hoan Kiem, Hanoi)

C. ATTENDEES

1. Shareholders in the Shareholders list provided by Vietnam Securities Depository (“VSD”) as of the record date April 01, 2022
2. Members of the Board of Directors (“BOD”):
 - i. Mr. Nguyen Duy Hung – Chairman of the BOD;
 - ii. Mr. Nguyen Hong Nam – Board member cum Chief Executive Officer (CEO);
 - iii. Mr. Ngo Van Diem – Board member cum Head of Audit Committee;
 - iv. Mr. Pham Viet Muon – Board member cum Member of Audit Committee;
 - v. Mr. Hironori Oka – Board member;
 - vi. Mr. Nguyen Duy Khanh – Board member.
3. Mr. Nguyen Quoc Cuong – BOD Member candidate.
4. Representatives of the Independent Auditors Ernst & Young Vietnam Limited (E&Y):
 - i. Mr. Nguyen Xuan Hung – Manager

D. SHAREHOLDER VERIFICATION REPORT

Mr. Nguyen Kim Long, Director of Legal and Compliance, read the Shareholder Verification Minutes as made at 13:40 on May 07, 2022.

1. Board of Shareholder Verification includes:
 - Mr. Do Khac Chinh – Head of the Board;
 - Mr. Tran Tuan Huy – Member;
 - Ms. Ton Nu Kim Anh – Member.
2. Verification results:



- The Company's total shareholders: **80,159** shareholders, representing **992,764,388** shares accounting for **100%** of the voting shares of the Company (excluding **1,985,634** treasury shares against **994,750,022** issued shares)
- Total shareholders attending the Meeting (including shareholders attending and authorized persons): **684** shareholders, representing **503,697,569** voting shares of the Company, accounting for **50.74 %** of total voting shares.

The Meeting satisfied conditions to proceed in accordance with laws and the Company Charter.

E. OPENING CEREMONY

I. INTRODUCING MEETING'S CHAIRMAN AND SECRETARY

1. Chairman: Mr. Nguyen Duy Hung – Chairman of the BOD
2. Secretary: Ms. Tran Van An and Ms. Chu Minh Anh – Company staff

II. APPROVING THE MEETING AGENDA AND VOTE CHECKING COMMITTEE

1. Mr. Nguyen Duy Hung addressed an introductory speech for opening the Annual General Meeting of Shareholders ("**AGM**" or "**Meeting**").
2. The Chairman announced the Meeting agenda including:
 - i. Report on the Company's business results in 2021, and business plan in 2022;
 - ii. Report on operation of the BOD ("**BOD**") in 2021;
 - iii. Report on activities of the Audit Committee and Independent Member of the BOD in the Audit Committee in 2021;
 - iv. Audited financial statements in 2021;
 - v. Profit distribution of 2021;
 - vi. Remuneration for the BOD in 2022;
 - vii. Selecting independent auditing company for the financial year 2022;
 - viii. Plan on handling the operational risk and financial reserve;
 - ix. Approval on transactions with the affiliated parties;
 - x. Approval on the Plan on private placement;
 - xi. Approval on the Plan on shares issuance for employee stock ownership plan (ESOP) in 2022;
 - xii. Approval on the additional election of members of the BOD.

At the meeting, the BOD proposes to supplement a content to the meeting agenda as in the Submission for the approval to continue implementation of additional shares offering for existing shareholders. Therefore, the meeting agenda will include 13 contents.

The Organization Committee provided guidelines for online voting.

The Meeting voted on the agenda: Agreement ratio attained: 99.07%.

3. The Chairman nominated the Vote Checking Committee to count voting results, including:
 - Mr. Do Khac Chinh – Head of the Board;
 - Mr. Tran Tuan Huy – Member;
 - Ms. Ton Nu Kim Anh – Member.

The Meeting voted on the Vote Checking Committee: Agreement ratio attained: 99.10%.

F. MEETING PROGRESS

I. MEETING CONTENTS

1. Reports on Business Results in 2021, Business Plan in 2022, and Reports on operation and activities of the BOD and the Audit Committee in 2021:

(i) Mr. Nguyen Hong Nam - CEO, presented:

- Reports on the Company's business results in 2021;
- Business plan in 2022:
 - Revenue (consolidated): VND 10,330 billion
 - Profit before tax (consolidated): VND 4,370 billion

Authorizing the BOD to make the adjustment to market conditions in case the market growth and liquidity are not as expected.

(ii) Mr. Pham Viet Muon – Independent Member of the BOD, addressed reports on activities of the BOD in 2021. The BOD highly appreciates the 2021 results of the Board of Management on business performance with record profit in 2021, and set higher target for 2022. The BOD reported on implemented plan on capital injection in 2021 and the early of 2022. The dossiers of the additional offering procedures for the existing shareholders with ratio 2:1 stipulated in the Resolution No. 01/2022/NQ-ĐHĐCĐ dated January 10, 2022 was submitted for SSC's approval. The Plan on private placement stipulated in Resolution No. 01/2021/NQ-ĐHĐCĐ is expected to implement after the completion of this public offering. For the use of proceed from additional shares offering for existing shareholders in Resolution No. 01/2021/NQ-ĐHĐCĐ dated May 22, 2021, the total net amount is VND 1.111.796.556.685, which disbursed to margin lending, repayment for the overdraft to pay for the investments of bond and certificate of deposit, depositing for stock purchase, proprietary trading activities, other transactions with customers, and for additional capital injection. At the meeting, the BOD proposes to continue the plan on private placement, additional shares offering for existing shareholders with ratio 2:1 and amend the use of proceed from the offering in Resolution No. 01/2021/NQ-ĐHĐCĐ, detailed in attached Submission.

(iii) Mr. Pham Viet Muon – Member of the Audit Committee, addressed reports on activities of the Audit Committee and Independent Members of the BOD in the Audit Committee in 2021. Audit Committee assessed that Company's operation in 2021 was in compliance with the applicable and Charter. The Company also complied strictly with the information disclosure regulations applied for securities companies and listed companies on Hochiminh Stock Exchange. Internal persons followed procedures on information disclosure when trading SSI shares.

2. Audited financial statements in 2021, and profit distribution of 2021:

Ms. Nguyen Thi Thanh Ha, Chief Finance Officer (CFO), presented:

(i) 2021 financial statements audited by Ernst & Young Vietnam Limited, which have been disclosed as required by laws and regulations and posted on the Company's website at www.ssi.com.vn. Key items include:

No.	Key items	Unit	Consolidated financial statements	Separate financial statements
1	Total assets	VND	50,793,056,562,082	50,359,041,637,008
2	Net revenues	VND	7,786,479,354,867	7,658,694,750,198
3	Profits before tax	VND	3,365,038,841,169	3,326,828,922,588
4	Profits after tax	VND	2,695,067,698,020	2,671,973,947,872
5	Profit after tax attributable to the parent company's shareholders	VND	2,695,913,941,251	

(ii) 2021 Profit distribution and funds allocation

- Total distributable profits (excluding 2021 dividend in cash of 10% par value) VND 185,242,719,579
- In which:
 - + Charity fund (1% on profit after tax) VND 26,463,245,654

+ Welfare fund (2% on profit after tax)	VND 52,926,491,308
+ Bonus fund (4% on profit after tax)	VND 105,852,982,617
+ 2021 dividends in cash (10% par value)	VND 1,000 per share
Actual remuneration of the BOD in 2021	VND 2,450,400,000

3. Remuneration for the BOD in 2022

Ms. Nguyen Thi Thanh Ha - CFO, presented the submission for the Meeting's consideration and approval of:

- (i) Remuneration for six (6) members of the BOD not exceeding VND 05 billion in 2022; and
- (ii) Authorization for the BOD at its discretion to allocate the detailed remuneration to members of the BOD.

4. Selection of auditing firm in 2022

Ms. Nguyen Thi Thanh Ha - CFO, presented the submission for the Meeting's consideration and approval of:

- (i) List of three (3) auditing companies for in 2022 consist of Ernst & Young Vietnam Limited (E&Y); KPMG Limited (KPMG); PwC (Vietnam) Limited (PwC), which approved by the State Securities Commission on qualified Audited Company and independent of SSI's internal people; and
- (ii) Authorization for the BOD at its discretion to select one (1) of three (3) auditing companies as mentioned above.

5. Plan on handling the operational risk and financial reserve

Ms. Nguyen Thi Thanh Ha – CFO, presented the submission for the Meeting's consideration and approval of refunding the operational risk and financial reserve (VND 495,932,169,472) to Undistributed profit of the Company. The above refund is expected to be recorded in the Quarter 2 Financial Statements in 2022.

6. Transactions with the affiliated parties

Mr. Nguyen Kim Long – Director, Legal and Compliance, presented the submission for the Meeting's consideration and approval of transactions between the Company and its affiliated parties:

1. Information of the affiliated parties:
 - a. Daiwa Securities Group Incorporation and its subsidiaries, parent companies, affiliated companies;
 - b. The Pan Group and its subsidiaries, affiliated companies;
 - c. NDH Investment Limited Company and its subsidiaries, affiliated companies;
 - d. Sai Gon Dan Linh Real Estate Limited Company and its subsidiaries, affiliated companies.
2. Information on signing contracts, transactions with the affiliated parties:
 - a. Contracts, transactions on securities services of the Company, including brokerage, investment consultancy, proprietary trading, and other services provided by the Company and services provided by related parties;
 - b. Contracts, transactions on buying/selling securities, valuable papers with Company.

To meet the following conditions:

- All contracts, transactions under the General Meeting of Shareholders' approval stipulated in Article 167 of Law on Enterprises No. 59/2020/QH14, Clause 4 Article 293 of Decree No. 155/2020/NĐ-CP dated December 31, 2020 of Government on detailing and guiding the implementation of a number of articles of the Law on Securities.
- Within ordinary activities of business of the Company.
- To match market price and guarantee the relevant parties' benefits.
- To be disclosed the information in compliance with the applicable laws.

7. The plan on shares private placement

Mr. Nguyen Kim Long – Director, Legal and Compliance, presented the submission on the Plan on shares private placement for Meeting’s consideration and approval as below:

1. The Plan on shares private placement as following:

1.	Name of share	SSI Securities Corporation shares
2.	Type of share	Ordinary shares
3.	Par value	VND 10,000/share
4.	Estimated charter capital before private placement (included maximum of 497,375,011 shares under the additional offering for the existing shareholders stipulated in Resolution No. 01/2022/NQ-DHĐCĐ dated January 10, 2022)	VND 14,921,250,330,000
5.	Quantity of estimated shares to offer	Maximum 104,042,344 shares
6.	Total value of offered shares on par value	Maximum VND 1,040,423,440,000
7.	Estimated charter capital after private placement	VND 15,961,673,770,000
8.	Principle for determining offering price	The offering price is not lower than 90% of the average of closed price in 10 consecutive trading days before the date of getting approval from the BOD on detailed Plan on shares issuance The General Meeting of Shareholders delegates for the BOD to decide the detail offering price based on this principle
9.	Potential offeree	Strategic partners and/or professional investors. Delegating for the BOD on looking for, selecting on the Potential offeree (including the existing shareholders of the Company who are qualified as strategic partners or professional investors) in compliance with investor’s criteria
10.	Criteria on strategic partners	Strategic partner means international, domestic institution and individual with financial potential, professional ability, technology knowledge and experience suitable with business operation of Company or ability to support efficiently during the Company’s development orientation, cooperation commitment with the Company at least 03 years and/or securities professional investor, who qualifying the conditions as the applicable regulation Other criteria (if any) will be considered by the BOD if necessary for business operation of the Company
11.	Quantity of investors	Delegating the BOD to make decision on the investors quantity who eligible for purchasing shares to comply with criteria on investors selection
12.	Transfer the subscription right	Investors purchasing shares are not allowed to transfer such subscription right
13.	Handling the unsubscribed shares	Unsubscribed shares (if any) may be cancelled or continue to be offered as decided by the Board.
14.	Restricted to transfer	Shares under the private placement will be restricted within 03 years with the strategic partners and within

		01-year securities professional investors since the completion date of issuance The offering shall not be restricted in the event that transferring between the securities professional investors or pursuant to the valid judgment or decision of the Court, decision of Tribunal or inheritance in compliance with the applicable laws
15.	Purpose of offering	Enhancing the Company's financial capability to comply with the capital usage
16.	Minimum successful ratio	Not applicable
17.	Expected implementation	In 2022 – 2023 or other periods decided by the BOD
18.	Public offering	Approving for the investors selected by the BOD to purchase, who will not conduct to make procedures on public offering regulated in Law on Securities in cases purchasing leads to ownership ratio greater than its limitation stipulated in Clause 1 Article 35 of Law on Securities No. 54/2019/QH14

2. Approval of plan on capital usage from the offering

The Company issues shares for capital injection in order to additional business capital to improve the underwriting, investment and margin loan capabilities. Total collected amount from the expected shares issuance shall be used for such activities.

3. Approval on Registration of securities depository and listing additionally for new issued shares

After finishing the issuance, the Company commits to make the procedures on additional registration depository at the Vietnam Securities Depository or Vietnam Securities Depository and Clearing Corporation and listing additionally the new issued shares at the Ho Chi Minh Stock Exchange in compliance with the applicable laws.

4. The GMS hereby authorizes the BOD:

- Deciding the suitable time for implementing the plan on private placement;
- Implementing the shares issuance, deciding, and conducting the shares distribution under the Plan on shares issuance;
- Deciding the detailed Plan on shares issuance and/or amend, supplement and revise this Plan adapting to the actual situation of the Company or request from competent agencies so that ensuring the successful shares issuance, Company's capital mobilization and comply with applicable laws and protect the benefits of Company and its shareholders;
- Deciding the selection, negotiation, make decision on investors and its quantity, offering price and quantity of offered shares to each investor, commit investors have qualified all criteria approved by the General Meeting of Shareholders, comply with regulations of the foreign ownership percentage and cross-ownership;
- Handling the quantity of shares which investors refused to buy;
- Executing all necessary procedures to amend and supplement the Company Charter to record the additional charter capital from the offering result;
- Approving the capital injection and implementing the works, legal procedures to update the additional charter capital to License on establishment and operation, Enterprise Registration Certificate, which equivalently to total actual par value of shares after completing the issuance;
- Implementing the necessary procedures to register additional depository at the Vietnam Securities Depository or Vietnam Securities Depository and Clearing Corporation and listing additionally at HOSE for these new issuance shares in compliance with applicable laws;
- Deciding all relevant issues to shares issuance, register, deposit and listing additionally for these new issuance shares;
- Other authorized issues stipulated in the detailed Plan on shares issuance;
- The BOD enables to authorize the Board Chairman or Chief Executive Officer to be in charge of one or some of the above-mentioned tasks in compliance with the applicable laws.

8. Plan on shares issuance under the Employee Stock Ownership Plan (ESOP) in 2022:

Mr. Nguyen Kim Long – Director, Legal and Compliance presented the submission on the Plan on shares issuance under the Employee Stock Ownership Plan (ESOP) in 2022 for AGM's consideration and approval as below:

Plan on shares issuance under the ESOP in 2022 as following:

Name of share	SSI Securities Corporation shares
Type of share	Ordinary shares
Form of share	Book entries
Par value	VND 10,000/share
Potential purchaser	Members of the BOD, key personnel of SSI and its subsidiaries
Quantity of issued shares	Maximum 10,000,000 (ten million) shares
Issuance price	Equal to par value (VND 10,000/share)
Estimated issuance period	In 2022 or other period after getting approval from the BOD
Purpose	- To associate interests of employees and the Company - To supplement working capital for the Company
Restricted period	Only 50% are freely transferrable after 02 years and the remaining 50% are freely transferrable after 03 years from the date of issuance. Redemption shares of employees purchased under ESOP and violates regulations on restricted to transfer, which implementing in compliance with the Regulation on shares issuance promulgated by the BOD.
Other terms	Shares shall be registered to deposit additionally at VSD and listed additionally on HOSE.

The AGM hereby authorizes the BOD:

- Deciding on issuing and decide on any amendments, supplements, completion the plan on shares issuance to the actual situation of the Company to comply with the laws and requirements from competent authorities;
- Deciding the contents and promulgate the Regulation on issuance to give detailed guidelines to this plan;
- Deciding the suitable time for ESOP issuance;
- Deciding the list of eligible employees for this plan;
- Amending the Company Charter to record the additional charter capital and implement necessary procedures relevant to this capital injection from the issuance result;
- Implementing all procedures for register additional depository at VSD and additionally listed on HOSE;
- The BOD enables to authorize the Board Chairman to be in charge of one or some of the above mentioned tasks;
- Implementing all legal procedures to update the charter capital stipulated in License on establishment and operation, Certificate on enterprise registration, equivalent to total value of issued shares (calculated on par value) in actual after issuance completeness;
- Within the scope of authorization, the BOD shall enable to authorize the Board Chairman or Chief Executive Officer to be in charge of one or some of the above-mentioned tasks in compliance with the applicable laws.

9. The election of BOD Member:

Mr. Nguyen Kim Long – Director, Legal and Compliance presented the submission on the Additional election member of the BOD for AGM's consideration and approval as below:

- Pursuant to Clause 2 Article 26 of the Company Charter, the term of the BOD' member is maximum 05 years, and an individual shall be elected as independent BOD' member which not exceed 02 consecutive terms. The term of Mr. Ngo Van Diem, who elected by the Annual General Meeting of

Shareholder dated April 21, 2017, shall be ended in 2022, and shall not be qualified as independent BOD Member.

- Electing additionally 01 independent BOD Member with the 5-year term as of May 07, 2022.
- The candidate for the BOD Member is Mr. Nguyen Quoc Cuong
- The detailed information of candidate for the BOD Member has sent to Valued Shareholders.

As of April 26, 2022 – the deadline for BOD nomination stipulated in the meeting invitation, there is 01 candidate only. At the AGM's meeting, there is no any additional candidate for the BOD Member.

10. Approval of the implementation of additional shares offering for existing shareholders:

Mr. Nguyen Kim Long - Director of Legal and Compliance presented the submission for for AGM's consideration and approval as below:

- To continue the implementation of the Plan on additional shares offering for the existing shareholders with the subscription execution at the ratio of 2:1 as in the Resolution No. 01/2022/NQ-ĐHĐCĐ dated January 10, 2022 issued by General Meeting of Shareholders.
- To amend the use of the proceeds from the offer at the Article 7 Resolution No. 01/2021/NQ-ĐHĐCĐ dated May 22, 2021 issued by General Meeting of Shareholders and the Submission dated April 29, 2021; to amend item V of the Submission dated April 29, 2021 as following: Margin lending, repayment for the overdraft to pay for the investments of bond and certificate of deposit, depositing for stock purchase, and proprietary trading activities, other transactions with customers, and for additional capital injection.

Chairman invited Mr. Ngo Van Diem to deliver a speech. Mr. Ngo Van Diem expressed his gratitude to all shareholders, the BoD and SSI staffs. He highly appreciated the rapid development of the Company and wished for further success.

II. Q&A SESSION WITH SHAREHOLDERS:

No.	Question	Answered by the Chairman
1.	After 2-year explosion period of individual investors' cashflow, securities market is considered as cooling down. Trading volume is around VND 14,000 to 17,000 billion only for HOSE's recent sessions. Based on your viewpoint, what is the trend for the following years and its effect on SSI's performance results and securities field?	For securities investors, the last sessions in 2021 and sessions in the early of 2022 (VND 30,000 to 40,000 billion) were the dream trading sessions because trading volume is around VND 14,000 to 17,000 billion per session was a dream in the previous year. Actually, this trading volume still is an ideal number for Vietnam's economy. Business plan and opportunity for securities companies may be affected. SSI has no intention to change its 2022 business plan.
2.	What are the market trends recently and in the near future as many investors are worried about the situation of the market in 2018?	I should not predict short-term trend as I focus on macro situation and long-term economic development. Currently there are many uncontrollable factors in the market. Therefore, it is recommended to adapt and pay attention to the long-term factors of the market such as capital market development policy, market upgrading and the increase of individual investment.
3.	In 2020 - 2021, it can be seen that the market has been supported by the individual investors, who will be the drivers for the market in 2022? Is there any doubt on the reduction of the cash?	The stock market has two functions, which are capital mobilization for the economy and creating liquidity. In the secondary market, an investor buys at a low price when there is a low selling price and vice versa, does not generate money. When domestic capital becomes expensive and there is a downtrend in the stock market, it is an opportunity for foreign investment to enter into Vietnam's stock market. Each investor has their own assessment, depending on the ability of risk control and viewpoint on the market trend. The

No.	Question	Answered by the Chairman
		opportunity in 2022 would be for those with unborrowed cash, to purchase at good price.
4.	In the context of the technology platform's significant growth, do you think about the future of trading within T+1 and T+0 in the Vietnam securities market?	SSI's technology shall be changed and developed to meet its development demand and comply with the applicable laws. The trading within T+1 and T+0 shall be depended on regulations of the State Securities Commission, Stock Exchanges and VSD. SSI shall comply strictly to any regulations of the competent agencies.
5.	When will Vietnam securities market be upgraded, and which are criteria must be qualified according to your assessment?	Vietnam securities market's upgrade is a target set by the Government. If the relevant bodies acted seriously in pursue this target, Vietnam securities market could be upgraded in the next evaluation.
6.	Do you think this is too ambitious plan in this context of current securities market?	At present, we don't have to adjust SSI's business plan. This plan is made based on varied factors, there are positive signals in securities market's future based on actions of the Governments, the interest of investors and positive evaluation of international financial organizations on Vietnam market.
7.	SSI's competitive position in the industry?	SSI understands that the competition in a healthy market is good and reasonable because there would be no motivation for development without competition, and SSI would always do our best. Where there will be a divergence in investment appetite of the market, SSI as the reliable and transparent partner for investors to do business in Vietnam. International organizations are willing to give unsecured loans to us.
8.	What is your plan to take back market shares?	Each company has its own business strategy, target customer, policy and business orientation. SSI is pursuing our own strategy. SSI's goals are to increase our customers, we do not take market shares from others. There is a great deal of potential customers as there has been only 2 million of securities account among a population of 100 million people.
9.	When will the plan on capital injection approved in the last AGM period, including the private placement plan, be implemented?	SSI has submitted the dossiers to the State Securities Commission and following up to complete the procedure of capital injection. Private placement will be implemented after completing the shares subscription to existing shareholders.
10.	What is the reason for the fall of SSI's market price recently?	SSI's market price is completely subject to the market and beyond the control of the BOD and the Board of Management. The top priority of the BOD and the Board of Management is the best development, transparency and safety of the Company when facing difficulties and ensure the highest profit and the best protection of its shareholders.
11.	Does the issue of additional shares cause stock dilution?	The issue of additional shares is made on the business plan and capital use plan of the Company, thus there would be no dilution when issue of additional shares. It is the fact that upon the issue of shares, the capital resource was effectively used and significant profit was recorded over the years.
12.	What is the role of Mr. Nguyen Quoc Cuong in the SSI's BOD?	Mr. Nguyen Quoc Cuong joins the SSI's BOD as an independent member and supervises independently activities of SSI. Mr. Cuong was Deputy Minister of Foreign Affairs and Foreign Ambassador of Vietnam. Mr. Cuong is a reputable person in the US and Japan.

No.	Question	Answered by the Chairman
		He shall help to build a closer relationship with our US and Japanese partners.
13.	Recently, the large proportion of foreign investment has withdrawn from market. What is percentage of foreign ownership in SSI?	Recently, foreign investment is not actually withdrawn, it is pouring money into the market. Foreign ownership in SSI is 37.43% as of April 01, 2022.
14.	What are the company's investment banking trends in 2022 (IPO, M&A, ECM or DCM, etc.). What is the value of the IB contract signed by SSI up to date?	Total value of IB deals in 2021 is around USD 1,5 billion (30 thousand billion dong). When low-cost capital sources is limited, there is a chance for professional players. The business plan growth rate is all above 30%.
15.	It seems that SSI has participated in the bond market later than others and the market has witnessed significant fluctuation recently. How do you think about this business and how do these recent changes have impact on SSI?	SSI has participated in the bond market from the early days with average scale and by steps. Every financial product has its pros and cons, if the bonds are properly issued in accordance with the laws, having good business result and has liquidity, payment of bond and coupons is secured then bonds is an effective capital raising method. However, over bonds issuing may cause negative impact on the economy which may lead to the restriction by the competent authorities. Bond related activities at SSI are always compliance with the laws, all deals are secured and payment by the bond issuers are ensured.
16.	In the interests of bonds distributed by SSI, it seems SSI cannot compete with their competitors, there is any interest policy to enhance and boost SSI's profit in this sector?	In business, there is an understanding of high risk and high return, SSI is aimed to lower risk with lower return from bond distribution. Investors' appetite will affect the choice of risk and profit from bond investments.
17.	Does SSI focus on financial products during this period including bonds? How does SSI manage the risks arising from corporate bond?	SSI invests in financial products. SSI will carefully assess the bonds invested by SSI and ensure the bonds are secured when investing in bonds.
18.	Securities companies has brokerage and propriety trading. In low liquidity market, what will Company use the cash for?	The Company use its cash for varied purposes, including investment, which based on Company's policy and strategy. SSI invests to sectors efficiently according to its analysis, suitable to its abilities and comply with the applicable laws.
19.	Real estate market is assessed It is predicted more difficult due to the tightening of bond and credit policy. How about the situation of margin lending for real estate groups? Is there any potentials for that group in 2022? Which is the effect of monetary tightening on that group?	Real estate stocks are assessed strictly. The monetary tightening in real estate sector has negative impacts on the real estate market and its stocks, but not SSI because we don't participate deeply in this industry. This sector plays an important role in Asia and Vietnam's economy thanks to investors' appetite. We can't evaluate the monetary tightening's effects on corporate bonds because there is no official amended document of Decree No. 153/2020/NĐ-CP dated December 31, 2020 yet. It is the unfairness if evaluating the market overall which based on several cases only.
20.	How do you think about the increase in interest rate, how does this affect the source and source cost (a large proportion of margin lending) for securities companies in general and SSI in particular?	The increase in interest rates has a certain effect on financial markets. Currently, SSI is not affected by the interest rate increase due to our large equity and high credit rating and we are able to apply for unsecured loans from new foreign institutions at a low interest rate of about 4.5%, therefore, SSI can still maintain and

No.	Question	Answered by the Chairman
		made no amendment to the current margin level despite the interest rate increase.
21.	In the 2021 Annual General Meeting of Shareholders, Chairman Mr. Nguyen Duy Hung mentioned the new competitive policies for brokerage team, significant strategy, so what is the result?	In 2021, brokerage quantity increased by 40%, customers' assets under management reached more than VND 250,000 billion. It is a successful result from high quality brokerage workforce with efficient consultancy. In 2021, we focused on online channels to meet partially customers' demand. The Company will have more suitable strategy in 2022, especially in generation Z customers.
22.	Does SSI plan to cooperate with any foreign organizations?	SSI cooperates with a number of foreign organizations, including banks and large organizations in the world. Daiwa Securities Group Incorporation is currently a foreign shareholder of SSI, in addition, SSI has other foreign partners.
23.	When it comes to asset management, it can be seen that SSIAM is in the top tier 1, however DCVFM has a good position in capital raising and funding by the Thai market, what is the outstanding advantages of SSIAM?	DC is good company in Vietnam. With the impressive growth in scale, profit and growth rate in 2021 of SSIAM as a Vietnamese asset management company, we believe that not many other can be the same. SSI does not pursue the top tier 1 ranking, we endeavor to achieve our goals and objectives and the results illustrated a remarkable number in growth in size and in business results of the fund.
24.	SSIAM contributed 1% of total SSI's revenue although 2021 is the most successful year for the investment funds and asset management companies. Can you give your opinion about this? Is there any new orientation for SSIAM?	The asset management company only provides management services for customers and enjoys the management fees, the investment fund enjoys profits generated from its direct investments.
25.	SSI is currently expanding the agriculture investment to PAN while HAG is facing many troubles in few years to grow in this field, is it reasonable for SSI's strategy?	Actually, SSI invests to PAN – its affiliated company, not for agriculture investment. PAN is leading in the plant cultivar, pesticide sector and has 2 nd ranking company in shrimp sector. There are some positive signals in PAN's revenue Quarter 1, 2022, increasing 75% compared to last period.
26.	Will SSI continue its divestment from PAN this year?	Divestment from PAN this year is not our plan. SSI would like to invest more, however SSI would subject to tender offer for doing so in accordance with the laws because of the shares owned by SSI and related parties are subject to the tender offer which could have an impact on PAN's shares price, thus, the plan has not been implemented.

At the end of discussion session, shareholders did not have any further questions. The Chairman requested the Vote Checking Committee to conduct voting procedures.

III. VOTING ON THE DISCUSSED ISSUES

The Vote Checking Committee provided instructions and guidance on voting regulations.

Voting Slips sent to Valued Shareholders have 2 other issues (if any), including Other issue 1 and Other issue 2. The General Meeting of Shareholders gave consensus to use the Other issue 1 for supplementing the addition Submission with the content of the approval of the continuous implementation of additional shares offering for existing shareholders.

At 15:55 on May 07, 2022 when the voting is conducted, total attending shareholders of the Meeting include 887 shareholders (including shareholders attend in person and shareholders authorize another

person to attend the online meeting), representing **510,926,803** voting shares accounting for **51.47%** total voting shares.

Shareholders carried out voting and election.

IV. BREAK TIME

VOTE CHECKING COMMITTEE CONDUCTS TO CHECK VOTES

V. VOTING RESULTS AND ELECTION RESULTS

At the end of the break time, the Chairman invited shareholders back to the meeting hall to continue the Meeting.

The Chairman invited the Vote Checking Committee to announce the voting results and the election results.

1. Results on election of members of the BOD

- i. Total issued ballot papers: **475** papers, represented for 100% attended voting shares
- ii. Total collected ballot papers: **202** papers, in which:
 - Valid ballot papers: **184** papers
 - Invalid ballot papers: **18** papers
- iii. Election results:

No.	Full name	Total electing shares	Electing Ratio
1	Mr. Nguyen Quoc Cuong	478,189,193	93.58

2. Voting results for approval of the presented and discussed issues

- i. Total issued voting slips: **475** slips, represented for 100% attended voting shares
- ii. Total collected voting slips: **260** slips, in which:
 - Valid voting slips: **260** slips
 - Invalid voting slips: **0** slip
- iii. The voting results as following:

No.	Contents	Total Shares and Voting Ratio		
		Agree (%, shares)	Disagree (%, shares)	No Opinion (%, shares)
1	To approve business results in 2021, and Business plan in 2022	96.68	0.00	1.89
		494,013,225	15,500	9,676,797
2	To approve the reports on activities of the BOD in 2021	96.68	0.00	1.90
		494,002,925	15,500	9,687,097
3	To approve the Report on activities of the Audit Committee and Independent Members of the BOD in the Audit Committee in 2021	96.68	0.00	1.89
		494,009,525	15,500	9,680,497

No.	Contents	Total Shares and Voting Ratio		
		Agree (%, shares)	Disagree (%, shares)	No Opinion (%, shares)
4	To approve the 2021 audited financial statements	96.68	0.00	1.89
		494,012,325	12,600	9,680,597
5	To approve the profit distribution of 2021	96.57	0.11	1.90
		493,436,325	564,450	9,704,747
6	To approve the remuneration for BOD members in 2022	96.53	0.02	2.03
		493,232,975	104,900	10,367,647
7	To select the independent auditing company for the financial year 2022	89.17	1.30	8.11
		455,644,509	6,625,097	41,435,916
8	To approve the Plan on handling the operational risk and financial reserve	96.55	0.01	2.01
		493,366,375	54,000	10,285,147
9	To approve the transactions with the affiliated parties			
9.1.	To approve the transactions between SSI and Daiwa Securities Group Incorporation and its subsidiaries, parent companies, affiliated companies <i>(Exclusive of 154,491,930 shares of Daiwa Securities Group Incorporation and its subsidiaries, parent companies, affiliated companies)</i>	91.18%	3.74%	3.04%
		325,041,918	13,349,028	10,822,646
9.2.	To approve the transactions between SSI and the PAN Group and its subsidiaries and affiliated companies <i>(Exclusive of 12,116,058 shares of the PAN Group and its subsidiaries and affiliated companies)</i>	93.70%	2.68%	2.17%
		467,417,790	13,349,028	10,822,646
9.3.	To approve the transactions between SSI and NDH Investment Limited Company and its subsidiaries, affiliated companies <i>(Exclusive of 103,088,387 shares of NDH Investment Limited Company and its subsidiaries, affiliated companies)</i>	92.29%	3.27%	2.65%
		376,445,461	13,349,028	10,822,646
9.4.	To approve the transactions between SSI and Sai Gon Dan Linh Real Estate Limited	93.05%	2.95%	2.39%

No.	Contents	Total Shares and Voting Ratio		
		Agree (%, shares)	Disagree (%, shares)	No Opinion (%, shares)
	Company and its subsidiaries, affiliated companies <i>(Exclusive of 58,394,067 shares of Sai Gon Dan Linh Real Estate Limited Company and its subsidiaries, affiliated companies)</i>	421,139,781	13,349,028	10,822,646
10	To approve the Plan on shares private placement	96.39	0.27	1.92
		492,537,336	1,361,739	9,806,447
11	To approve the Plan on share issuance under the Employee Stock Ownership Plan (ESOP) in 2022 <i>(Exclusive of 210,710,660 shares of relevant parties as BOD members, the Board of Management, the Company's employees, subsidiaries and shareholders are affiliated persons thereof)</i>	79.16	15.16	3.27
		238,575,001	45,687,162	9,842,447
12	To approve the additional election of one independent member of the BOD	93.25	0.11	5.22
		476,466,449	583,100	26,655,973
13	To approve of the continuous implementation of additional shares offering for existing shareholders (additional submission in the Meeting)	84.41	9.29	4.33
		431,297,748	47,461,647	22,146,127

G. APPROVING MEETING MINUTES AND RESOLUTIONS

- Mr. Nguyen Kim Long read the drafts for Meeting Minutes and Resolutions of the AGM.
- Shareholders did not have amendments and/or supplements to the presented drafts for Meeting Minutes and Resolutions of the AGM.
- The AGM voted for approval of the substance of the Meeting Minutes and Resolutions with agreement of **99.01 %**.
- The Chairman expressed sincere thanks to all shareholders who have accompanied the Company and declared the closing of the AGM.

The Meeting ends at **16:57** on the same day.

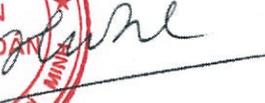
SECRETARIES



Tran Van An – Chu Minh Anh



CHAIRMAN



Nguyen Duy Hung